

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2013 FINANCIAL DISCLOSURE STATEMENT**

Form A

For Use by Members, Officers, and Employees

2013 MAY - 8 PM (Office Use Only)

*ME*

Name: W. Kurt Schrader Daytime Telephone: 202-225-5711

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

|              |   |  |  |                            |   |
|--------------|---|--|--|----------------------------|---|
| FILER STATUS | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: <u>Oregon</u><br>District: <u>5</u> | <input type="checkbox"/> Officer or Employee | Employing Office: _____    | Staff Filer Type: (If Applicable)<br><input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant |
| REPORT TYPE  | <input checked="" type="checkbox"/> 2013 Annual (Due: May 15, 2014)             | <input type="checkbox"/> Amendment         | <input type="checkbox"/> Termination         | Date of Termination: _____ |   |

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

|   |   |   |   |
|---|---|---|---|
| A. Did you, your spouse, or your dependent child:<br>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u><br>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?                       | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?                             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | <b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>  |   |

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

|   |   |
|---|---|
| IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?                       | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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|-------------------------------|---------|
| Name: <i>Al. Krist Schwab</i> | Page of |
|-------------------------------|---------|

**Use additional sheets if more space is required.**

## DATA OF

See Prudential Attachments  
to type and email at [pru@pru.com](mailto:pru@pru.com)

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

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| BLOCK A<br>Assets and/or Income Sources |                                   | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |                     |  |
|---|-----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP, DC, JT                              | ASSET NAME                        | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII                    | P, S, S(part), or E |  |
|   | None                              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$1-\$1,000                       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$1,001-\$15,000                  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$15,001-\$50,000                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$50,001-\$100,000                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$100,001-\$250,000               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$250,001-\$500,000               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$500,001-\$1,000,000             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$1,000,001-\$5,000,000           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$5,000,001-\$25,000,000          |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | \$25,000,001-\$50,000,000         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Over \$50,000,000                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Spouse/DC Asset over \$1,000,000* |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   |                                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schrader

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| BLOCK A<br>Assets and/or Income Sources |                             | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   | BLOCK E<br>Transaction |                     |  |
|---|-----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|---------------------|--|
| SP, DC, JT                              | ASSET NAME                  | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI                     | P, S, S(part), or E |  |
|   | Fed Trust US                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Old Municipal Bond Fd       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Old Long Term Strategies Fd |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Old Smith Barney Fd         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Old Strategist's Op Fd      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Conoco Ph. Mfg              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Cityspray, Inc.             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Thermon Health-Security     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Key Corp New                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | 10-year Strategy by Inc     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | P3 Inc                      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Apple Inc                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | American Water Works Corp   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Nike Inc                    |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Raytheon Corp               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |
|   | Repsco                      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |                     |  |

See Brokerage Attachments to-4999 and amount of income

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Rust Schrader

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of

| BLOCK A<br>Assets and/or Income Sources |                             | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |                     |  |
|---|-----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP, DC, JT                              | ASSET NAME                  | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII                    | P, S, S(part), or E |  |
|   | Discrete Financial Services |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Alphabet Inc. Class         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Enclave Corp                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Eni SPA ad                  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Rio Tinto                   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Amazon.com                  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Comcast Corp                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Private Line Corp Inc       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Chubb Limited               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Bosch/Mycos SpA b           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | SB Hoffmann & Co            |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Amadeus Ltd                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Microsoft Corp              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Visa Inc                    |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Alibaba Group Holdings      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |

See 13c schedule for type and amount of income

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*W. Kurt Schneider*

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| BLOCK A<br>Assets and/or Income Sources |                                   | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    |     | BLOCK E<br>Transaction |  |
|---|-----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|-----|------------------------|--|
| SP, DC, JT                              | ASSET NAME                        | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify, e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E    |  |
|   | None                              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$1-\$1,000                       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$1,001-\$15,000                  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$15,001-\$50,000                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$50,001-\$100,000                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$100,001-\$250,000               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$250,001-\$500,000               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$500,001-\$1,000,000             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$1,000,001-\$5,000,000           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$5,000,001-\$25,000,000          |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | \$25,000,001-\$50,000,000         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Over \$50,000,000                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Spouse/DC Asset over \$1,000,000* |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Home Depot                        |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Altria Corp Inc                   |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Church & Dwight Inc               |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Altria Pharma                     |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Berk, Inc                         |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Danaher Corp                      |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Lilly, Eli & Co.                  |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Zetis Inc                         |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Five Co.                          |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Fidelity National Info            |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Argo Holdings                     |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Deer Report Inc                   |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Broking Hills Inc                 |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | PrimeNet Resources                |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | Blackrock Inc                     |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |
|   | CH2M Group Inc                    |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |     |                        |  |

*See Responder Attachment for type and amounts of income*

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| BLOCK A<br>Assets and/or Income Sources |                           | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   | BLOCK E<br>Transaction |     |                     |  |
|---|---------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|-----|---------------------|--|
| SP,<br>DC,<br>JT                        | ASSET NAME                | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI                     | XII | P, S, S(part), or E |  |
|   | United HealthCare Inc     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Clarks Corp               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Wash Management           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Salutone Care             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Sevenson Inc              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Acitivus Blind Inc        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | American Tower Corp       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Dineco Health Holdings Co |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | American Corp             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |
|   | Safeway SA                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |

See Business Affidavit for type and amounts of income



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schneider

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| BLOCK A<br>Assets and/or Income Sources |                       | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |                     |  |
|---|-----------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP, DC, JT                              | ASSET NAME            | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII                    | P, S, S(part), or E |  |
|   | Bank of America       |                           |   |   | X |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Apple Inc.            |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Blackrock Inc.        |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Ever Nobil Corp       |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Johnson Johnson       |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Microsoft Corp.       |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | PepsiCo               |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | PL 11/15/16 SPS       |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Pharm (with) Cola     |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Infel Corp            |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Sealed Air Corp       |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Coca Cola Can         |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Alphabet Inc SPS CLK  |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Alphabet Inc SPS CIA  |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | Blackboard Hush Corp  |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
|   | McDonald's Int'l Corp |                           |   |   |   |   |   |   | X |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |

See Merrill Lynch Account for type and amount of income

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See Howell's *Address* for types and amount of returns.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*W. Kurt Schrader*

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| BLOCK A                      |   | BLOCK B        |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C        |           |      |          |               |                      |              | BLOCK D  |   |    |     |    |   |    |     |      |    |   | BLOCK E     |     |                     |  |  |
|------------------------------|---|----------------|---|---|---|---|---|---|---|---|---|---|---|---|----------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|-------------|-----|---------------------|--|--|
| Assets and/or Income Sources |   | Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | Type of Income |           |      |          |               |                      |              | Amount of Income   |   |    |     |    |   |    |     |      |    |   | Transaction |     |                     |  |  |
| SP, DC, JT                   | ASSET NAME                                    | A              | B | C | D | E | F | G | H | I | J | K | L | M | NONE           | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI          | XII | P, S, S(part), or E |  |  |
|                              | None  |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$1-\$1,000                                   |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$1,001-\$15,000                              |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$15,001-\$50,000                             |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$50,001-\$100,000                            |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$100,001-\$250,000                           |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$250,001-\$500,000                           |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$500,001-\$1,000,000                         |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$1,000,001-\$5,000,000                       |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$5,000,001-\$25,000,000                      |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | \$25,000,001-\$50,000,000                     |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Over \$50,000,000                             |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Spouse/DC Asset over \$1,000,000*             |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | B-2nd/1st New South<br>East Building Holdings | X              |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Quakam Inc                                    |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | WSTN Pk. & L Corp.                            | X              |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Blackstone AFFH LLC                           |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | US Bank                                       |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Value Home Int'l LLC                          |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | TP Morgan Strategies                          |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Plumco Inc Fund                               |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Sector SPOR Indenture                         |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Western Asset Hedges                          |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | Albion Inc SPS                                |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | A-T-T Inc                                     |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | ALXP Service Units NY                         |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |
|                              | East TR Realty                                |                |   |   |   |   |   |   |   |   |   |   |   |   |                |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |             |     |                     |  |  |

*See New York Attachments  
for type and amount of income*

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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| BLOCK A<br>Assets and/or Income Sources |                       | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    |                     | BLOCK E<br>Transaction |  |
|---|-----------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|---------------------|------------------------|--|
| SP, DC, JT                              | ASSET NAME            | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | P, S, S(part), or E |                        |  |
| sp                                      | Capital One Bull Arch |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | NHFEET FOOD AR        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Prologix Inc. Gen     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Scott's Miracle Grow  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Saunder SM Co         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Procter + Gamble      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Deerco Co             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Monsieur Pigeon       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Page 401K             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |
| sp                                      | Sakura Annuity        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                     |                        |  |

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

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| BLOCK A<br>Assets and/or Income Sources |                             | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |                     |  |
|---|-----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP,<br>DC,<br>JT                        | ASSET NAME                  | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII                    | P, S, S(part), or E |  |
| SP                                      | Amcap Fund C                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | American Bond Fund          |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | American High Income Fund   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | American Midcap Fund        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Capital Income Bldg A       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Ever Pacific Growth Fund    |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Fundamental Investors C     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Global Bond Fund            |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Global Bond Fund of America |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | International Growth Fund   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Investment Co of America    |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Mid-Cap Energy Fund         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | New Perspective Fund        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Small Cap Fund              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Small Cap Fund II           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |
| SP                                      | Winning Fund                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |  |

See American Fund attached for type & amount of income.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

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| BLOCK A<br>Assets and/or Income Sources |                               | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |      |   |    |     |    |   |    |     |      |    |   | BLOCK E<br>Transaction |     |                     |  |  |
|---|-------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|------|---|----|-----|----|---|----|-----|------|----|---|------------------------|-----|---------------------|--|--|
| SP, DC, JT                              | ASSET NAME                    | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | NONE | I | II | III | IV | V | VI | VII | VIII | IX | X | XI                     | XII | P, S, S(part), or E |  |  |
| SP                                      | Amgen Fd.-5294                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | American Balanced Fd.-5294    |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | American High Income Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | American Mutual Fd.-5294      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Capital Income Bail.-5294     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Income Tr.-5294      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Bond Fd.-5294        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Fd.-5294             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Growth Fd.-5294      |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Mid-Cap Fd.-5294     |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Small-Cap Fd.-5294   |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Tech Fd.-5294        |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |
| SP                                      | Fidelity Divd Growth Fd.-5294 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |      |   |    |     |    |   |    |     |      |    |   |                        |     |                     |  |  |

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

W. Kurt Schneider

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| BLOCK A<br>Assets and/or Income Sources |                                   | BLOCK B<br>Value of Asset |   |   |   |   |   |   |   |   |   |   |   |   | BLOCK C<br>Type of Income |           |      |          |               |                      |              | BLOCK D<br>Amount of Income  |   |    |     |    |   |    |     |      |    |   |    | BLOCK E<br>Transaction |                     |
|---|-----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|
| SP, DC, JT                              | ASSET NAME                        | A                         | B | C | D | E | F | G | H | I | J | K | L | M | NONE                      | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income<br>(Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII                    | P, S, S(part), or E |
|   | None                              |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$1-\$1,000                       |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$1,001-\$15,000                  |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$15,001-\$50,000                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$50,001-\$100,000                |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$100,001-\$250,000               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$250,001-\$500,000               |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$500,001-\$1,000,000             |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$1,000,001-\$5,000,000           |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$5,000,001-\$25,000,000          |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | \$25,000,001-\$50,000,000         |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | Over \$50,000,000                 |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
|   | Spouse/DC Asset over \$1,000,000* |                           |   |   |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
| SP                                      | American Fund - 529A              |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
| SP                                      | The Lincoln Fund - 529A           |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
| SP                                      | The New Economy Fund - 529A       |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
| SP                                      | New Perspective Fund - 529A       |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |
| SP                                      | Small Cap Global Fund - 529A      |                           |   | X |   |   |   |   |   |   |   |   |   |   |                           |           |      |          |               |                      |              |  |   |    |     |    |   |    |     |      |    |   |    |                        |                     |

See American Fund of Maryland for type and amount of income  
 All are FID Trust U. Delaware



# SCHEDULE B - TRANSACTIONS

Name:

W. Kurt Schneider

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "Partial Sale" on the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

| Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. |    |  |       | Type of Transaction |      |              |          | Check Box if Capital Gains Exceeded \$200 | Date (MM/DA/YR) or Quantity, Monthly, or Bi-weekly, if applicable | Amount of Transaction     |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|---|----|--|-------|---------------------|------|--------------|----------|---|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|--|
| SP, DC, JT  | SP | Example  | Asset | Purchase            | Sale | Partial Sale | Exchange |   |   | A<br>\$1,001-<br>\$15,000 | B<br>\$15,001-<br>\$50,000 | C<br>\$50,001-<br>\$100,000 | D<br>\$100,001-<br>\$250,000 | E<br>\$250,001-<br>\$500,000 | F<br>\$500,001-<br>\$1,000,000 | G<br>\$1,000,001-<br>\$5,000,000 | H<br>\$5,000,001-<br>\$25,000,000 | I<br>\$25,000,001-<br>\$50,000,000 | J<br>Over \$50,000,000 | K<br>Over \$1,000,000<br>(Spouse's Only) |
|   |    | Example Mega Corp. Stock   | Asset |                     |      | X            |          | X   | 3/8/77  |                           | X                          |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    | See Prudential State funds   |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    | Jan-Dec 2018 for transaction detail for funds                          |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    | See Bessemer Trust Transactions detail for funds                       |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    | Apr Jan-Dec 2018 for funds   |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    | See Merrill Lynch shares for transaction detail for Jan-Dec 2018 funds |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    | Three Rivers Farm - exchanges LLC                                      |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
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|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |
|   |    |  |       |                     |      |              |          |   |   |                           |                            |                             |                              |                              |                                |                                  |                                   |                                    |                        |  |

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**Use additional sheets if more space is required.**



# SCHEDULE D - LIABILITIES

Name: W Kurt Schneider

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor                     | Date Liability Incurred MO/YR | Type of Liability                      | Amount of Liability    |                        |                         |                          |                          |                            |                              |                               |                                |                        |  |
|------------|------------------------------|-------------------------------|--|------------------------|------------------------|-------------------------|--------------------------|--------------------------|----------------------------|------------------------------|-------------------------------|--------------------------------|------------------------|--|
|            |                              |                               |  | A<br>\$10,001-\$15,000 | B<br>\$15,001-\$50,000 | C<br>\$50,001-\$100,000 | D<br>\$100,001-\$250,000 | E<br>\$250,001-\$500,000 | F<br>\$500,001-\$1,000,000 | G<br>\$1,000,001-\$5,000,000 | H<br>\$5,000,001-\$25,000,000 | I<br>\$25,000,001-\$50,000,000 | J<br>Over \$50,000,000 | K<br>Over \$1,000,000* (Spouse/DC Liability) |
| Example    | First Bank of Wilmington, DE | 5/15                          | Mortgage on Rental Property, Dover, DE |                        |                        |                         | X                        |                          |                            |                              |                               |                                |                        |  |
|            | Monmouth Credit Corp         | Oct. 2012                     | Mortgage, Washington, DC               |                        |                        |                         |                          | X                        |                            |                              |                               |                                |                        |  |
|            | Key Bank L.O.C. - Oregon     | June 2015                     | L.O.C. Camby, Oregon                   |                        |                        |                         | X                        |                          |                            |                              |                               |                                |                        |  |
|            | SP Ceolar                    | March 2015                    | Mortgage - Hayfield House              |                        |                        |                         |                          | X                        |                            |                              |                               |                                |                        |  |

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

| Position | Name of Organization  |
|----------|-----------------------|
| Partner  | Three Rivers Farm LLC |
|          |                       |
|          |                       |
|          |                       |
|          |                       |
|          |                       |

Name: W. Kurt Schrade Page      of     

[illegible]

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

[illegible]

|                               |                                 |
|-------------------------------|---------------------------------|
| Name: <u>W. Kent Schroder</u> | Page <u>    </u> of <u>    </u> |
|-------------------------------|---------------------------------|

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A., 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

**Use additional sheets if more space is required.**

Name: W. Kuf-Schneider

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| Name: | Page _____ of _____ |
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**Use additional sheets if more space is required.**



Statement for the Period January 1, 2018 to January 31, 2018

W K SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                                 | Symbol/Cusip<br>Account Type | Quantity  | Price on<br>07/31/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|-----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO CAB MID CAP VALUE INSTL SHS     | CBMSX<br>CASH                | 324.25    | \$37.89              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.32%                       |                              |           |                      |                         |                            |
| Dividend Option Reinvest                    |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest                |                              |           |                      |                         |                            |
| <b>Total Equity</b>                         |                              |           |                      |                         |                            |
| <b>Fixed Income</b>                         |                              |           |                      |                         |                            |
| PIMCO FOREIGN BOND FUND USD HEDGED INST     | PFORX<br>CASH                | 750.29    | \$10.68              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.14%                       |                              |           |                      |                         |                            |
| Dividend Option Reinvest                    |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest                |                              |           |                      |                         |                            |
| <b>PRUDENTIAL TOTAL RETURN BOND CLASS Z</b> |                              |           |                      |                         |                            |
| Estimated Yield 2.89%                       | PDBZX<br>CASH                | 2,262.469 | \$14.42              | [REDACTED]              | [REDACTED]                 |
| Dividend Option Reinvest                    |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest                |                              |           |                      |                         |                            |
| <b>Total Fixed Income</b>                   |                              |           |                      |                         |                            |
| <b>Total Mutual Funds</b>                   |                              |           |                      |                         |                            |
| <b>Total Securities</b>                     |                              |           |                      |                         |                            |
| <b>TOTAL PORTFOLIO VALUE</b>                |                              |           |                      |                         |                            |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date          | Account<br>Type | Transaction  | Description   | Quantity | Amount   |
|-----------------------------|-----------------|--------------|---|----------|----------|
| <b>Securities Purchased</b> |                 |              |   |          |          |
| 12/29/17                    | CASH            | REINVESTMENT | PIMCO FOREIGN BOND FUND USD<br>HEDGED INST REINVESTED @ \$10.87 | 0.725    | (\$7.74) |

Prudential Securities, LLC

MN\_CEBFDOKFBBSZQ\_BBBB 20180131

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period January 1, 2018 to January 31, 2018

W K SCHRADER - Premiere Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date                   | Account Type | Transaction  | Description   | Quantity | Amount    |
|-----------------------------------|--------------|--------------|---|----------|-----------|
| 12/29/17                          | CASH         | REINVESTMENT | PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.58 | 5.545    | (\$80.85) |
| <b>Total Securities Purchased</b> |              |              |   |          |           |

**ACTIVITY > CORE FUND ACTIVITY**

| Settlement Date                 | Account Type | Transaction | Description  | Quantity | Amount   |
|---------------------------------|--------------|-------------|--|----------|----------|
| 01/02/18                        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1                | 0.52     | (\$0.52) |
| 01/10/18                        | CASH         | YOU SOLD    | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 AS OF 01-10-18 | (678.28) | \$678.28 |
| 01/29/18                        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1                | 0.34     | (\$0.34) |
| 01/31/18                        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1                | 0.98     | (\$0.98) |
| <b>TOTAL CORE FUND ACTIVITY</b> |              |             |  |          |          |

**ACTIVITY > INCOME**

| Settlement Date | Account Type | Transaction       | Description   | Quantity | Amount  |
|-----------------|--------------|-------------------|---|----------|---------|
| 12/29/17        | CASH         | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGED INST                 |          | \$7.74  |
| 12/29/17        | CASH         | DIVIDEND RECEIVED | PRUDENTIAL TOTAL RETURN BOND CLASS Z                    |          | \$80.85 |
| 01/31/18        | CASH         | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |          | \$0.98  |

Prudential Securities, LLC

MN\_CEBFDQKFBBSZQ\_BBBB 20180131

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period January 1, 2018 to January 31, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
| Total Dividends |              |             |             |          |        |
| [REDACTED]      |              |             |             |          |        |
| TOTAL INCOME    |              |             |             |          |        |
| [REDACTED]      |              |             |             |          |        |

ACTIVITY > TAXES, FEES AND EXPENSES

| Settlement Date                | Account Type | Transaction | Description         | Quantity | Amount     |
|--------------------------------|--------------|-------------|---------------------|----------|------------|
| Account Fees                   |              |             |                     |          |            |
| 01/10/18                       | CASH         | FEE         | MANAGED ACCOUNT FEE |          | (\$678.28) |
| Total Account Fees             |              |             |                     |          |            |
| [REDACTED]                     |              |             |                     |          |            |
| TOTAL TAXES, FEES AND EXPENSES |              |             |                     |          |            |
| (\$678.28)                     |              |             |                     |          |            |

ACTIVITY > OTHER ACTIVITY

| Settlement Date          | Account Type | Transaction | Description                 | Quantity | Amount |
|--------------------------|--------------|-------------|-----------------------------|----------|--------|
| 01/02/18 CASH ADJUSTMENT |              |             |                             |          |        |
|                          |              |             | MUTUAL FUND 12B1 FEE CREDIT |          | \$0.52 |
|                          |              |             | FIDELITY TREASURY 233809201 |          |        |
| 01/29/18 CASH ADJUSTMENT |              |             |                             |          |        |
|                          |              |             | MUTUAL FUND 12B1 FEE CREDIT |          | \$0.34 |
|                          |              |             | FIDELITY TREASURY 233809201 |          |        |
| TOTAL OTHER ACTIVITY     |              |             |                             |          |        |
| [REDACTED]               |              |             |                             |          |        |

Pruco Securities, LLC

MN\_CBFDOKFBBSSZQ\_BBBB 20180131

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period February 1, 2018 to February 28, 2018

W K SCHRAEDER **Premiere Select IRA**  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                                    | Symbol/Cusip<br>Account Type | Quantity | Price on<br>02/28/18 | Current<br>Market Value | Estimated<br>Annual Income |
|--|------------------------------|----------|----------------------|-------------------------|----------------------------|
| <b>WELLS FARGO CAB MID CAP VALUE INSTL SHS</b> | CBMSX<br>CASH                | 324.25   | \$36.35              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.34%                          |                              |          |                      |                         |                            |
| Dividend Option Reinvest                       |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest                   |                              |          |                      |                         |                            |
| <b>Total Equity</b>                            |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                            |                              |          |                      |                         |                            |
| <b>PIMCO FOREIGN BOND FUND USD HEDGED INST</b> | PFORX<br>CASH                | 750.985  | \$106.69             | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.15%                          |                              |          |                      |                         |                            |
| Dividend Option Reinvest                       |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest                   |                              |          |                      |                         |                            |
| <b>PRUDENTIAL TOTAL RETURN BOND CLASS Z</b>    | PDBZX<br>CASH                | 268.178  | \$14.18              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 2.95%                          |                              |          |                      |                         |                            |
| Dividend Option Reinvest                       |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest                   |                              |          |                      |                         |                            |
| <b>Total Fixed Income</b>                      |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>                      |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                        |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>                   |                              |          |                      | [REDACTED]              | [REDACTED]                 |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date          | Account<br>Type | Transaction  | Description   | Quantity | Amount   |
|-----------------------------|-----------------|--------------|---|----------|----------|
| <b>Securities Purchased</b> |                 |              |   |          |          |
| 01/31/18                    | CASH            | REINVESTMENT | PIMCO FOREIGN BOND FUND USD<br>HEDGED INST REINVESTED @ \$10.88 | 0.694    | (\$7.41) |

Statement for the Period February 1, 2018 to February 28, 2018

W K SCHRADER - Premiere Select IRA  
Account Number [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

| Settlement Date            | Account Type | Transaction  | Description   | Quantity | Amount    |
|----------------------------|--------------|--------------|---|----------|-----------|
| 01/31/18                   | CASH         | REINVESTMENT | PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.42 | 5.709    | (\$82.32) |
| Total Securities Purchased |              |              |   |          |           |

ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 02/26/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 0.29     | (\$0.29) |
| 02/28/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 0.82     | (\$0.82) |

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

Dividends

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 01/31/18 | CASH | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGED INST |  | \$7.41 |
|----------|------|-------------------|---|--|--------|

|          |      |                   |                                      |  |         |
|----------|------|-------------------|--------------------------------------|--|---------|
| 01/31/18 | CASH | DIVIDEND RECEIVED | PRUDENTIAL TOTAL RETURN BOND CLASS Z |  | \$82.32 |
|----------|------|-------------------|--------------------------------------|--|---------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 02/28/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |  | \$0.82 |
|----------|------|-------------------|---|--|--------|

Total Dividends

TOTAL INCOME

Pruco Securities, LLC

MN\_CEBFGWHZBBSRGR\_BBBB 20180228

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period February 1, 2018 to February 28, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

| Settlement Date      | Account Type | Transaction | Description  | Quantity | Amount     |
|----------------------|--------------|-------------|--|----------|------------|
| 02/28/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 12B1 FEE CREDIT<br>FIDELITY TREASURY 233009201 |          | \$0.29     |
| TOTAL OTHER ACTIVITY |              |             |  |          | [REDACTED] |

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MN\_CEBFGWHZBBBSRGR\_BBBB 20180228

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period March 1, 2018 to March 31, 2018

W K SCHRAEDER - Premier Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                                 | Symbl/Cusip<br>Account Type | Quantity  | Price on<br>03/31/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|-----------------------------|-----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO CAB MID CAP VALUE INSTL SHS     | CBMSX<br>CASH               | 324.25    | \$36.10              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.34%                       |                             |           |                      |                         |                            |
| Dividend Option Reinvest                    |                             |           |                      |                         |                            |
| Capital Gain Option Reinvest                |                             |           |                      |                         |                            |
| <b>Total Equity</b>                         |                             |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                         |                             |           |                      | [REDACTED]              | [REDACTED]                 |
| PIMCO FOREIGN BOND FUND USD HEDGED INST     | PFORX<br>CASH               | 151.904   | \$10.78              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.19%                       |                             |           |                      |                         |                            |
| Dividend Option Reinvest                    |                             |           |                      |                         |                            |
| Capital Gain Option Reinvest                |                             |           |                      |                         |                            |
| <b>PRUDENTIAL TOTAL RETURN BOND CLASS Z</b> | PDBZ<br>CASH                | 2,273.561 | \$14.27              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 2.94%                       |                             |           |                      |                         |                            |
| Dividend Option Reinvest                    |                             |           |                      |                         |                            |
| Capital Gain Option Reinvest                |                             |           |                      |                         |                            |
| <b>Total Fixed Income</b>                   |                             |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>                   |                             |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                     |                             |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>                |                             |           |                      | [REDACTED]              | [REDACTED]                 |

# Activity

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date | Account<br>Type | Transaction  | Description   | Quantity | Amount   |
|--------------------|-----------------|--------------|---|----------|----------|
| 02/28/18           | CASH            | REINVESTMENT | PIMCO FOREIGN BOND FUND USD<br>HEDGED INST REINVESTED @ \$10.69 | 0.819    | (\$8.75) |

**Securities Purchased**

Pruco Securities, LLC

MN\_CEBFKVYBBBVMLS\_BBBB 20180329

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period March 1, 2018 to March 31, 2018

W K SCHRADER  
 Account Number: [REDACTED] Direct IRA  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

| Settlement Date | Account Type | Transaction  | Description   | Quantity | Amount    |
|-----------------|--------------|--------------|---|----------|-----------|
| 02/28/18        | CASH         | REINVESTMENT | PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.18 | 5.373    | (\$76.19) |

|          |      |              |   |       |           |
|----------|------|--------------|---|-------|-----------|
| 03/27/18 | CASH | REINVESTMENT | MFS VALUE FUND CLASS I REINVEST @ \$38.9500 | 1.467 | (\$57.13) |
|----------|------|--------------|---|-------|-----------|

Total Securities Purchased

ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 03/29/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 1.11     | (\$1.11) |

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

Dividends

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 02/28/18 | CASH | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGED INST |  | \$8.75 |
|----------|------|-------------------|---|--|--------|

|          |      |                   |                                      |  |         |
|----------|------|-------------------|--------------------------------------|--|---------|
| 02/28/18 | CASH | DIVIDEND RECEIVED | PRUDENTIAL TOTAL RETURN BOND CLASS Z |  | \$76.19 |
|----------|------|-------------------|--------------------------------------|--|---------|

|          |      |                   |                        |  |         |
|----------|------|-------------------|------------------------|--|---------|
| 03/27/18 | CASH | DIVIDEND RECEIVED | MFS VALUE FUND CLASS I |  | \$57.13 |
|----------|------|-------------------|------------------------|--|---------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 03/29/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |  | \$1.11 |
|----------|------|-------------------|---|--|--------|

Total Dividends

Pruco Securities, LLC

MN\_CEBFKVYBBBVML\_S\_BBBB 20180329

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC



Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER, President Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                             | Symbol/Cusip<br>Account Type | Quantity  | Price on<br>04/30/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|-----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO C&B MID CAP VALUE INSTL SHS | CBMSX<br>CASH                | 324.25    | \$36.01              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.34%                   |                              |           |                      |                         |                            |
| Dividend Option Reinvest                |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |           |                      |                         |                            |
| <b>Total Equity</b>                     |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                     |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| PIMCO FOREIGN BOND FUND USD HEDGED INST | PFORX<br>CASH                | 35.633    | \$10.75              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.23%                   |                              |           |                      |                         |                            |
| Dividend Option Reinvest                |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |           |                      |                         |                            |
| PRUDENTIAL TOTAL RETURN BOND CLASS Z    | PDBZX<br>CASH                | 2,279.754 | \$14.11              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 2.98%                   |                              |           |                      |                         |                            |
| Dividend Option Reinvest                |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |           |                      |                         |                            |
| <b>Total Fixed Income</b>               |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>               |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                 |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |           |                      | [REDACTED]              | [REDACTED]                 |

## Activity

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date | Account<br>Type | Transaction | Description | Quantity | Amount |
|--------------------|-----------------|-------------|-------------|----------|--------|
|--------------------|-----------------|-------------|-------------|----------|--------|

**Securities Purchased**

|          |      |              |   |       |           |
|----------|------|--------------|---|-------|-----------|
| 03/29/18 | CASH | REINVESTMENT | PIMCO FOREIGN BOND FUND USD<br>HEDGED INST REINVESTED @ \$10.78 | 1.029 | (\$11.09) |
|----------|------|--------------|---|-------|-----------|

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

PURCHASES, SALES, AND REDEMPTIONS continued

| Settlement Date            | Account Type | Transaction  | Description   | Quantity | Amount    |
|----------------------------|--------------|--------------|---|----------|-----------|
| 03/29/18                   | CASH         | REINVESTMENT | PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.27 | 6.203    | (\$88.52) |
| Total Securities Purchased |              |              |   |          |           |

|                 |      |          |   |          |          |
|-----------------|------|----------|---|----------|----------|
| Securities Sold |      |          |   |          |          |
| 04/10/18        | CASH | YOU SOLD | PARAMETRIC EMERGING MKTS INST CL SOLICITED ORDER CONF:035787401 @ 16.0100 | (25.296) | \$421.00 |

|          |      |          |   |         |          |
|----------|------|----------|---|---------|----------|
| 04/10/18 | CASH | YOU SOLD | HARDING LOEWNER INTL EQUITY PORT INSTL SOLICITED ORDER CONF: [REDACTED] @ 22.9800 | (12.75) | \$293.00 |
|----------|------|----------|---|---------|----------|

|          |      |          |  |         |          |
|----------|------|----------|--|---------|----------|
| 04/10/18 | CASH | YOU SOLD | T ROWE PRICE GROWTH STOCK ADVISOR CL SOLICITED ORDER @ 62.6400 | (4.981) | \$312.00 |
|----------|------|----------|--|---------|----------|

|                       |      |          |  |         |          |
|-----------------------|------|----------|--|---------|----------|
| 04/10/18              | CASH | YOU SOLD | VICTORY STYCAMORE SM CO OPPORTUNITY SOLICITED ORDER CONF: [REDACTED] @ 46.1500 | (7.779) | \$359.00 |
| Total Securities Sold |      |          |  |         |          |

ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 04/02/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 0.35     | (\$0.35) |

|          |      |            |   |       |              |
|----------|------|------------|---|-------|--------------|
| 04/10/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 1.385 | (\$1,385.00) |
|----------|------|------------|---|-------|--------------|

|          |      |          |  |          |          |
|----------|------|----------|--|----------|----------|
| 04/10/18 | CASH | YOU SOLD | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 04-10-18 | (686.22) | \$686.22 |
|----------|------|----------|--|----------|----------|

|          |      |            |   |      |          |
|----------|------|------------|---|------|----------|
| 04/30/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 2.02 | (\$2.02) |
|----------|------|------------|---|------|----------|

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY continued

| TOTAL CORE FUND ACTIVITY |  |  |  | Amount     |
|--------------------------|--|--|--|------------|
|                          |  |  |  | [REDACTED] |

ACTIVITY > INCOME

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

Dividends

|          |      |                   |   |  |         |
|----------|------|-------------------|---|--|---------|
| 03/29/18 | CASH | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGED INST |  | \$11.09 |
|----------|------|-------------------|---|--|---------|

|          |      |                   |                                      |  |         |
|----------|------|-------------------|--------------------------------------|--|---------|
| 03/29/18 | CASH | DIVIDEND RECEIVED | PRUDENTIAL TOTAL RETURN BOND CLASS Z |  | \$88.52 |
|----------|------|-------------------|--------------------------------------|--|---------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 04/30/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |  | \$1.63 |
|----------|------|-------------------|---|--|--------|

Total Dividends

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

Account Fees

|          |      |     |                     |  |           |
|----------|------|-----|---------------------|--|-----------|
| 04/10/18 | CASH | FEE | MANAGED ACCOUNT FEE |  | (\$86.22) |
|----------|------|-----|---------------------|--|-----------|

Total Account Fees

TOTAL TAXES, FEES AND EXPENSES

Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period April 1, 2018 to April 30, 2018

W K SCHRADER, Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

| Settlement Date      | Account Type | Transaction | Description   | Quantity | Amount     |
|----------------------|--------------|-------------|---|----------|------------|
| 04/02/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 12B1 FEE CREDIT<br>FIDELITY TREASURY 23309201 |          | \$0.35     |
| 04/30/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 12B1 FEE CREDIT<br>FIDELITY TREASURY 23309201 |          | \$0.39     |
| TOTAL OTHER ACTIVITY |              |             |   |          | [REDACTED] |

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

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Pruco Securities, LLC

MN\_CEBFMZGZBBBSZLC\_BBBB 20180430

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period May 1, 2018 to May 31, 2018

W K SCHRADER - Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                             | Symbol/Cusip<br>Account Type | Quantity  | Price on<br>05/31/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|-----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO C&B MID CAP VALUE INSTL SHS | CBMSX<br>CASH                | 324.25    | \$36.45              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.34%                   |                              |           |                      |                         |                            |
| Dividend Option Reinvest                |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |           |                      |                         |                            |
| <b>Total Equity</b>                     |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                     |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| PIMCO FOREIGN BOND FUND USD HEDGED INST | PFORX<br>CASH                | 753.853   | \$10.76              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.28%                   |                              |           |                      |                         |                            |
| Dividend Option Reinvest                |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |           |                      |                         |                            |
| PRUDENTIAL TOTAL RETURN BOND CLASS Z    | PDBZX<br>CASH                | 2,285.682 | \$14.11              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 3.01%                   |                              |           |                      |                         |                            |
| Dividend Option Reinvest                |                              |           |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |           |                      |                         |                            |
| <b>Total Fixed Income</b>               |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>               |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                 |                              |           |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |           |                      | [REDACTED]              | [REDACTED]                 |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date | Account<br>Type | Transaction | Description | Quantity | Amount |
|--------------------|-----------------|-------------|-------------|----------|--------|
|--------------------|-----------------|-------------|-------------|----------|--------|

**Securities Purchased**

|          |      |              |   |      |           |
|----------|------|--------------|---|------|-----------|
| 04/30/18 | CASH | REINVESTMENT | PIMCO FOREIGN BOND FUND USD<br>HEDGED INST REINVESTED @ \$10.75 | 1.02 | (\$10.97) |
|----------|------|--------------|---|------|-----------|

Prudential Securities, LLC

MN\_CEBFQJTWBBSXQT\_BB8BB 20180531

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period May 1, 2018 to May 31, 2018  
 WK SCHRAEDER, Premium Select IRA  
 Account Number: [REDACTED]  
 Separate Acc't Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date                   | Account Type | Transaction  | Description   | Quantity | Amount    |
|-----------------------------------|--------------|--------------|---|----------|-----------|
| 04/30/18                          | CASH         | REINVESTMENT | PRUDENTIAL TOTAL RETURN BOND CLASS Z REINVESTED @ \$14.11 | 5.928    | (\$83.65) |
| <b>Total Securities Purchased</b> |              |              |   |          |           |

**ACTIVITY > CORE FUND ACTIVITY**

| Settlement Date                 | Account Type | Transaction | Description                               | Quantity | Amount   |
|---------------------------------|--------------|-------------|---|----------|----------|
| 05/29/18                        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 0.42     | (\$0.42) |
| 05/31/18                        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 2.01     | (\$2.01) |
| <b>TOTAL CORE FUND ACTIVITY</b> |              |             |   |          |          |

**ACTIVITY > INCOME**

| Settlement Date        | Account Type | Transaction       | Description   | Quantity | Amount  |
|------------------------|--------------|-------------------|---|----------|---------|
| <b>Dividends</b>       |              |                   |   |          |         |
| 04/30/18               | CASH         | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGED INST                 |          | \$10.97 |
| 04/30/18               | CASH         | DIVIDEND RECEIVED | PRUDENTIAL TOTAL RETURN BOND CLASS Z                    |          | \$83.65 |
| 05/31/18               | CASH         | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |          | \$2.01  |
| <b>Total Dividends</b> |              |                   |   |          |         |
| <b>TOTAL INCOME</b>    |              |                   |   |          |         |

Statement for the Period May 1, 2018 to May 31, 2018

W K SCHRADER Prudential Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

| Settlement Date      | Account Type | Transaction | Description                 | Quantity | Amount |
|----------------------|--------------|-------------|-----------------------------|----------|--------|
| 05/29/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 1281 FEE CREDIT |          | \$0.42 |
|                      |              |             | FIDELITY TREASURY 233809201 |          |        |
| TOTAL OTHER ACTIVITY |              |             |                             |          |        |

## Miscellaneous Footnotes

**CHANGE IN VALUE OF YOUR PORTFOLIO** is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

**CHANGE IN VALUE** reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

**CALLABLE SECURITIES LOTTERY** - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of applicable rules, that randomly selects the securities within customer accounts that will be called/redemmed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undemargined condition.

**PRICING INFORMATION** - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

**FOREIGN EXCHANGE TRANSACTIONS** - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Pruco Securities, LLC

MEMBER  
FINRA  
SIPC  
MN\_CEBFQJTWBBSXQT\_BB8BB 20180531

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period June 1, 2018 to June 30, 2018

W K SCHRAEDER - Prudential Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                             | Symbol/Cusip<br>Account Type | Quantity | Price on<br>06/30/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO C&B MID CAP VALUE INSTL SHS | CBMSX<br>CASH                | 324.25   | \$36.62              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.33%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| <b>Total Equity</b>                     |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                     |                              |          |                      |                         |                            |
| PGIM TOTAL RETURN BOND CL Z             | PDBZX<br>CASH                | 2,281.18 | \$14.07              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 3.05%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| PMCO FOREIGN BOND FUND USD HEDGD INST   | PFORX<br>CASH                | 754.912  | \$10.79              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.33%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| <b>Total Fixed Income</b>               |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>               |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                 |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |          |                      | [REDACTED]              | [REDACTED]                 |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date | Account<br>Type | Transaction | Description | Quantity | Amount |
|--------------------|-----------------|-------------|-------------|----------|--------|
|--------------------|-----------------|-------------|-------------|----------|--------|

**Securities Purchased**

|          |      |              |  |       |           |
|----------|------|--------------|--|-------|-----------|
| 06/30/18 | CASH | REINVESTMENT | PMCO FOREIGN BOND FUND USD<br>HEDGED INST REINVESTED @ \$10.76 | 1.059 | (\$11.39) |
|----------|------|--------------|--|-------|-----------|

Prudential Securities, LLC

MN\_CEBFSXNWBBWBBCP\_BBBBB 20180629

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period June 1, 2018 to June 30, 2018

W K SCHRADER, Prudential Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date | Account Type | Transaction  | Description   | Quantity | Amount    |
|-----------------|--------------|--------------|---|----------|-----------|
| 05/31/18        | CASH         | REINVESTMENT | PRUDENTIAL TOTAL RETURN BOND CLASS 2 REINVESTED @ \$14.11 | 6.266    | (\$88.41) |

|          |      |              |   |       |           |
|----------|------|--------------|---|-------|-----------|
| 06/27/18 | CASH | REINVESTMENT | MFS VALUE FUND CLASS I REINVEST @ \$38.6900 | 2.302 | (\$89.08) |
|----------|------|--------------|---|-------|-----------|

**Total Securities Purchased**

**ACTIVITY > CORE FUND ACTIVITY**

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 06/29/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 2.14     | (\$2.14) |

**TOTAL CORE FUND ACTIVITY**

**ACTIVITY > INCOME**

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

**Dividends**

|          |      |                   |   |  |         |
|----------|------|-------------------|---|--|---------|
| 05/31/18 | CASH | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGED INST |  | \$11.39 |
|----------|------|-------------------|---|--|---------|

|          |      |                   |                                      |  |         |
|----------|------|-------------------|--------------------------------------|--|---------|
| 05/31/18 | CASH | DIVIDEND RECEIVED | PRUDENTIAL TOTAL RETURN BOND CLASS 2 |  | \$88.41 |
|----------|------|-------------------|--------------------------------------|--|---------|

|          |      |                   |                        |  |         |
|----------|------|-------------------|------------------------|--|---------|
| 06/27/18 | CASH | DIVIDEND RECEIVED | MFS VALUE FUND CLASS I |  | \$89.08 |
|----------|------|-------------------|------------------------|--|---------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 06/29/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |  | \$2.14 |
|----------|------|-------------------|---|--|--------|

**Total Dividends**

Pruco Securities, LLC

MN\_CEBFSXNWBBWBCP\_BBBB 20180629

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

WK SCHRADER - Premier Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                             | Synthetic/Quasi Account Type | Quantity  | Price on 07/31/18 | Current Market Value | Estimated Annual Income |
|---|------------------------------|-----------|-------------------|----------------------|-------------------------|
| WELLS FARGO CAB MID CAP VALUE INSTL SHS | CBMSX CASH                   | 324.25    | \$37.39           | [REDACTED]           | [REDACTED]              |
| Estimated Yield 0.33%                   |                              |           |                   |                      |                         |
| Dividend Option Reinvest                |                              |           |                   |                      |                         |
| Capital Gain Option Reinvest            |                              |           |                   |                      |                         |
| <b>Total Equity</b>                     |                              |           |                   | [REDACTED]           | [REDACTED]              |
| <b>Fixed Income</b>                     |                              |           |                   | [REDACTED]           | [REDACTED]              |
| PGIM TOTAL RETURN BOND CL Z             | PDBZX CASH                   | 2,299.232 | \$10.05           | [REDACTED]           | [REDACTED]              |
| Estimated Yield 3.12%                   |                              |           |                   |                      |                         |
| Dividend Option Reinvest                |                              |           |                   |                      |                         |
| Capital Gain Option Reinvest            |                              |           |                   |                      |                         |
| PIMCO INTL BD (U.S. DOLLAR-HEGED) INST  | PFORX CASH                   | 756.125   | \$10.78           | [REDACTED]           | [REDACTED]              |
| Estimated Yield 1.38%                   |                              |           |                   |                      |                         |
| Dividend Option Reinvest                |                              |           |                   |                      |                         |
| Capital Gain Option Reinvest            |                              |           |                   |                      |                         |
| <b>Total Fixed Income</b>               |                              |           |                   | [REDACTED]           | [REDACTED]              |
| <b>Total Mutual Funds</b>               |                              |           |                   | [REDACTED]           | [REDACTED]              |
| <b>Total Securities</b>                 |                              |           |                   | [REDACTED]           | [REDACTED]              |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |           |                   | [REDACTED]           | [REDACTED]              |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

**Securities Purchased**

|          |      |              |   |       |           |
|----------|------|--------------|---|-------|-----------|
| 06/29/18 | CASH | REINVESTMENT | MFS MA INVESTORS TRUST CLASS I REINVEST @ \$31.5500 | 2.254 | (\$71.11) |
|----------|------|--------------|---|-------|-----------|

Pruco Securities, LLC

MN\_CEBFWMQMBBTTDQ\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRADER - Premium Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

| Settlement Date | Account Type | Transaction  | Description   | Quantity | Amount    |
|-----------------|--------------|--------------|---|----------|-----------|
| 06/29/18        | CASH         | REINVESTMENT | MFS MA INVESTORS TRUST CLASS I REINVEST @ \$31.5500 | 1.715    | (\$54.11) |

|          |      |              |   |       |           |
|----------|------|--------------|---|-------|-----------|
| 06/29/18 | CASH | REINVESTMENT | PIMCO FOREIGN BOND FUND USD HEDGD INST REINVESTED @ \$10.79 | 1.213 | (\$13.09) |
|----------|------|--------------|---|-------|-----------|

|          |      |              |  |       |            |
|----------|------|--------------|--|-------|------------|
| 06/29/18 | CASH | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.07 | 7.284 | (\$102.48) |
|----------|------|--------------|--|-------|------------|

Total Securities Purchased

ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 07/02/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 0.53     | (\$0.53) |

|          |      |          |  |          |          |
|----------|------|----------|--|----------|----------|
| 07/10/18 | CASH | YOU SOLD | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 07-10-18 | (698.56) | \$698.56 |
|----------|------|----------|--|----------|----------|

|          |      |            |   |      |          |
|----------|------|------------|---|------|----------|
| 07/30/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 0.34 | (\$0.34) |
|----------|------|------------|---|------|----------|

|          |      |            |   |      |          |
|----------|------|------------|---|------|----------|
| 07/31/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 1.84 | (\$1.84) |
|----------|------|------------|---|------|----------|

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

Dividends

|          |      |                   |                                |  |         |
|----------|------|-------------------|--------------------------------|--|---------|
| 06/29/18 | CASH | DIVIDEND RECEIVED | MFS MA INVESTORS TRUST CLASS I |  | \$54.11 |
|----------|------|-------------------|--------------------------------|--|---------|

Pruco Securities, LLC

MN\_CERFWMQMBBTJDO\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRAEDER - Premium Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**ACTIVITY > INCOME** *continued*

| Settlement Date | Account Type | Transaction       | Description                            | Quantity | Amount  |
|-----------------|--------------|-------------------|--|----------|---------|
| 06/29/18        | CASH         | DIVIDEND RECEIVED | PIMCO FOREIGN BOND FUND USD HEDGD INST |          | \$13.09 |

|          |      |                   |                             |  |          |
|----------|------|-------------------|-----------------------------|--|----------|
| 06/29/18 | CASH | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL Z |  | \$102.48 |
|----------|------|-------------------|-----------------------------|--|----------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 07/31/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED |  | \$1.84 |
|----------|------|-------------------|---|--|--------|

**Total Dividends** \$171.52

**Capital Gain**

|          |      |               |                                |  |         |
|----------|------|---------------|--------------------------------|--|---------|
| 06/29/18 | CASH | LONG CAP GAIN | MFS MA INVESTORS TRUST CLASS I |  | \$71.11 |
|----------|------|---------------|--------------------------------|--|---------|

**Total Capital Gain** \$71.11

**TOTAL INCOME**

[REDACTED]

**ACTIVITY > TAXES, FEES AND EXPENSES**

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

**Account Fees**

|          |      |     |                     |  |            |
|----------|------|-----|---------------------|--|------------|
| 07/10/18 | CASH | FEE | MANAGED ACCOUNT FEE |  | (\$698.56) |
|----------|------|-----|---------------------|--|------------|

**Total Account Fees**

[REDACTED]

**TOTAL TAXES, FEES AND EXPENSES**

[REDACTED]

**ACTIVITY > OTHER ACTIVITY**

| Settlement Date | Account Type | Transaction | Description  | Quantity | Amount |
|-----------------|--------------|-------------|--|----------|--------|
| 07/02/18        | CASH         | ADJUSTMENT  | MUTUAL FUND 12B1 FEE CREDIT FIDELITY TREASURY 23309201 |          | \$0.53 |

Pruco Securities, LLC

MN CEBFWQMBBBDJDQ BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period July 1, 2018 to July 31, 2018

W K SCHRADER **Premier Select IRA**  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY *continued*

| Settlement Date      | Account Type | Transaction | Description   | Quantity | Amount     |
|----------------------|--------------|-------------|---|----------|------------|
| 07/30/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 1281 FEE CREDIT<br>FIDELITY TREASURY 233809/201 |          | \$0.34     |
| TOTAL OTHER ACTIVITY |              |             |   |          | [REDACTED] |

## Miscellaneous Footnotes

**CHANGE IN VALUE OF YOUR PORTFOLIO** is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

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Pruco Securities, LLC

MN\_CEBFWWMQMBB3TJDQ\_BBBB 20180731

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period August 1, 2018 to August 31, 2018

W K SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS - MUTUAL FUNDS** *continued*

| Description                             | Symbol/Cusip<br>Account Type | Quantity | Price on<br>08/31/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO CAB MID CAP VALUE INSTL SHS | CBMSX<br>CASH                | 324.25   | \$37.92              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.32%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| <b>Total Equity</b>                     |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                     |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| PGIM TOTAL RETURN BOND CL Z             | PDBZX<br>CASH                | 2,305.11 | \$14.08              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 3.17%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST | PFORX<br>CASH                | 757.32   | \$10.77              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.45%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| <b>Total Fixed Income</b>               |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>               |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                 |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |          |                      | [REDACTED]              | [REDACTED]                 |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date | Account<br>Type | Transaction | Description | Quantity | Amount |
|--------------------|-----------------|-------------|-------------|----------|--------|
|--------------------|-----------------|-------------|-------------|----------|--------|

**Securities Purchased**

Pruco Securities, LLC

MN\_CEBGBCQHBBTSTS\_BBBB 20180831

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period August 1, 2018 to August 31, 2018

W K SCHRAEDER - Prudential Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date                   | Account Type | Transaction  | Description  | Quantity | Amount    |
|-----------------------------------|--------------|--------------|--|----------|-----------|
| 07/31/18                          | CASH         | REINVESTMENT | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.78 | 1.195    | (\$12.89) |
| 07/31/18                          | CASH         | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.06             | 5.878    | (\$82.65) |
| 08/10/18                          | CASH         | REINVESTMENT | PARAMETRIC EMERGING MKTS INST CL REINVEST @ \$14.8300        | 0.359    | (\$5.32)  |
| <b>Total Securities Purchased</b> |              |              |  |          |           |

**ACTIVITY > CORE FUND ACTIVITY**

| Settlement Date                 | Account Type | Transaction | Description                               | Quantity | Amount   |
|---------------------------------|--------------|-------------|---|----------|----------|
| 08/31/18                        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 1.65     | (\$1.65) |
| <b>TOTAL CORE FUND ACTIVITY</b> |              |             |   |          |          |

**ACTIVITY > INCOME**

| Settlement Date | Account Type | Transaction       | Description   | Quantity | Amount  |
|-----------------|--------------|-------------------|---|----------|---------|
| 07/31/18        | CASH         | DIVIDEND RECEIVED | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST                 |          | \$12.88 |
| 07/31/18        | CASH         | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL Z                             |          | \$82.65 |
| 08/10/18        | CASH         | DIVIDEND RECEIVED | PARAMETRIC EMERGING MKTS INST CL                        |          | \$5.32  |
| 08/31/18        | CASH         | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |          | \$1.65  |

**Prudential Securities, LLC**

MN\_CEBGBCQHBBTJSZS\_BBBBBB 20180831

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period September 1, 2018 to September 30, 2018

W K SCHRADER -Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS - MUTUAL FUNDS** *continued*

| Description                             | Symbol/Cusip<br>Account Type | Quantity | Price on<br>09/30/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|----------|----------------------|-------------------------|----------------------------|
| WELLS FARGO C&B MID CAP VALUE INSTL SRS | CBMSX<br>CASH                | 324.25   | \$38.26              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 0.32%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| <b>Total Equity</b>                     |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Fixed Income</b>                     |                              |          |                      |                         |                            |
| PGIM TOTAL RETURN BOND CL Z             | PDRZX<br>CASH                | 2.31     | \$13.95              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 3.26%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST | PFORX<br>CASH                | 758.626  | \$16.74              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.52%                   |                              |          |                      |                         |                            |
| Dividend Option Reinvest                |                              |          |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |          |                      |                         |                            |
| <b>Total Fixed Income</b>               |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>               |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                 |                              |          |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |          |                      | [REDACTED]              | [REDACTED]                 |

**Activity**

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date | Account<br>Type | Transaction | Description | Quantity | Amount |
|--------------------|-----------------|-------------|-------------|----------|--------|
|--------------------|-----------------|-------------|-------------|----------|--------|

**Securities Purchased**

Pruco Securities, LLC

MN\_CEBGDKGTBBVWFB\_BBBB 20180928

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period September 1, 2018 to September 30, 2018

W K SCHRADER, Premier Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date | Account Type | Transaction  | Description  | Quantity | Amount    |
|-----------------|--------------|--------------|--|----------|-----------|
| 08/31/18        | CASH         | REINVESTMENT | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.77 | 1.306    | (\$14.07) |

|          |      |              |  |       |            |
|----------|------|--------------|--|-------|------------|
| 08/31/18 | CASH | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.08 | 7.171 | (\$100.97) |
|----------|------|--------------|--|-------|------------|

|          |      |              |   |       |           |
|----------|------|--------------|---|-------|-----------|
| 09/28/18 | CASH | REINVESTMENT | MFS VALUE FUND CLASS I REINVEST @ \$41.1700 | 1.448 | (\$59.62) |
|----------|------|--------------|---|-------|-----------|

**Total Securities Purchased**

**ACTIVITY > CORE FUND ACTIVITY**

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 09/04/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 0.36     | (\$0.36) |

|          |      |            |   |      |          |
|----------|------|------------|---|------|----------|
| 09/28/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 1.67 | (\$1.67) |
|----------|------|------------|---|------|----------|

**TOTAL CORE FUND ACTIVITY**

**ACTIVITY > INCOME**

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

**Dividends**

|          |      |                   |   |  |         |
|----------|------|-------------------|---|--|---------|
| 08/31/18 | CASH | DIVIDEND RECEIVED | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST |  | \$14.07 |
|----------|------|-------------------|---|--|---------|

|          |      |                   |                             |  |          |
|----------|------|-------------------|-----------------------------|--|----------|
| 08/31/18 | CASH | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL Z |  | \$100.97 |
|----------|------|-------------------|-----------------------------|--|----------|

|          |      |                   |                        |  |         |
|----------|------|-------------------|------------------------|--|---------|
| 09/28/18 | CASH | DIVIDEND RECEIVED | MFS VALUE FUND CLASS I |  | \$59.62 |
|----------|------|-------------------|------------------------|--|---------|

**Pruco Securities, LLC**

MN\_CEBGDKGTBBVWFB\_BBBBB 20180928

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period September 1, 2018 to September 30, 2018

W K SCHRADER - *Premier Select IRA*  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

| Settlement Date | Account Type | Transaction       | Description   | Quantity | Amount   |
|-----------------|--------------|-------------------|---|----------|----------|
| 09/28/18        | CASH         | DIVIDEND RECEIVED | FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED |          | \$1.67   |
| Total Dividends |              |                   |   |          | \$176.33 |

TOTAL INCOME

ACTIVITY > OTHER ACTIVITY

| Settlement Date | Account Type | Transaction | Description   | Quantity | Amount |
|-----------------|--------------|-------------|---|----------|--------|
| 09/04/18        | CASH         | ADJUSTMENT  | MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY 233809201 |          | \$0.36 |

TOTAL OTHER ACTIVITY

## Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS' allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition, respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undermargined condition.

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Pruco Securities, LLC

MN\_CEBGDKGTBBBWWFB\_BBBB 20180928

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period October 1, 2018 to October 31, 2018

W K SCHRADER - Premium Select IRA  
Account Number [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date | Account Type | Transaction  | Description  | Quantity | Amount    |
|-----------------|--------------|--------------|--|----------|-----------|
| 09/28/18        | CASH         | REINVESTMENT | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.74 | 1.067    | (\$11.46) |

|          |      |              |  |       |           |
|----------|------|--------------|--|-------|-----------|
| 09/28/18 | CASH | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$13.95 | 5.642 | (\$78.71) |
|----------|------|--------------|--|-------|-----------|

**Total Securities Purchased** (\$90.17)

**Securities Sold**

|          |      |          |   |          |          |
|----------|------|----------|---|----------|----------|
| 10/12/18 | CASH | YOU SOLD | EMERALD GROWTH INSTITUTIONAL SOLICITED ORDER CONF:000162074 @ 27.4800 | (18.595) | \$511.00 |
|----------|------|----------|---|----------|----------|

|          |      |          |   |         |          |
|----------|------|----------|---|---------|----------|
| 10/12/18 | CASH | YOU SOLD | MFS VALUE FUND CLASS I SOLICITED ORDER CONF:000047097 @ 39.0400 | (9.939) | \$388.00 |
|----------|------|----------|---|---------|----------|

|          |      |          |  |         |          |
|----------|------|----------|--|---------|----------|
| 10/12/18 | CASH | YOU SOLD | T ROWE PRICE GROWTH STOCK ADVISOR CL SOLICITED ORDER @ 64.4000 | (7.764) | \$500.00 |
|----------|------|----------|--|---------|----------|

**Total Securities Sold** [REDACTED]

**ACTIVITY > CORE FUND ACTIVITY**

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 10/01/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 0.29     | (\$0.29) |

|          |      |          |  |         |          |
|----------|------|----------|--|---------|----------|
| 10/10/18 | CASH | YOU SOLD | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 AS OF 10-10-18 | (722.3) | \$722.30 |
|----------|------|----------|--|---------|----------|

|          |      |            |   |       |              |
|----------|------|------------|---|-------|--------------|
| 10/12/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 1.399 | (\$1,399.00) |
|----------|------|------------|---|-------|--------------|

|          |      |            |   |      |          |
|----------|------|------------|---|------|----------|
| 10/29/18 | CASH | YOU BOUGHT | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 0.35 | (\$0.35) |
|----------|------|------------|---|------|----------|

Pruco Securities, LLC

MN\_CEBGGZPCBBT7DZ\_BB8BB 20181031

Account carried with National Financial Services LLC, Member NYSE, SIPC

Statement for the Period October 1, 2018 to October 31, 2018

WK SCHRADER - Premier Select IRA

Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE

Investment Discipline: FUND ADVISORY PROGRAM



**ACTIVITY > CORE FUND ACTIVITY** *continued*

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 10/31/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MKMT FD DAILY MONEY @ 1 | 2.32     | (\$2.32) |

**TOTAL CORE FUND ACTIVITY**

[REDACTED]

**ACTIVITY > INCOME**

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

**Dividends**

|          |      |                   |  |  |         |
|----------|------|-------------------|--|--|---------|
| 09/28/18 | CASH | DIVIDEND RECEIVED | PIMCO INTL BD (U.S. DOLLAR-HEDED) INST |  | \$11.46 |
|----------|------|-------------------|--|--|---------|

|          |      |                   |                             |  |         |
|----------|------|-------------------|-----------------------------|--|---------|
| 09/28/18 | CASH | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL 2 |  | \$78.71 |
|----------|------|-------------------|-----------------------------|--|---------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 10/31/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MKMT FD DAILY MONEY DIVIDEND RECEIVED |  | \$2.32 |
|----------|------|-------------------|---|--|--------|

**Total Dividends**

[REDACTED]

**TOTAL INCOME**

[REDACTED]

**ACTIVITY > TAXES, FEES AND EXPENSES**

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

**Account Fees**

|          |      |     |                     |  |            |
|----------|------|-----|---------------------|--|------------|
| 10/10/18 | CASH | FEE | MANAGED ACCOUNT FEE |  | (\$722.30) |
|----------|------|-----|---------------------|--|------------|

**Total Account Fees**

[REDACTED]

**TOTAL TAXES, FEES AND EXPENSES**

[REDACTED]

Pruco Securities, LLC

MN\_CEBGGZPCBBT7DZ\_BBBB 20181031

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period October 1, 2018 to October 31, 2018

W K SCHRADER - Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > OTHER ACTIVITY

| Settlement Date      | Account Type | Transaction | Description  | Quantity | Amount     |
|----------------------|--------------|-------------|--|----------|------------|
| 10/01/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 1281 FEE CREDIT<br>FIDELITY TREASURY 233809201 |          | \$0.29     |
| 10/29/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 1281 FEE CREDIT<br>FIDELITY TREASURY 233809201 |          | \$0.35     |
| TOTAL OTHER ACTIVITY |              |             |  |          | [REDACTED] |

## Miscellaneous Footnotes

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Pruco Securities, LLC

MN\_CEBGGZPCBBBTZDZ\_BBBB 20181031

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period November 1, 2018 to November 30, 2018

W K SCHRADER - **Premiere Select IRA**  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

**HOLDINGS > MUTUAL FUNDS** *continued*

| Description                             | Symbol/Cusip<br>Account Type | Quantity         | Price on<br>11/30/18 | Current<br>Market Value | Estimated<br>Annual Income |
|---|------------------------------|------------------|----------------------|-------------------------|----------------------------|
| PGIM TOTAL RETURN BOND CL Z             | PDBZX                        | <i>continued</i> |                      |                         |                            |
| Dividend Option Reinvest                |                              |                  |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |                  |                      |                         |                            |
| PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST | PFORX                        | 760.00           | \$10.73              | [REDACTED]              | [REDACTED]                 |
| Estimated Yield 1.60%                   | CASH                         |                  |                      |                         |                            |
| Dividend Option Reinvest                |                              |                  |                      |                         |                            |
| Capital Gain Option Reinvest            |                              |                  |                      |                         |                            |
| <b>Total Fixed Income</b>               |                              |                  |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Mutual Funds</b>               |                              |                  |                      | [REDACTED]              | [REDACTED]                 |
| <b>Total Securities</b>                 |                              |                  |                      | [REDACTED]              | [REDACTED]                 |
| <b>TOTAL PORTFOLIO VALUE</b>            |                              |                  |                      | [REDACTED]              | [REDACTED]                 |

## Activity

**PURCHASES, SALES, AND REDEMPTIONS**

| Settlement<br>Date          | Account<br>Type | Transaction  | Description  | Quantity | Amount       |
|-----------------------------|-----------------|--------------|--|----------|--------------|
| <b>Securities Purchased</b> |                 |              |  |          |              |
| 10/31/18                    | CASH            | REINVESTMENT | PIMCO INTL BD (U.S.<br>DOLLAR-HEDGED) INST REINVESTED<br>@ \$10.75                           | 1.169    | (\$12.57)    |
| 10/31/18                    | CASH            | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z<br>REINVESTED @ \$13.82  | 7.53     | (\$104.06)   |
| 11/13/18                    | CASH            | YOU BOUGHT   | BAIRD MID CAP INSTL CLASS SHS<br>PROSPECTUS UNDER SEPARATE COVER<br>SOLICITED ORD. @ 20.2000 | 211.693  | (\$4,276.00) |

Pruco Securities, LLC

MN\_CEBGKHSKBBBZWKC\_BBBB 201811130

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period November 1, 2018 to November 30, 2018  
 WK SCHRADER - Prudential Select IRA  
 Account Number [REDACTED]  
 Separate Acct Manager: PRUDCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date                   | Account Type | Transaction  | Description  | Quantity  | Amount               |
|-----------------------------------|--------------|--------------|--|-----------|----------------------|
| 11/13/18                          | CASH         | YOU BOUGHT   | DELAWARE VALUE FUND<br>INSTITUTIONAL PROSPECTUS UNDER<br>SEPARATE COVER SOLICITED ORDER<br>@ 22.1400     | 676.107   | (\$14,969.00)        |
| 11/13/18                          | CASH         | YOU BOUGHT   | HARDING LOEWEN INTL EQUITY PORT<br>INSTL PROSPECTUS UNDER SEPARATE<br>COVER SOLICITED ORDER<br>@ 20.4900 | 534.163   | (\$10,945.00)        |
| 11/13/18                          | CASH         | YOU BOUGHT   | TRANSAMERICA INTL EQUITY CL I<br>PROSPECTUS UNDER SEPARATE COVER<br>SOLICITED ORDER<br>@ 17.4600         | 767.468   | (\$13,400.00)        |
| 11/20/18                          | CASH         | REINVESTMENT | ARTISAN MID CAP FUND ADVISOR<br>REINVESTED @ \$29.90 AS OF<br>11/20/18                                   | 42.517    | (\$1,271.27)         |
| 11/20/18                          | CASH         | REINVESTMENT | ARTISAN MID CAP FUND ADVISOR<br>REINVESTED @ \$29.90 AS OF<br>11/20/18                                   | 2.427     | (\$72.58)            |
| <b>Total Securities Purchased</b> |              |              |  |           | <b>(\$45,050.48)</b> |
| <b>Securities Sold</b>            |              |              |  |           |                      |
| 11/13/18                          | CASH         | YOU SOLD     | PARAMETRIC EMERGING MKTS INST CL<br>SOLICITED ORDER<br>@ 13.5700   | (572.05)  | \$7,762.72           |
| 11/13/18                          | CASH         | YOU SOLD     | AFS VALUE FUND CLASS I SOLICITED<br>@ 39.6600  | (402.095) | \$15,947.09          |
| 11/13/18                          | CASH         | YOU SOLD     | PGIM JEN INTL OPPORTUNITIES CL Z<br>SOLICITED ORDER @ 15.8900  | (902.082) | \$14,334.08          |

Prudential Securities, LLC

MN\_CEBGKHSKBZWK\_C\_BBBB 20181130

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period November 1, 2018 to November 30, 2018

W K SCHRAEDER - Premier Select IRA  
 Account Number [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

| Settlement Date       | Account Type | Transaction | Description   | Quantity  | Amount     |
|-----------------------|--------------|-------------|---|-----------|------------|
| 11/13/18              | CASH         | YOU SOLD    | PGIM JENNISON MID CAP GROWTH CL Z SOLICITED ORDER @ 37.7900 | (124.579) | \$4,707.84 |
| Total Securities Sold |              |             |   |           |            |

ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 11/13/18        | CASH         | YOU SOLD    | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | (938.27) | \$836.27 |
| 11/20/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MMKT FD DAILY MONEY @ 1 | 2.22     | (\$2.22) |

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

| Settlement Date | Account Type | Transaction | Description | Quantity | Amount |
|-----------------|--------------|-------------|-------------|----------|--------|
|-----------------|--------------|-------------|-------------|----------|--------|

Dividends

|          |      |                   |  |  |         |
|----------|------|-------------------|--|--|---------|
| 10/31/18 | CASH | DIVIDEND RECEIVED | PMCO INTL BD (U.S. DOLLAR-HEDGED) INST |  | \$12.57 |
|----------|------|-------------------|--|--|---------|

|          |      |                   |                             |  |          |
|----------|------|-------------------|-----------------------------|--|----------|
| 10/31/18 | CASH | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL Z |  | \$104.06 |
|----------|------|-------------------|-----------------------------|--|----------|

|          |      |                   |   |  |        |
|----------|------|-------------------|---|--|--------|
| 11/20/18 | CASH | DIVIDEND RECEIVED | FIDELITY TREASURY MMKT FD DAILY MONEY DIVIDEND RECEIVED |  | \$2.22 |
|----------|------|-------------------|---|--|--------|

Total Dividends

|          |      |               |                              |  |            |
|----------|------|---------------|------------------------------|--|------------|
| 11/20/18 | CASH | LONG CAP GAIN | ARTISAN MID CAP FUND ADVISOR |  | \$1,271.27 |
|----------|------|---------------|------------------------------|--|------------|

Capital Gain

Pruco Securities, LLC

MN\_CEBGKHSKBBBZWKC\_BBBB 20181130

Account carried with National Financial Services LLC, Member NYSE, SIPC





Statement for the Period November 1, 2018 to November 30, 2018  
WK SCHRAEDER - **Premiere Select IRA**  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM

ACTIVITY > INCOME *continued*

| Settlement Date    | Account Type | Transaction    | Description                  | Quantity | Amount     |
|--------------------|--------------|----------------|------------------------------|----------|------------|
| 11/20/18           | CASH         | SHORT CAP GAIN | ARTISAN MID CAP FUND ADVISOR |          | \$72.58    |
| Total Capital Gain |              |                |                              |          | [REDACTED] |

TOTAL INCOME [REDACTED]

# Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

CALLABLE SECURITIES LOTTERY - When street name or bearer securities held for you are subject to a partial call or partial redemption by the issuer, NFS may or may not receive an allocation of called/redeemed securities by the issuer, transfer agent and/or depository. If NFS is allocated a portion of the called/redeemed securities, NFS utilizes an impartial lottery allocation system, in accordance with applicable rules, that randomly selects the securities within customer accounts that will be called/redeemed. NFS allocations are not made on a pro rata basis and it is possible for you to receive a full or partial allocation, or no allocation. You have the right to withdraw uncalled fully paid securities at any time prior to the cutoff date and time established by the issuer, transfer agent and/or depository with respect to the partial call, and also to withdraw excess margin securities provided your account is not subject to restriction under Regulation T or such withdrawal will not cause an undemargined condition.

PRICING INFORMATION - Prices displayed are obtained from sources that may include pricing vendors, broker/dealers who clear through NFS and/or other sources. Prices may not reflect current fair market value and/or may not be readily marketable or redeemable at the prices shown.

FOREIGN EXCHANGE TRANSACTIONS - Some transaction types necessitate a foreign currency exchange (FX) in order to settle. FX transactions may be effected by Fidelity Forex, Inc. on a principal basis. Fidelity Forex, Inc., an affiliate of NFS, may impose a commission or markup on the prevailing interbank market price, which may result in a higher price to you. Fidelity Forex, Inc. may share a portion of any FX commission or markup with NFS. More favorable rates may be available through third parties not affiliated with NFS. The rate applicable to any transaction involving an FX is available upon request through your broker-dealer.

Statement for the Period December 1, 2018 to December 31, 2018

WK SCHRAEDER, Prudential Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

HOLDINGS > MUTUAL FUNDS continued

| Description                             | Symbol/Security<br>Account Type | PDBX | Quantity | Price on<br>12/31/18 | Market Value | Estimated<br>Annual Income |
|---|---------------------------------|------|----------|----------------------|--------------|----------------------------|
| PGIM TOTAL RETURN BOND CL Z             |                                 |      |          |                      |              |                            |
| Dividend Option Reinvest                |                                 |      |          |                      |              |                            |
| Capital Gain Option Reinvest            |                                 |      |          |                      |              |                            |
| PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST | PFORX<br>CASH                   |      | 770.815  | \$10.64              |              |                            |
| Estimated Yield 1.68%                   |                                 |      |          |                      |              |                            |
| Dividend Option Reinvest                |                                 |      |          |                      |              |                            |
| Capital Gain Option Reinvest            |                                 |      |          |                      |              |                            |
| Total Fixed Income                      |                                 |      |          |                      |              |                            |
| Total Mutual Funds                      |                                 |      |          |                      |              |                            |
| Total Securities                        |                                 |      |          |                      |              |                            |
| TOTAL PORTFOLIO VALUE                   |                                 |      |          |                      |              |                            |

Activity

PURCHASES, SALES, AND REDEMPTIONS

| Settlement<br>Date   | Account<br>Type | Transaction  | Description  | Quantity | Amount       |
|----------------------|-----------------|--------------|--|----------|--------------|
| Securities Purchased |                 |              |  |          |              |
| 11/30/18             | CASH            | REINVESTMENT | PIMCO INTL BD (U.S.<br>DOLLAR-HEDGED) INST REINVESTED<br>@ \$10.73 | 1.318    | (\$14.14)    |
| 11/30/18             | CASH            | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z<br>REINVESTED @ \$13.83                | 7.215    | (\$99.79)    |
| 12/05/18             | CASH            | REINVESTMENT | EATON VANCE GROWTH CLASS I<br>REINVEST @ \$25.8900                 | 62.396   | (\$1,615.44) |

Prudential Securities, LLC

MN\_CEBGMFHKBBBCBNQV\_BBBBBB 20181231

Account carried with National Financial Services LLC, Member  
NYSE, SIPC

Statement for the Period December 1, 2018 to December 31, 2018

WK SCHRADER - Premiere Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

| Settlement Date | Account Type | Transaction  | Description   | Quantity | Amount       |
|-----------------|--------------|--------------|---|----------|--------------|
| 12/12/18        | CASH         | REINVESTMENT | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.71 AS OF 12/12/18 | 1.219    | (\$13.06)    |
| 12/12/18        | CASH         | REINVESTMENT | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.71 AS OF 12/12/18 | 0.792    | (\$8.48)     |
| 12/13/18        | CASH         | REINVESTMENT | HOTCHKIS & WILEY LARGE CAP VALUE CL I REINVESTED @ \$29.34 AS OF 12/13/18   | 9.79     | (\$287.24)   |
| 12/13/18        | CASH         | REINVESTMENT | HOTCHKIS & WILEY LARGE CAP VALUE CL I REINVESTED @ \$29.34 AS OF 12/13/18   | 4.624    | (\$135.67)   |
| 12/13/18        | CASH         | REINVESTMENT | HOTCHKIS & WILEY LARGE CAP VALUE CL I REINVESTED @ \$29.34 AS OF 12/13/18   | 0.607    | (\$17.82)    |
| 12/13/18        | CASH         | REINVESTMENT | T ROWE PRICE GROWTH STOCK ADVISOR CL REINVESTED @ \$59.09 AS OF 12/13/18    | 18.957   | (\$1,120.17) |
| 12/13/18        | CASH         | REINVESTMENT | T ROWE PRICE GROWTH STOCK ADVISOR CL REINVESTED @ \$59.09 AS OF 12/13/18    | 2.195    | (\$128.73)   |
| 12/17/18        | CASH         | REINVESTMENT | EMERALD GROWTH INSTITUTIONAL REINVEST @ \$21.9600                           | 23.158   | (\$508.54)   |
| 12/17/18        | CASH         | REINVESTMENT | WELLS FARGO C&B MID CAP VALUE INSTL SHS REINVEST @ \$33.0100                | 1.989    | (\$65.66)    |
| 12/18/18        | CASH         | REINVESTMENT | HARDING LOEWNER INTL EQUITY PORT INSTL REINVESTED @ \$19.36 AS OF 12/18/18  | 18.529   | (\$358.73)   |

Pruco Securities, LLC

MN\_CEBGMFHKBCBQV\_BB8BB 20181231

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC



Statement for the Period December 1, 2018 to December 31, 2018  
 WK SCHRADER - Prudential Select IRA  
 Account Number [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM

**PURCHASES, SALES, AND REDEMPTIONS** *continued*

| Settlement Date | Account Type | Transaction  | Description   | Quantity | Amount       |
|-----------------|--------------|--------------|---|----------|--------------|
| 12/18/18        | CASH         | REINVESTMENT | MFS MA INVESTORS TRUST CLASS I<br>REINVEST @ \$26.2600                | 100.385  | (\$2,636.12) |
| 12/18/18        | CASH         | REINVESTMENT | MFS MA INVESTORS TRUST CLASS I<br>REINVEST @ \$26.2600                | 8.796    | (\$230.98)   |
| 12/18/18        | CASH         | REINVESTMENT | MFS MA INVESTORS TRUST CLASS I<br>REINVEST @ \$26.2600                | 1.382    | (\$36.30)    |
| 12/20/18        | CASH         | REINVESTMENT | TRANSAMERICA INTL EQUITY CL I<br>REINVEST @ \$15.5600                 | 52.381   | (\$815.05)   |
| 12/20/18        | CASH         | REINVESTMENT | TRANSAMERICA INTL EQUITY CL I<br>REINVEST @ \$15.5600                 | 35.16    | (\$547.09)   |
| 12/20/18        | CASH         | REINVESTMENT | VICTORY SYCAMORE SM CO<br>OPPORTUNITY I REINVEST<br>@ \$37.8200       | 13.545   | (\$512.28)   |
| 12/20/18        | CASH         | REINVESTMENT | VICTORY SYCAMORE SM CO<br>OPPORTUNITY I REINVEST<br>@ \$37.8200       | 3.15     | (\$119.13)   |
| 12/21/18        | CASH         | REINVESTMENT | PGIM TOTAL RETURN BOND CL Z<br>REINVESTED @ \$13.87 AS OF<br>12/21/18 | 14.49    | (\$200.98)   |
| 12/24/18        | CASH         | REINVESTMENT | DELAWARE VALUE FUND<br>INSTITUTIONAL REINVEST<br>@ \$18.9900          | 29.159   | (\$553.73)   |
| 12/24/18        | CASH         | REINVESTMENT | DELAWARE VALUE FUND<br>INSTITUTIONAL REINVEST<br>@ \$18.9900          | 3.667    | (\$69.64)    |



Statement for the Period December 1, 2018 to December 31, 2018

W K SCHRADER, Prudential Select IRA  
Account Number [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM

PURCHASES, SALES, AND REDEMPTIONS continued

| Settlement Date | Account Type | Transaction  | Description  | Quantity | Amount     |
|-----------------|--------------|--------------|--|----------|------------|
| 12/24/18        | CASH         | REINVESTMENT | DELAWARE VALUE FUND INSTITUTIONAL REINVEST @ \$18.9900                     | 1.958    | (\$37.19)  |
| 12/26/18        | CASH         | REINVESTMENT | BAIRD MID CAP INSTL CLASS SHS REINVESTED @ \$17.37 AS OF 12/26/18          | 13.203   | (\$228.33) |
| 12/26/18        | CASH         | REINVESTMENT | BAIRD MID CAP INSTL CLASS SHS REINVESTED @ \$17.37 AS OF 12/26/18          | 0.311    | (\$5.41)   |
| 12/26/18        | CASH         | REINVESTMENT | PMCO INTL BD (U.S. DOLLAR-HEDGED) INST REINVESTED @ \$10.63 AS OF 12/26/18 | 6.624    | (\$70.41)  |
| 12/27/18        | CASH         | REINVESTMENT | VICTORY STYCAMORE SM CO OPPORTUNITY I REINVEST @ \$37.2500                 | 0.994    | (\$37.01)  |

Total Securities Purchased

ACTIVITY > CORE FUND ACTIVITY

| Settlement Date | Account Type | Transaction | Description                               | Quantity | Amount   |
|-----------------|--------------|-------------|---|----------|----------|
| 12/03/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MGMT FD DAILY MONEY @ 1 | 0.42     | (\$0.42) |
| 12/31/18        | CASH         | YOU BOUGHT  | FIDELITY TREASURY MGMT FD DAILY MONEY @ 1 | 1.9      | (\$1.90) |

TOTAL CORE FUND ACTIVITY

Statement for the Period December 1, 2018 to December 31, 2018  
 WK SCHRADER, Premium Select IRA  
 Account Number: [REDACTED]  
 Separate Acct Manager: PRUCHOICE  
 Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME

| Settlement Date        | Account Type | Transaction       | Description  | Quantity | Amount   |
|------------------------|--------------|-------------------|--|----------|----------|
| <b>Dividends</b>       |              |                   |  |          |          |
| 11/30/18               | CASH         | DIVIDEND RECEIVED | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST                |          | \$14.14  |
| 11/30/18               | CASH         | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL Z                            |          | \$99.79  |
| 12/13/18               | CASH         | DIVIDEND RECEIVED | HOTCHKIS & WILEY LARGE CAP VALUE CL I                  |          | \$287.24 |
| 12/17/18               | CASH         | DIVIDEND RECEIVED | WELLS FARGO C&B MID CAP VALUE INSTL SHS                |          | \$65.66  |
| 12/18/18               | CASH         | DIVIDEND RECEIVED | HARDING LOEVNER INTL EQUITY PORT INSTL                 |          | \$358.73 |
| 12/18/18               | CASH         | DIVIDEND RECEIVED | MFS MA INVESTORS TRUST CLASS I                         |          | \$230.98 |
| 12/20/18               | CASH         | DIVIDEND RECEIVED | TRANSAMERICA INTL EQUITY CL I                          |          | \$547.09 |
| 12/21/18               | CASH         | DIVIDEND RECEIVED | PGIM TOTAL RETURN BOND CL Z                            |          | \$200.98 |
| 12/24/18               | CASH         | DIVIDEND RECEIVED | DELAWARE VALUE FUND INSTITUTIONAL                      |          | \$89.64  |
| 12/26/18               | CASH         | DIVIDEND RECEIVED | PIMCO INTL BD (U.S. DOLLAR-HEDGED) INST                |          | \$70.41  |
| 12/27/18               | CASH         | DIVIDEND RECEIVED | VICTORY SYCAMORE SM CO OPPORTUNITY I                   |          | \$37.01  |
| 12/31/18               | CASH         | DIVIDEND RECEIVED | FIDELITY TREASURY MKT FD DAILY MONEY DIVIDEND RECEIVED |          | \$1.90   |
| <b>Total Dividends</b> |              |                   |  |          |          |

Pruco Securities, LLC

MN\_CEBGMFHKBCBNQV\_BBBB 20181231

Account carried with National Financial Services LLC, Member  
 NYSE, SIPC

Statement for the Period December 1, 2018 to December 31, 2018

W K SCHRAEDER - Premiere Select IRA  
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME continued

| Settlement Date     | Account Type | Transaction    | Description                            | Quantity | Amount     |
|---------------------|--------------|----------------|--|----------|------------|
| <b>Capital Gain</b> |              |                |  |          |            |
| 12/05/18            | CASH         | LONG CAP GAIN  | EATON VANCE GROWTH CLASS I             |          | \$1,615.44 |
| 12/12/18            | CASH         | LONG CAP GAIN  | PIMCO INTL BD (U.S. DOLLAR-HEDED) INST |          | \$8.48     |
| 12/12/18            | CASH         | SHORT CAP GAIN | PIMCO INTL BD (U.S. DOLLAR-HEDED) INST |          | \$13.06    |
| 12/13/18            | CASH         | LONG CAP GAIN  | HOTCHKIS & WILEY LARGE CAP VALUE CL I  |          | \$135.67   |
| 12/13/18            | CASH         | LONG CAP GAIN  | T ROWE PRICE GROWTH STOCK ADVISOR CL   |          | \$1,120.17 |
| 12/13/18            | CASH         | SHORT CAP GAIN | HOTCHKIS & WILEY LARGE CAP VALUE CL I  |          | \$17.82    |
| 12/13/18            | CASH         | SHORT CAP GAIN | T ROWE PRICE GROWTH STOCK ADVISOR CL   |          | \$129.73   |
| 12/17/18            | CASH         | LONG CAP GAIN  | EMERALD GROWTH INSTITUTIONAL           |          | \$508.54   |
| 12/18/18            | CASH         | LONG CAP GAIN  | MFS MA INVESTORS TRUST CLASS I         |          | \$2,636.12 |
| 12/18/18            | CASH         | SHORT CAP GAIN | MFS MA INVESTORS TRUST CLASS I         |          | \$36.30    |
| 12/20/18            | CASH         | LONG CAP GAIN  | TRANSAMERICA INTL EQUITY CL I          |          | \$815.05   |
| 12/20/18            | CASH         | LONG CAP GAIN  | VICTORY SYCAMORE SM CO OPPORTUNITY I   |          | \$512.28   |
| 12/20/18            | CASH         | SHORT CAP GAIN | VICTORY SYCAMORE SM CO OPPORTUNITY I   |          | \$119.13   |

Pruco Securities, LLC

MN\_CEBGMFHKBBCBNQV\_BBBBB 20181231

Account carried with National Financial Services LLC, Member  
NYSE, SIPC



Statement for the Period December 1, 2018 to December 31, 2018  
WK SCHRADER - Premium Select IRA  
Account Number: [REDACTED]  
Separate Acct Manager: PRUCHOICE  
Investment Discipline: FUND ADVISORY PROGRAM

ACTIVITY > INCOME continued

| Settlement Date    | Account Type | Transaction    | Description                       | Quantity | Amount     |
|--------------------|--------------|----------------|-----------------------------------|----------|------------|
| 12/24/18           | CASH         | LONG CAP GAIN  | DELAWARE VALUE FUND INSTITUTIONAL |          | \$553.73   |
| 12/24/18           | CASH         | SHORT CAP GAIN | DELAWARE VALUE FUND INSTITUTIONAL |          | \$37.19    |
| 12/26/18           | CASH         | LONG CAP GAIN  | BAIRD MID CAP INSTL CLASS SHS     |          | \$229.33   |
| 12/26/18           | CASH         | SHORT CAP GAIN | BAIRD MID CAP INSTL CLASS SHS     |          | \$5.41     |
| Total Capital Gain |              |                |                                   |          | [REDACTED] |
| TOTAL INCOME       |              |                |                                   |          | [REDACTED] |

ACTIVITY > OTHER ACTIVITY

| Settlement Date      | Account Type | Transaction | Description                                   | Quantity | Amount     |
|----------------------|--------------|-------------|---|----------|------------|
| 12/03/18             | CASH         | ADJUSTMENT  | MUTUAL FUND 1281 FEE CREDIT FIDELITY TREASURY |          | \$0.42     |
| TOTAL OTHER ACTIVITY |              |             |   |          | [REDACTED] |

## Miscellaneous Footnotes

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# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

AUD 04/12/2018 2S Sale (5,000) RIO TINTO LTD @57.017 263 (SALE CASH SETTLEMENT) 284.80 0.00

Total additions AUD 163.48 0.00

CAD 04/11/2018 2S Sale (15,000) ENCANA CORP @10.910 263 (SALE CASH SETTLEMENT) 163.48 0.00

05/18/2018 2S Sale (50,000) ENCANA CORP @13.515 263 (SALE CASH SETTLEMENT) 674.91 0.00

05/22/2018 2S Sale (130,000) ENCANA CORP @13.707 263 (SALE CASH SETTLEMENT) 1,779.74 0.00

05/23/2018 2S Sale (40,000) ENCANA CORP @13.535 263 (SALE CASH SETTLEMENT) 540.77 0.00

Total additions CAD 1,536.30 0.00

SEK 04/09/2018 2S Sale (150,000) NORDEA BANK AB @10.254 263 (SALE CASH SETTLEMENT) 1,536.30 0.00

04/10/2018 2S Sale (80,000) NORDEA BANK AB @10.290 263 (SALE CASH SETTLEMENT) 822.20 0.00

04/11/2018 2S Sale (60,000) NORDEA BANK AB @10.282 263 (SALE CASH SETTLEMENT) 616.31 0.00

04/12/2018 2S Sale (60,000) NORDEA BANK AB @10.362 263 (SALE CASH SETTLEMENT) 621.12 0.00

04/13/2018 2S Sale (60,000) NORDEA BANK AB @10.178 263 (SALE CASH SETTLEMENT) 610.06 0.00

04/16/2018 2S Sale (70,000) NORDEA BANK AB @10.068 263 (SALE CASH SETTLEMENT) 704.04 0.00

04/17/2018 2S Sale (35,000) NORDEA BANK AB @10.137 263 (SALE CASH SETTLEMENT) 354.38 0.00

Total additions SEK 228.80 0.00

USD 01/02/2018 1L Dividend 180,000 BAXTER INTERNATIONAL INC 183 (CASH DIVIDEND) \$28.80 \$0.00

01/03/2018 1L Dividend 110,000 NIKE INC CL B 183 (CASH DIVIDEND) \$22.00 \$0.00

01/03/2018 1L Dividend 0,000 FED TRUST UST OBLIG #59 183 (CASH DIVIDEND) \$13.40 \$0.00

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## Principles

|                        |          |        |
|------------------------|----------|--------|
| 227 (SWEEP REDEMPTION) | \$313.00 | \$0.00 |
|------------------------|----------|--------|

|                        |        |             |                     |         |        |
|------------------------|--------|-------------|---------------------|---------|--------|
| 01/08/2018 1L Dividend | 90.000 | PEPSICO INC | 183 (CASH DIVIDEND) | \$72.45 | \$0.00 |
|------------------------|--------|-------------|---------------------|---------|--------|

|                        |         |                  |                     |         |        |
|------------------------|---------|------------------|---------------------|---------|--------|
| 01/10/2018 1L Dividend | 130.000 | ALTRIA GROUP INC | 163 (CASH DIVIDEND) | \$85.80 | \$0.00 |
|------------------------|---------|------------------|---------------------|---------|--------|

|                                   |            |                         |                        |            |        |
|-----------------------------------|------------|-------------------------|------------------------|------------|--------|
| 01/12/2018 2# Money mkt fund sale | (5,400.00) | FED TRUST UST OBLIG #59 | 227 (SWEEP REDEMPTION) | \$5,400.00 | \$0.00 |
|-----------------------------------|------------|-------------------------|------------------------|------------|--------|

|                        |        |                          |                     |        |        |
|------------------------|--------|--------------------------|---------------------|--------|--------|
| 01/16/2018 1L Dividend | 50.000 | THERMO FISHER SCIENTIFIC | 183 (CASH DIVIDEND) | \$7.50 | \$0.00 |
|------------------------|--------|--------------------------|---------------------|--------|--------|

|                        |        |               |                     |         |        |
|------------------------|--------|---------------|---------------------|---------|--------|
| 01/19/2018 1L Dividend | 69.000 | CHUBB LIMITED | 183 (CASH DIVIDEND) | \$48.99 | \$0.00 |
|------------------------|--------|---------------|---------------------|---------|--------|

|                        |           |                        |                        |           |        |
|------------------------|-----------|------------------------|------------------------|-----------|--------|
| 2# Money mkt fund sale | (239,000) | FED TRUST US1 OBLG #59 | 22/ (SWEET REDEMPTION) | \$239,000 | \$0.00 |
|                        | @\$1,000  |                        |                        |           |        |

|         |            |                 |                |            |        |
|---------|------------|-----------------|----------------|------------|--------|
| ZS Sale | (15,000)   | RAYTHEON CO NEW | 263 (SALE CASH | \$2,956.03 | \$0.00 |
|         | @\$197.104 |                 | SETTLEMENT)    |            |        |

|                        |         |                       |                     |         |        |
|------------------------|---------|-----------------------|---------------------|---------|--------|
| 01/24/2018 1L Dividend | 235,000 | COMCAST CORP CL A NEW | 183 (CASH DIVIDEND) | \$37.01 | \$0.00 |
|------------------------|---------|-----------------------|---------------------|---------|--------|

|                        |         |              |                     |         |        |
|------------------------|---------|--------------|---------------------|---------|--------|
| 01/26/2018 1L Dividend | 130,000 | DANAHER CORP | 183 (CASH DIVIDEND) | \$18.20 | \$0.00 |
|------------------------|---------|--------------|---------------------|---------|--------|

|                        |             |                         |                        |            |        |
|------------------------|-------------|-------------------------|------------------------|------------|--------|
| 2# Money mkt fund sale | (3,864,000) | FED TRUST UST OBLIG #59 | 227 (SWEEP REDEMPTION) | \$3,864,00 | \$0.00 |
|                        | @\$1,000    |                         |                        |            |        |

|         |          |                |                |            |        |
|---------|----------|----------------|----------------|------------|--------|
| 25 Sale | (20,000) | LILLY ELI & CO | 263 /SALE CASH | \$1,686.84 | \$0.00 |
|---------|----------|----------------|----------------|------------|--------|

|                    |          |               |                |            |        |
|--------------------|----------|---------------|----------------|------------|--------|
| 01/29/2018 2S Sale | (50,000) | LILLY EU & CO | 263 /SALE CASH | \$4,235.19 | \$0.00 |
|--------------------|----------|---------------|----------------|------------|--------|

**SETTLEMENT)**

|                    |           |               |                |            |        |
|--------------------|-----------|---------------|----------------|------------|--------|
| 01/30/2018 2S Sale | (55,000)  | LILLY EU & CO | 253 (SALE CASH | \$4,737.72 | \$0.00 |
|                    | @\$86.182 |               | SETTLEMENT)    |            |        |

|                        |        |                 |                     |         |        |
|------------------------|--------|-----------------|---------------------|---------|--------|
| 02/01/2018 1L Dividend | 60.000 | RAYTHEON CO NEW | 183 (CASH DIVIDEND) | \$47.85 | \$0.00 |
|------------------------|--------|-----------------|---------------------|---------|--------|

|        |                         |                     |         |        |
|--------|-------------------------|---------------------|---------|--------|
| 65,000 | BRISTOL-MYERS SQUIBB CO | 183 (CASH DIVIDEND) | \$26.00 | \$0.00 |
|--------|-------------------------|---------------------|---------|--------|

|            |             |        |                         |                     |         |        |
|------------|-------------|--------|-------------------------|---------------------|---------|--------|
| 02/02/2018 | 1L Dividend | 0.0000 | FED TRUST UST OBLIG #59 | 183 (CASH DIVIDEND) | \$19.97 | \$0.00 |
|------------|-------------|--------|-------------------------|---------------------|---------|--------|

|                        |        |                         |                     |              |         |
|------------------------|--------|-------------------------|---------------------|--------------|---------|
| 02/05/2018 1L Dividend | 0.0000 | OW STRATEGIC OPPTY FUND | 183 (CASH DIVIDEND) | (\$4,935.40) | \$0.000 |
|------------------------|--------|-------------------------|---------------------|--------------|---------|

|       |                         |                     |              |         |
|-------|-------------------------|---------------------|--------------|---------|
| 0.000 | OW STRATEGIC OPTYS FUND | 183 (CASH DIVIDEND) | (\$4,935.40) | \$0.000 |
|-------|-------------------------|---------------------|--------------|---------|

TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT

**B  
BESSEMER  
TRUST**

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD 02/05/2018 1L Dividend

|       |   |                     |              |        |
|-------|---|---------------------|--------------|--------|
| 0.000 | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT | 183 (CASH DIVIDEND) | \$4,438.41   | \$0.00 |
| 0.000 | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT | 183 (CASH DIVIDEND) | \$4,438.40   | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 12/14/2017 PAYMENT    | 183 (CASH DIVIDEND) | (\$1,301.02) | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 09/14/2017 PAYMENT    | 183 (CASH DIVIDEND) | (\$1,215.91) | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 03/16/2017 PAYMENT    | 183 (CASH DIVIDEND) | (\$1,181.17) | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 06/15/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$1,176.12   | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 09/14/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$1,089.18   | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 06/15/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$1,067.78   | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 03/16/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$722.75     | \$0.00 |
| 0.000 | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT | 183 (CASH DIVIDEND) | \$722.74     | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 12/14/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$124.90     | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 09/14/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$116.73     | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 03/16/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$113.39     | \$0.00 |
| 0.000 | OW MUNICIPAL BOND FUND<br>TAX YEAR 2017 REALLOCATION OF 06/15/2017 PAYMENT    | 183 (CASH DIVIDEND) | \$113.39     | \$0.00 |

# Cash Transaction Detail

## Additions

|     |            |                        |              |  |   |              |        |
|-----|------------|------------------------|--------------|--|---|--------------|--------|
| USD | 02/05/2018 | 1V Short term cap gain | 0.000        | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT                                | 182 (CAPITAL GAINS DIST<br>RECEIPT (SHORT)) | (\$2,241.83) | \$0.00 |
|     |            |                        | 0.000        | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT                                | 182 (CAPITAL GAINS DIST<br>RECEIPT (SHORT)) | (\$2,241.82) | \$0.00 |
|     |            |                        | 0.000        | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT                                | 182 (CAPITAL GAINS DIST<br>RECEIPT (SHORT)) | \$2,016.08   | \$0.00 |
|     |            |                        | 0.000        | OW STRATEGIC OPPTY'S FUND<br>TAX YEAR 2017 REALLOCATION OF 12/28/2017 PAYMENT                                | 182 (CAPITAL GAINS DIST<br>RECEIPT (SHORT)) | \$2,016.07   | \$0.00 |
|     | 02/06/2018 | 2# Money mkt fund sale | (328.000)    | FED TRUST UST OBLIG #59<br>@\$1,000  | 227 (SWEEP REDEMPTION)                      | \$328.00     | \$0.00 |
|     | 02/08/2018 | 2# Money mkt fund sale | (2,243.000)  | FED TRUST UST OBLIG #59<br>@\$1,000  | 227 (SWEEP REDEMPTION)                      | \$2,243.00   | \$0.00 |
|     | 02/15/2018 | 1L Dividend            | 190.000      | MORGAN STANLEY GRP INC   | 183 (CASH DIVIDEND)                         | \$47.50      | \$0.00 |
|     |            |                        | 75.000       | APPLE INC  | 183 (CASH DIVIDEND)                         | \$47.25      | \$0.00 |
|     |            | 2# Money mkt fund sale | (5,305.000)  | FED TRUST UST OBLIG #59<br>@\$1,000  | 227 (SWEEP REDEMPTION)                      | \$5,305.00   | \$0.00 |
|     | 02/16/2018 | 1X Cash receipt        | 0.000        | SHELTER ISLAND LLC IM<br>REIMBURSEMENT FOR CASH TRANSFERRED TO SHELTER ISLAND LLC TO FUND LLC<br>EXPENSES XI | 1 (CASH RECEIPT)                            | \$100,000.00 | \$0.00 |
|     | 02/20/2018 | 2# Money mkt fund sale | (86,100.000) | FED TRUST UST OBLIG #59<br>@\$1,000  | 227 (SWEEP REDEMPTION)                      | \$86,100.00  | \$0.00 |
|     | 02/23/2018 | 1L Dividend            | 130.000      | CITIGROUP INC  | 183 (CASH DIVIDEND)                         | \$41.60      | \$0.00 |
|     |            |                        | 75.000       | J B HUNT TRANSPORT SVCS  | 183 (CASH DIVIDEND)                         | \$18.00      | \$0.00 |
|     | 02/27/2018 | Z1 Security receipt    | 0.000        | BOOKING HLDGS INC<br>SECURITY NAME CHANGE From Priceline Group Inc Cusip 741503403                           | 151 (SECURITY NAME<br>CHANGE)               | \$0.00       | \$0.00 |
|     | 03/01/2018 | 1L Dividend            | 175.000      | CONOCOPHILLIPS   | 183 (CASH DIVIDEND)                         | \$49.88      | \$0.00 |
|     |            |                        | 190.000      | CHURCH & DWIGHT INC  | 183 (CASH DIVIDEND)                         | \$41.33      | \$0.00 |
|     |            |                        | 75.000       | AMERICAN WATER WORKS CO  | 183 (CASH DIVIDEND)                         | \$31.13      | \$0.00 |
|     |            |                        | 80.000       | ZOETIS INC   | 183 (CASH DIVIDEND)                         | \$10.08      | \$0.00 |

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018  
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## Additions

| USD | 03/01/2018 | 2S Sale                | (100.000)   | COMCAST CORP CL A NEW<br>@\$36.850   | 263 (SALE CASH<br>SETTLEMENT) | \$3,680.89 | \$0.00 |
|-----|------------|------------------------|-------------|--------------------------------------|-------------------------------|------------|--------|
|     | 03/02/2018 | 1L Dividend            | 0.000       | FED TRUST UST OBLIG #59              | 183 (CASH DIVIDEND)           | \$35.46    | \$0.00 |
|     | 03/06/2018 | 1L Dividend            | 100.000     | VISA INC                             | 183 (CASH DIVIDEND)           | \$21.00    | \$0.00 |
|     |            | 2# Money mkt fund sale | (305.000)   | FED TRUST UST OBLIG #59<br>@\$1.000  | 227 (SWEEP REDEMPTION)        | \$305.00   | \$0.00 |
|     | 03/08/2018 | 1L Dividend            | 190.000     | MICROSOFT CORP                       | 183 (CASH DIVIDEND)           | \$79.80    | \$0.00 |
|     |            |                        | 145.000     | DISCOVER FINANCIAL SVCS              | 183 (CASH DIVIDEND)           | \$50.75    | \$0.00 |
|     |            | 2# Money mkt fund sale | (2,154.000) | FED TRUST UST OBLIG #59<br>@\$1.000  | 227 (SWEEP REDEMPTION)        | \$2,154.00 | \$0.00 |
|     |            | 2S Sale                | (5.000)     | ALPHABET INC CLASS C<br>@\$1097.585  | 263 (SALE CASH<br>SETTLEMENT) | \$5,487.59 | \$0.00 |
|     | 03/09/2018 | 2S Sale                | (40.000)    | FIDELITY NATL INFO SVCS<br>@\$98.386 | 263 (SALE CASH<br>SETTLEMENT) | \$3,934.14 | \$0.00 |
|     | 03/15/2018 | 1L Dividend            | 37,551.156  | OW MUNICIPAL BOND FUND               | 183 (CASH DIVIDEND)           | \$1,464.50 | \$0.00 |
|     |            |                        | 165.000     | DOW DU PONT INC                      | 183 (CASH DIVIDEND)           | \$62.70    | \$0.00 |
|     |            |                        | 540.000     | KEYCORP NEW                          | 183 (CASH DIVIDEND)           | \$56.70    | \$0.00 |
|     |            | 2# Money mkt fund sale | (3,816.000) | FED TRUST UST OBLIG #59<br>@\$1.000  | 227 (SWEEP REDEMPTION)        | \$3,816.00 | \$0.00 |
|     | 03/22/2018 | 1L Dividend            | 40.000      | HOME DEPOT                           | 183 (CASH DIVIDEND)           | \$41.20    | \$0.00 |
|     | 03/26/2018 | 1L Dividend            | 515.000     | NORDEA BANK AB                       | 183 (CASH DIVIDEND)           | \$432.24   | \$0.00 |
|     |            |                        |             | INCLUDES WITHHOLDING TAX OF \$129.67 |                               |            |        |
|     | 03/29/2018 | 1L Dividend            | 38.000      | BROADCOM LTD                         | 183 (CASH DIVIDEND)           | \$66.50    | \$0.00 |
|     |            |                        | 140.000     | FORTIVE CO                           | 183 (CASH DIVIDEND)           | \$9.80     | \$0.00 |
|     |            |                        | 235.000     | ENCANA CORP                          | 183 (CASH DIVIDEND)           | \$3.56     | \$0.00 |
|     |            |                        |             | INCLUDES WITHHOLDING TAX OF \$.89    |                               |            |        |
|     | 03/30/2018 | 1L Dividend            | 80.000      | PEPSICO INC                          | 183 (CASH DIVIDEND)           | \$64.40    | \$0.00 |
|     |            |                        | 100.000     | FIDELITY NATL INFO SVCS              | 183 (CASH DIVIDEND)           | \$32.00    | \$0.00 |

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# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

| USD | 04/02/2018 | 1L Dividend            | 150.000      | BAXTER INTERNATIONAL INC  | 183 (CASH DIVIDEND)                        | \$24.00     | \$0.00 |
|-----|------------|------------------------|--------------|---|--|-------------|--------|
|     |            |                        | 110.000      | NIKE INC CL B   | 183 (CASH DIVIDEND)                        | \$22.00     | \$0.00 |
|     | 04/03/2018 | 1L Dividend            | 0.000        | FED TRUST UST OBLIG #59   | 183 (CASH DIVIDEND)                        | \$33.99     | \$0.00 |
|     | 04/05/2018 | 2# Money mkt fund sale | (315.000)    | FED TRUST UST OBLIG #59<br>@\$1.000                                 | 227 (SWEEP REDEMPTION)                     | \$315.00    | \$0.00 |
|     |            | Z1 Security receipt    | 38.000       | BROADCOM INC  | 300 (ASSET TO BE<br>RECEIVED FOR A MERGER) | \$0.00      | \$0.00 |
|     |            |                        | 0.000        | BROADCOM LTD  | 151 (SECURITY NAME<br>CHANGE)              | \$0.00      | \$0.00 |
|     |            |                        | 0.000        | SECURITY NAME CHANGE REVERSAL OF TRANSACTION REPORTED ON 04/05/2018 | 151 (SECURITY NAME<br>CHANGE)              | \$0.00      | \$0.00 |
|     | 04/09/2018 | 2# Money mkt fund sale | (2,179.000)  | FED TRUST UST OBLIG #59<br>@\$1.000                                 | 227 (SWEEP REDEMPTION)                     | \$2,179.00  | \$0.00 |
|     | 04/10/2018 | 1L Dividend            | 140.000      | ALTRIA GROUP INC  | 183 (CASH DIVIDEND)                        | \$98.00     | \$0.00 |
|     |            | 2# Money mkt fund sale | (13,780.000) | FED TRUST UST OBLIG #59<br>@\$1.000                                 | 227 (SWEEP REDEMPTION)                     | \$13,780.00 | \$0.00 |
|     |            | ZS Sale                | (2,301.255)  | OW LARGE CAP STRATEGIES FD<br>@\$14.340                             | 263 (SALE CASH<br>SETTLEMENT)              | \$33,000.00 | \$0.00 |
|     |            |                        | (196.749)    | OW MUNICIPAL BOND FUND<br>@\$11.690                                 | 263 (SALE CASH<br>SETTLEMENT)              | \$2,300.00  | \$0.00 |
|     | 04/11/2018 | ZS Sale                | (5.000)      | BROADCOM INC<br>@\$238.760  | 263 (SALE CASH<br>SETTLEMENT)              | \$1,193.57  | \$0.00 |
|     |            |                        | (5.000)      | RAYTHEON CO NEW<br>@\$217.460                                       | 263 (SALE CASH<br>SETTLEMENT)              | \$1,087.07  | \$0.00 |
|     |            |                        | (5.000)      | THERMO FISHER SCIENTIFIC<br>@\$211.640                              | 263 (SALE CASH<br>SETTLEMENT)              | \$1,057.97  | \$0.00 |
|     |            |                        | (15.000)     | BAXTER INTERNATIONAL INC<br>@\$65.100                               | 263 (SALE CASH<br>SETTLEMENT)              | \$975.87    | \$0.00 |
|     |            |                        | (10.000)     | FIDELITY NATL INFO SVCS<br>@\$97.130                                | 263 (SALE CASH<br>SETTLEMENT)              | \$970.87    | \$0.00 |

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

USD 04/1/2018 2S Sale

| USD      | Description                              | DS code                       | Principal/Income |
|----------|--|-------------------------------|------------------|
| (15,000) | DOW DU PONT INC<br>@\$64,050             | 263 (SALE CASH<br>SETTLEMENT) | \$960.12 \$0.00  |
| (5,000)  | HOME DEPOT<br>@\$174,380                 | 263 (SALE CASH<br>SETTLEMENT) | \$871.67 \$0.00  |
| (5,000)  | APPLE INC<br>@\$172,530                  | 263 (SALE CASH<br>SETTLEMENT) | \$862.43 \$0.00  |
| (5,000)  | ALIBABA GROUP HOLDINGS LTD<br>@\$171,550 | 263 (SALE CASH<br>SETTLEMENT) | \$867.53 \$0.00  |
| (15,000) | CHURCH & DWIGHT INC<br>@\$49,720         | 263 (SALE CASH<br>SETTLEMENT) | \$745.18 \$0.00  |
| (5,000)  | CHUBB LIMITED<br>@\$135,370              | 263 (SALE CASH<br>SETTLEMENT) | \$676.63 \$0.00  |
| (10,000) | CONOCOPHILLIPS<br>@\$60,740              | 263 (SALE CASH<br>SETTLEMENT) | \$606.98 \$0.00  |
| (5,000)  | VISA INC<br>@\$120,140                   | 263 (SALE CASH<br>SETTLEMENT) | \$600.48 \$0.00  |
| (5,000)  | PEPSICO INC<br>@\$109,940                | 263 (SALE CASH<br>SETTLEMENT) | \$549.49 \$0.00  |
| (15,000) | COMCAST CORP CL A NEW<br>@\$34,380       | 263 (SALE CASH<br>SETTLEMENT) | \$515.08 \$0.00  |
| (5,000)  | ZOETIS INC<br>@\$82,680                  | 263 (SALE CASH<br>SETTLEMENT) | \$413.19 \$0.00  |
| (20,000) | KEYCORP NEW<br>@\$19,475                 | 263 (SALE CASH<br>SETTLEMENT) | \$388.69 \$0.00  |
| (5,000)  | PAYPAL HOLDINGS INC<br>@\$76,330         | 263 (SALE CASH<br>SETTLEMENT) | \$381.44 \$0.00  |
| (10,000) | ENI S.P.A. ADR<br>@\$37,050              | 263 (SALE CASH<br>SETTLEMENT) | \$370.09 \$0.00  |
| (5,000)  | DISCOVER FINANCIAL SVCS<br>@\$71,650     | 263 (SALE CASH<br>SETTLEMENT) | \$358.04 \$0.00  |
| (5,000)  | BRISTOL-MYERS SQUIBB CO<br>@\$60,590     | 263 (SALE CASH<br>SETTLEMENT) | \$302.74 \$0.00  |

# Cash Transaction Detail

Report dated March 15, 2019  
 V. KURT SCHRADER IM  
 All transactions entered between 01/01/2018 and 12/31/2018

USD      Additions      Description      Debit      Credit      Balance

|     |            |                        |             |                          |                            |            |        |
|-----|------------|------------------------|-------------|--------------------------|----------------------------|------------|--------|
| USD | 04/11/2018 | 2S Sale                | (5,000)     | MORGAN STANLEY GRP INC   | 263 (SALE CASH SETTLEMENT) | \$270.57   | \$0.00 |
|     |            |                        | @\$54.155   |                          |                            |            |        |
|     | 04/12/2018 | 1L Dividend            | 95,000      | RIO TINTO LTD            | 183 (CASH DIVIDEND)        | \$168.23   | \$0.00 |
|     | 04/13/2018 | 2# Money mkt fund sale | (4,790,000) | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$4,790.00 | \$0.00 |
|     |            |                        | @\$1,000    |                          |                            |            |        |
|     | 04/16/2018 | 1L Dividend            | 50,000      | THERMO FISHER SCIENTIFIC | 183 (CASH DIVIDEND)        | \$8.50     | \$0.00 |
|     | 04/19/2018 | 2S Sale                | (60,000)    | BRISTOL-MYERS SQUIBB CO  | 263 (SALE CASH SETTLEMENT) | \$3,160.02 | \$0.00 |
|     |            |                        | @\$52.693   |                          |                            |            |        |
|     | 04/20/2018 | 1L Dividend            | 69,000      | CHUBB LIMITED            | 183 (CASH DIVIDEND)        | \$48.99    | \$0.00 |
|     | 04/25/2018 | 1L Dividend            | 135,000     | COMCAST CORP CL A NEW    | 183 (CASH DIVIDEND)        | \$25.65    | \$0.00 |
|     | 04/27/2018 | 1L Dividend            | 130,000     | DANAHER CORP             | 183 (CASH DIVIDEND)        | \$20.80    | \$0.00 |
|     | 05/01/2018 | 1L Dividend            | 65,000      | BRISTOL-MYERS SQUIBB CO  | 183 (CASH DIVIDEND)        | \$26.00    | \$0.00 |
|     | 05/02/2018 | 1L Dividend            | 0,000       | FED TRUST UST OBLIG #59  | 183 (CASH DIVIDEND)        | \$32.03    | \$0.00 |
|     | 05/04/2018 | 2# Money mkt fund sale | (300,000)   | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$300.00   | \$0.00 |
|     |            |                        | @\$1,000    |                          |                            |            |        |
|     | 05/07/2018 | 2S Sale                | (75,000)    | PAYPAL HOLDINGS INC      | 263 (SALE CASH SETTLEMENT) | \$5,418.01 | \$0.00 |
|     |            |                        | @\$72.272   |                          |                            |            |        |
|     | 05/09/2018 | 2# Money mkt fund sale | (1,017,000) | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$1,017.00 | \$0.00 |
|     |            |                        | @\$1,000    |                          |                            |            |        |
|     | 05/10/2018 | 1L Dividend            | 40,000      | RAYTHEON CO NEW          | 183 (CASH DIVIDEND)        | \$34.70    | \$0.00 |
|     | 05/15/2018 | 1L Dividend            | 185,000     | MORGAN STANLEY GRP INC   | 183 (CASH DIVIDEND)        | \$46.25    | \$0.00 |
|     |            | 2# Money mkt fund sale | (5,353,000) | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$5,353.00 | \$0.00 |
|     |            |                        | @\$1,000    |                          |                            |            |        |
|     | 05/17/2018 | 1L Dividend            | 70,000      | APPLE INC                | 183 (CASH DIVIDEND)        | \$51.10    | \$0.00 |
|     | 05/18/2018 | 1L Dividend            | 75,000      | JB HUNT TRANSPORT SVCS   | 183 (CASH DIVIDEND)        | \$18.00    | \$0.00 |
|     |            | 2S Sale                | (10,000)    | ENI S.P.A. ADR           | 263 (SALE CASH SETTLEMENT) | \$390.56   | \$0.00 |
|     |            |                        | @\$39.077   |                          |                            |            |        |
|     | 05/21/2018 | 2# Money mkt fund sale | (1,982,000) | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$1,982.00 | \$0.00 |
|     |            |                        | @\$1,000    |                          |                            |            |        |



# Cash Transaction Detail

Report dated March 15, 2019  
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All transactions entered between 01/01/2018 and 12/31/2018

## Additions

|     |            |                        |             |   |                               |              |        |
|-----|------------|------------------------|-------------|---|-------------------------------|--------------|--------|
| USD | 05/21/2018 | 2S Sale                | (90,000)    | ENI S.P.A. ADR<br>@\$39.167   | 263 (SALE CASH<br>SETTLEMENT) | \$3,523.12   | \$0.00 |
|     | 05/22/2018 | 2S Sale                | (75,000)    | ENI S.P.A. ADR<br>@\$38.955   | 263 (SALE CASH<br>SETTLEMENT) | \$2,920.08   | \$0.00 |
|     |            |                        | (75,000)    | ENI S.P.A. ADR<br>@\$38.955   | 263 (SALE CASH<br>SETTLEMENT) | \$2,920.05   | \$0.00 |
|     |            |                        | 75,000      | ENI S.P.A. ADR<br>REVERSAL OF TRANSACTION REPORTED ON 05/22/2018<br>@\$38.955 | 263 (SALE CASH<br>SETTLEMENT) | (\$2,920.05) | \$0.00 |
|     | 05/25/2018 | 1L Dividend            | 130,000     | CITIGROUP INC   | 183 (CASH DIVIDEND)           | \$41.60      | \$0.00 |
|     |            | 2S Sale                | (70,000)    | COMCAST CORP CL A NEW<br>@\$31.895  | 263 (SALE CASH<br>SETTLEMENT) | \$2,229.85   | \$0.00 |
|     | 05/29/2018 | 2# Money mkt fund sale | (1,650,000) | FED TRUST UST OBLIG #59<br>@\$1.000   | 227 (SWEEP REDEMPTION)        | \$1,650.00   | \$0.00 |
|     |            | 2S Sale                | (50,000)    | COMCAST CORP CL A NEW<br>@\$31.621  | 263 (SALE CASH<br>SETTLEMENT) | \$1,579.01   | \$0.00 |
|     | 06/01/2018 | 1L Dividend            | 105,000     | AMERICAN WATER WORKS CO   | 183 (CASH DIVIDEND)           | \$47.78      | \$0.00 |
|     |            |                        | 165,000     | CONOCOPHILLIPS  | 183 (CASH DIVIDEND)           | \$47.03      | \$0.00 |
|     |            |                        | 175,000     | CHURCH & DWIGHT INC   | 183 (CASH DIVIDEND)           | \$38.06      | \$0.00 |
|     |            |                        | 125,000     | ZOETIS INC  | 183 (CASH DIVIDEND)           | \$15.75      | \$0.00 |
|     | 06/04/2018 | 1L Dividend            | 0,000       | FED TRUST UST OBLIG #59   | 183 (CASH DIVIDEND)           | \$35.37      | \$0.00 |
|     | 06/05/2018 | 1L Dividend            | 95,000      | VISA INC  | 183 (CASH DIVIDEND)           | \$19.95      | \$0.00 |
|     |            | 2# Money mkt fund sale | (5,480,000) | FED TRUST UST OBLIG #59<br>@\$1.000   | 227 (SWEEP REDEMPTION)        | \$5,480.00   | \$0.00 |
|     |            | 2S Sale                | (1,518,341) | OW LARGE CAP STRATEGIES FD<br>@\$14.830                                       | 263 (SALE CASH<br>SETTLEMENT) | \$24,000.00  | \$0.00 |
|     |            |                        | (574,383)   | OW SMALL & MIDCAP STRAT FD<br>@\$17.410                                       | 263 (SALE CASH<br>SETTLEMENT) | \$10,000.00  | \$0.00 |
|     |            |                        | (694,444)   | OW STRATEGIC OPPTY'S FUND<br>@\$7.920   | 263 (SALE CASH<br>SETTLEMENT) | \$5,500.00   | \$0.00 |

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRAEDER IM  
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## Additions

| USD | P | 06/05/2018 | 2S Sale                | (425,532)   | OW MUNICIPAL BOND FUND   | 263 (SALE CASH SETTLEMENT) | \$5,000.00 | \$0.00 |
|-----|---|------------|------------------------|-------------|--------------------------|----------------------------|------------|--------|
|     |   |            |                        | @\$11.750   |                          |                            |            |        |
|     |   | 06/06/2018 | 2# Money mkt fund sale | (328,000)   | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$328.00   | \$0.00 |
|     |   |            |                        | @\$1.000    |                          |                            |            |        |
|     |   | 06/07/2018 | 1L Dividend            | 140,000     | DISCOVER FINANCIAL SVCS  | 183 (CASH DIVIDEND)        | \$49.00    | \$0.00 |
|     |   | 06/12/2018 | 1L Dividend            | 36,928.875  | OW MUNICIPAL BOND FUND   | 183 (CASH DIVIDEND)        | \$1,514.08 | \$0.00 |
|     |   | 06/14/2018 | 1L Dividend            | 190,000     | MICROSOFT CORP           | 183 (CASH DIVIDEND)        | \$79.80    | \$0.00 |
|     |   |            |                        | 35,000      | HOME DEPOT               | 183 (CASH DIVIDEND)        | \$36.05    | \$0.00 |
|     |   | 06/15/2018 | 1L Dividend            | 520,000     | KEYCORP NEW              | 183 (CASH DIVIDEND)        | \$62.40    | \$0.00 |
|     |   |            |                        | 150,000     | DOW DU PONT INC          | 183 (CASH DIVIDEND)        | \$57.00    | \$0.00 |
|     |   |            | 2# Money mkt fund sale | (5,280,000) | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$5,280.00 | \$0.00 |
|     |   |            |                        | @\$1.000    |                          |                            |            |        |
|     |   | 06/20/2018 | 1L Dividend            | 110,000     | ENERGY SELECT SECTOR     | 183 (CASH DIVIDEND)        | \$56.36    | \$0.00 |
|     |   | 06/21/2018 | 1L Dividend            | 6,000       | BLACKROCK INC            | 183 (CASH DIVIDEND)        | \$17.28    | \$0.00 |
|     |   | 06/26/2018 | 2# Money mkt fund sale | (498,000)   | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$498.00   | \$0.00 |
|     |   |            |                        | @\$1.000    |                          |                            |            |        |
|     |   |            | 2S Sale                | (80,000)    | ENERGY SELECT SECTOR     | 263 (SALE CASH SETTLEMENT) | \$6,054.22 | \$0.00 |
|     |   |            |                        | @\$75.719   |                          |                            |            |        |
|     |   | 06/27/2018 | 2S Sale                | (30,000)    | ENERGY SELECT SECTOR     | 263 (SALE CASH SETTLEMENT) | \$2,210.56 | \$0.00 |
|     |   |            |                        | @\$73.726   |                          |                            |            |        |
|     |   | 06/29/2018 | 1L Dividend            | 75,000      | PEPSICO INC              | 183 (CASH DIVIDEND)        | \$69.56    | \$0.00 |
|     |   |            |                        | 33,000      | BROADCOM INC             | 183 (CASH DIVIDEND)        | \$57.75    | \$0.00 |
|     |   |            |                        | 100,000     | FIDELITY NATL INFO SVCS  | 183 (CASH DIVIDEND)        | \$32.00    | \$0.00 |
|     |   |            |                        | 140,000     | FORTIVE CO               | 183 (CASH DIVIDEND)        | \$9.80     | \$0.00 |
|     |   | 07/02/2018 | 1L Dividend            | 135,000     | BAXTER INTERNATIONAL INC | 183 (CASH DIVIDEND)        | \$25.65    | \$0.00 |
|     |   | 07/03/2018 | 1L Dividend            | 0,000       | FED TRUST UST OBLIG #59  | 183 (CASH DIVIDEND)        | \$26.49    | \$0.00 |
|     |   | 07/05/2018 | 1L Dividend            | 140,000     | NIKE INC CL B            | 183 (CASH DIVIDEND)        | \$28.00    | \$0.00 |
|     |   | 07/06/2018 | 2# Money mkt fund sale | (298,000)   | FED TRUST UST OBLIG #59  | 227 (SWEEP REDEMPTION)     | \$298.00   | \$0.00 |
|     |   |            |                        | @\$1.000    |                          |                            |            |        |

# Cash Transaction Detail

## Additions

| USD | 07/10/2018 | 1L Dividend            | 140,000     | ALTRIA GROUP INC           | 183 (CASH DIVIDEND)        | \$98.00     | \$0.00 |
|-----|------------|------------------------|-------------|----------------------------|----------------------------|-------------|--------|
|     | 07/13/2018 | 1L Dividend            | 64,000      | CHUBB LIMITED              | 183 (CASH DIVIDEND)        | \$46.72     | \$0.00 |
|     |            | 2# Money mkt fund sale | (4,785,000) | FED TRUST UST OBLIG #59    | 227 (SWEEP REDEMPTION)     | \$4,785.00  | \$0.00 |
|     |            |                        | @\$1,000    |                            |                            |             |        |
|     |            | 2S Sale                | (10,000)    | J B HUNT TRANSPORT SVCS    | 263 (SALE CASH SETTLEMENT) | \$1,222.26  | \$0.00 |
|     |            |                        | @\$122.258  |                            |                            |             |        |
|     | 07/16/2018 | 1L Dividend            | 45,000      | THERMO FISHER SCIENTIFIC   | 183 (CASH DIVIDEND)        | \$7.65      | \$0.00 |
|     |            | 2# Money mkt fund sale | (1,186,000) | FED TRUST UST OBLIG #59    | 227 (SWEEP REDEMPTION)     | \$1,186.00  | \$0.00 |
|     |            |                        | @\$1,000    |                            |                            |             |        |
|     | 07/17/2018 | 2S Sale                | (33,000)    | BROADCOM INC               | 263 (SALE CASH SETTLEMENT) | \$6,701.58  | \$0.00 |
|     |            |                        | @\$203.116  |                            |                            |             |        |
|     |            |                        | (25,000)    | J B HUNT TRANSPORT SVCS    | 263 (SALE CASH SETTLEMENT) | \$3,046.46  | \$0.00 |
|     |            |                        | @\$121.900  |                            |                            |             |        |
|     | 07/18/2018 | 2# Money mkt fund sale | (844,000)   | FED TRUST UST OBLIG #59    | 227 (SWEEP REDEMPTION)     | \$844.00    | \$0.00 |
|     |            |                        | @\$1,000    |                            |                            |             |        |
|     |            | 2S Sale                | (40,000)    | J B HUNT TRANSPORT SVCS    | 263 (SALE CASH SETTLEMENT) | \$4,828.69  | \$0.00 |
|     |            |                        | @\$120.759  |                            |                            |             |        |
|     | 07/27/2018 | 1L Dividend            | 130,000     | DANAHER CORP               | 183 (CASH DIVIDEND)        | \$20.80     | \$0.00 |
|     | 08/02/2018 | 1L Dividend            | 40,000      | RAYTHEON CO NEW            | 183 (CASH DIVIDEND)        | \$34.70     | \$0.00 |
|     |            |                        | 0,000       | FED TRUST UST OBLIG #59    | 183 (CASH DIVIDEND)        | \$23.23     | \$0.00 |
|     | 08/06/2018 | 2# Money mkt fund sale | (303,000)   | FED TRUST UST OBLIG #59    | 227 (SWEEP REDEMPTION)     | \$303.00    | \$0.00 |
|     |            |                        | @\$1,000    |                            |                            |             |        |
|     | 08/15/2018 | 1L Dividend            | 185,000     | MORGAN STANLEY GRP INC     | 183 (CASH DIVIDEND)        | \$55.50     | \$0.00 |
|     |            | 2# Money mkt fund sale | (5,344,000) | FED TRUST UST OBLIG #59    | 227 (SWEEP REDEMPTION)     | \$5,344.00  | \$0.00 |
|     |            |                        | @\$1,000    |                            |                            |             |        |
|     | 08/16/2018 | 1L Dividend            | 70,000      | APPLE INC                  | 183 (CASH DIVIDEND)        | \$51.10     | \$0.00 |
|     | 08/23/2018 | 2S Sale                | (1,721,170) | OW SMALL & MIDCAP STRAT FD | 263 (SALE CASH SETTLEMENT) | \$30,000.00 | \$0.00 |
|     |            |                        | @\$17.430   |                            |                            |             |        |
|     | 08/24/2018 | 1L Dividend            | 130,000     | CITIGROUP INC              | 183 (CASH DIVIDEND)        | \$58.50     | \$0.00 |

# Cash Transaction Detail

## Additions

| USD | Date       | Description            | Debit       | Credit  | Balance   |
|-----|------------|------------------------|-------------|---|---|
| USD | 08/27/2018 | 2S Sale                | (30,000)    | NIKE INC CL B<br>@\$83.010  | 263 (SALE CASH<br>SETTLEMENT) \$2,489.08 \$0.00 |
|     |            |                        | (20,000)    | DANAHER CORP<br>@\$99.816   | 263 (SALE CASH<br>SETTLEMENT) \$1,995.48 \$0.00 |
|     | 08/28/2018 | 2# Money mkt fund sale | (2,537,000) | FED TRUST UST OBLIG #59<br>@\$1.000                                       | 227 (SWEEP REDEMPTION) \$2,537.00 \$0.00        |
|     | 08/31/2018 | 2# Money mkt fund sale | (250,000)   | FED TRUST UST OBLIG #59<br>@\$1.000                                       | 227 (SWEEP REDEMPTION) \$250.00 \$0.00          |
|     | 09/04/2018 | 1L Dividend            | 105,000     | AMERICAN WATER WORKS CO   | 183 (CASH DIVIDEND) \$47.78 \$0.00              |
|     |            |                        | 105,000     | AMERICAN WATER WORKS CO   | 183 (CASH DIVIDEND) \$47.78 \$0.00              |
|     |            |                        | 105,000     | AMERICAN WATER WORKS CO<br>REVERSAL OF TRANSACTION REPORTED ON 09/04/2018 | 183 (CASH DIVIDEND) (\$47.78) \$0.00            |
|     |            |                        | 165,000     | CONOCOPHILLIPS  | 183 (CASH DIVIDEND) \$47.03 \$0.00              |
|     |            |                        | 175,000     | CHURCH & DWIGHT INC   | 183 (CASH DIVIDEND) \$38.06 \$0.00              |
|     |            |                        | 95,000      | VISA INC  | 183 (CASH DIVIDEND) \$19.95 \$0.00              |
|     |            |                        | 125,000     | ZOETIS INC  | 183 (CASH DIVIDEND) \$15.75 \$0.00              |
|     | 09/05/2018 | 1L Dividend            | 0,000       | FED TRUST UST OBLIG #59   | 183 (CASH DIVIDEND) \$21.04 \$0.00              |
|     | 09/06/2018 | 1L Dividend            | 140,000     | DISCOVER FINANCIAL SVCS   | 183 (CASH DIVIDEND) \$56.00 \$0.00              |
|     | 09/07/2018 | 2# Money mkt fund sale | (7,078,000) | FED TRUST UST OBLIG #59<br>@\$1.000                                       | 227 (SWEEP REDEMPTION) \$7,078.00 \$0.00        |
|     |            | 2S Sale                | (10,000)    | VISA INC<br>@\$143.026  | 263 (SALE CASH<br>SETTLEMENT) \$1,429.84 \$0.00 |
|     |            |                        | (10,000)    | MICROSOFT CORP<br>@\$108.752  | 263 (SALE CASH<br>SETTLEMENT) \$1,087.11 \$0.00 |
|     | 09/10/2018 | 2S Sale                | (30,000)    | AMERICAN WATER WORKS CO<br>@\$89.411                                      | 263 (SALE CASH<br>SETTLEMENT) \$2,681.10 \$0.00 |
|     | 09/11/2018 | 1L Dividend            | 36,928,875  | OW MUNICIPAL BOND FUND  | 183 (CASH DIVIDEND) \$1,661.80 \$0.00           |
|     | 09/13/2018 | 1L Dividend            | 190,000     | MICROSOFT CORP  | 183 (CASH DIVIDEND) \$79.80 \$0.00              |
|     |            |                        | 35,000      | HOME DEPOT  | 183 (CASH DIVIDEND) \$36.05 \$0.00              |
|     | 09/14/2018 | 1L Dividend            | 520,000     | KEYCORP NEW   | 183 (CASH DIVIDEND) \$98.40 \$0.00              |

# Cash Transaction Detail

Report dated March 15, 2019  
KURT SCHRADER IM  
All transactions entered between 01/01/2018 and 12/31/2018

## Additions

| USD | Date       | Account                | Description                                   | Debit                      | Credit     | Balance |
|-----|------------|------------------------|---|----------------------------|------------|---------|
|     | 09/14/2018 | 1L Dividend            | 150.000 DOW DU PONT INC                       | 183 (CASH DIVIDEND)        | \$57.00    | \$0.00  |
|     |            | 2# Money mkt fund sale | (5,254.000) FED TRUST UST OBLIG #59 @ \$1.000 | 227 (SWEEP REDEMPTION)     | \$5,254.00 | \$0.00  |
|     | 09/20/2018 | 1L Dividend            | 90.000 RIO TINTO LTD                          | 183 (CASH DIVIDEND)        | \$111.82   | \$0.00  |
|     | 09/24/2018 | 1L Dividend            | 9.000 BLACKROCK INC                           | 183 (CASH DIVIDEND)        | \$28.17    | \$0.00  |
|     | 09/28/2018 | 1L Dividend            | 75.000 PERSICO INC                            | 183 (CASH DIVIDEND)        | \$69.56    | \$0.00  |
|     |            |                        | 85.000 AMEREN CORP                            | 183 (CASH DIVIDEND)        | \$38.89    | \$0.00  |
|     |            |                        | 100.000 FIDELITY NATL INFO SVCS               | 183 (CASH DIVIDEND)        | \$32.00    | \$0.00  |
|     |            |                        | 140.000 FORTIVE CO                            | 183 (CASH DIVIDEND)        | \$9.80     | \$0.00  |
|     | 10/01/2018 | 1L Dividend            | 135.000 BAXTER INTERNATIONAL INC              | 183 (CASH DIVIDEND)        | \$25.55    | \$0.00  |
|     |            |                        | 110.000 NIKE INC CL B                         | 183 (CASH DIVIDEND)        | \$22.00    | \$0.00  |
|     | 10/02/2018 | 1L Dividend            | 0.000 FED TRUST UST OBLIG #59                 | 183 (CASH DIVIDEND)        | \$18.90    | \$0.00  |
|     | 10/04/2018 | 2# Money mkt fund sale | (309.000) FED TRUST UST OBLIG #59 @ \$1.000   | 227 (SWEEP REDEMPTION)     | \$309.00   | \$0.00  |
|     | 10/09/2018 | 2# Money mkt fund sale | (869.000) FED TRUST UST OBLIG #59 @ \$1.000   | 227 (SWEEP REDEMPTION)     | \$869.00   | \$0.00  |
|     |            | 2S Sale                | (20.000) FORTIVE CO @ \$83.890                | 263 (SALE CASH SETTLEMENT) | \$1,677.17 | \$0.00  |
|     |            |                        | (10.000) DANAHER CORP @ \$106.953             | 263 (SALE CASH SETTLEMENT) | \$1,069.12 | \$0.00  |
|     | 10/10/2018 | 1L Dividend            | 140.000 ALTRIA GROUP INC                      | 183 (CASH DIVIDEND)        | \$112.00   | \$0.00  |
|     | 10/12/2018 | 1L Dividend            | 64.000 CHUBB LIMITED                          | 183 (CASH DIVIDEND)        | \$46.72    | \$0.00  |
|     |            |                        | 25.000 PIONEER NATURAL RESOURCES              | 183 (CASH DIVIDEND)        | \$4.00     | \$0.00  |
|     | 10/15/2018 | 1L Dividend            | 45.000 THERMO FISHER SCIENTIFIC               | 183 (CASH DIVIDEND)        | \$7.65     | \$0.00  |
|     |            | 2# Money mkt fund sale | (5,392.000) FED TRUST UST OBLIG #59 @ \$1.000 | 227 (SWEEP REDEMPTION)     | \$5,392.00 | \$0.00  |
|     | 10/18/2018 | 2# Money mkt fund sale | (1,791.000) FED TRUST UST OBLIG #59 @ \$1.000 | 227 (SWEEP REDEMPTION)     | \$1,791.00 | \$0.00  |

# Cash Transaction Detail

Report dated March 15, 2019  
 KURT SCHRAEDER IM  
 All transactions entered between 01/01/2018 and 12/31/2018  
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| USD              | Date       | Description   | Debit       | Credit                          |
|------------------|------------|---|-------------|---------------------------------|
| <b>Additions</b> |            |   |             |                                 |
| USD              | 10/18/2018 | 2S Sale   | (20,000)    | 263 (SALE CASH SETTLEMENT)      |
|                  |            | ZOETIS INC @ \$91.753   |             | \$1,834.23 \$0.00               |
|                  |            | (20,000) FORTIVE CO @ \$79.687  |             | 263 (SALE CASH SETTLEMENT)      |
|                  |            |   |             | \$1,592.92 \$0.00               |
|                  | 10/26/2018 | 11L Dividend  | 110,000     | 183 (CASH DIVIDEND)             |
|                  |            | DANAHER CORP  |             | \$17.60 \$0.00                  |
|                  |            | 2# Money mkt fund sale  | (2,036,000) | 227 (SWEEP REDEMPTION)          |
|                  |            | FED TRUST UST OBLIG #59 @ \$1,000   |             | \$2,036.00 \$0.00               |
|                  |            | 2S Sale   | (40,000)    | 263 (SALE CASH SETTLEMENT)      |
|                  |            | ALEXION PHARMACEUTICAL @ \$113.512  |             | \$4,538.82 \$0.00               |
|                  | 10/29/2018 | 2S Sale   | (70,000)    | 263 (SALE CASH SETTLEMENT)      |
|                  |            | DOW DU PONT INC @ \$53.020  |             | \$3,708.57 \$0.00               |
|                  | 10/30/2018 | 2S Sale   | (80,000)    | 263 (SALE CASH SETTLEMENT)      |
|                  |            | DOW DU PONT INC @ \$52.127  |             | \$4,166.94 \$0.00               |
|                  | 11/02/2018 | 11L Dividend  | 0,000       | 183 (CASH DIVIDEND)             |
|                  |            | FED TRUST UST OBLIG #59   |             | \$10.47 \$0.00                  |
|                  | 11/06/2018 | 11T Class action  | 0,000       | 5058 (CLASS ACTION SETTLEMENTS) |
|                  |            | CLASS ACTION PROCEEDS To receive pro-rata distribution from BNYM securities litigation net settlement fund for closed account. Taxability to be reflected as long term capital gains. |             | \$1.56 \$0.00                   |
|                  |            | 2# Money mkt fund sale  | (277,000)   | 227 (SWEEP REDEMPTION)          |
|                  |            | FED TRUST UST OBLIG #59 @ \$1,000   |             | \$277.00 \$0.00                 |
|                  | 11/08/2018 | 11L Dividend  | 45,000      | 183 (CASH DIVIDEND)             |
|                  |            | RAYTHEON CO NEW   |             | \$39.04 \$0.00                  |
|                  | 11/14/2018 | 2S Sale   | (2,000)     | 263 (SALE CASH SETTLEMENT)      |
|                  |            | AMAZON.COM INC @ \$1647.237   |             | \$3,294.35 \$0.00               |
|                  |            | (20,000) CHURCH & DWIGHT INC @ \$66.524   |             | 263 (SALE CASH SETTLEMENT)      |
|                  |            |   |             | \$1,329.67 \$0.00               |
|                  |            | (10,000) MICROSOFT CORP @ \$106.943   |             | 263 (SALE CASH SETTLEMENT)      |
|                  |            |   |             | \$1,069.02 \$0.00               |
|                  | 11/15/2018 | 11L Dividend  | 185,000     | 183 (CASH DIVIDEND)             |
|                  |            | MORGAN STANLEY GRP INC  |             | \$55.50 \$0.00                  |
|                  |            | 70,000 APPLE INC  |             | 183 (CASH DIVIDEND)             |
|                  |            |   |             | \$51.10 \$0.00                  |
|                  |            | 2# Money mkt fund sale  | (5,294,000) | 227 (SWEEP REDEMPTION)          |
|                  |            | FED TRUST UST OBLIG #59 @ \$1,000   |             | \$5,294.00 \$0.00               |

# Cash Transaction Detail

Report dated March 15, 2019

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KURT SCHRADER IM

All transactions entered between 01/01/2018 and 12/31/2018

## Additions

| USD | SD-P | 11/16/2018 | 2S | Sale                | (15,000)    | APPLE INC   | 263 (SALE CASH SETTLEMENT)              | \$2,815.49  | \$0.00 |
|-----|------|------------|----|---------------------|-------------|---|---|-------------|--------|
|     |      |            |    |                     | @\$187.736  |   |   |             |        |
|     |      | 11/21/2018 | 1L | Dividend            | 130,000     | CITIGROUP INC   | 183 (CASH DIVIDEND)                     | \$58.50     | \$0.00 |
|     |      | 12/03/2018 | 1L | Dividend            | 210,000     | PFIZER INC  | 183 (CASH DIVIDEND)                     | \$71.40     | \$0.00 |
|     |      |            |    |                     | 165,000     | CONOCOPHILLIPS  | 183 (CASH DIVIDEND)                     | \$50.33     | \$0.00 |
|     |      |            |    |                     | 155,000     | CHURCH & DWIGHT INC                                     | 183 (CASH DIVIDEND)                     | \$33.71     | \$0.00 |
|     |      |            |    |                     | 105,000     | ZOETIS INC  | 183 (CASH DIVIDEND)                     | \$13.23     | \$0.00 |
|     |      | 12/04/2018 | 1L | Dividend            | 36,928.875  | OW MUNICIPAL BOND FUND                                  | 183 (CASH DIVIDEND)                     | \$1,693.93  | \$0.00 |
|     |      |            |    |                     | 75,000      | AMERICAN WATER WORKS CO                                 | 183 (CASH DIVIDEND)                     | \$34.13     | \$0.00 |
|     |      |            |    |                     | 75,000      | AMERICAN WATER WORKS CO                                 | 183 (CASH DIVIDEND)                     | \$34.13     | \$0.00 |
|     |      |            |    |                     | 75,000      | AMERICAN WATER WORKS CO                                 | 183 (CASH DIVIDEND)                     | (\$34.13)   | \$0.00 |
|     |      |            |    |                     | 75,000      | AMERICAN WATER WORKS CO                                 | 183 (CASH DIVIDEND)                     | \$34.13     | \$0.00 |
|     |      |            |    |                     | 85,000      | VISA INC  | 183 (CASH DIVIDEND)                     | \$21.25     | \$0.00 |
|     |      |            |    |                     | 0,000       | FED TRUST UST OBLIG #59                                 | 183 (CASH DIVIDEND)                     | \$9.52      | \$0.00 |
|     |      | 12/06/2018 | 1L | Dividend            | 140,000     | DISCOVER FINANCIAL SVCS                                 | 183 (CASH DIVIDEND)                     | \$56.00     | \$0.00 |
|     |      |            |    |                     | (224,000)   | FED TRUST UST OBLIG #59                                 | 227 (SWEEP REDEMPTION)                  | \$224.00    | \$0.00 |
|     |      |            |    |                     | @\$1,000    |   |   |             |        |
|     |      | 12/07/2018 | 1L | Dividend            | 45,000      | CINTAS CORP   | 183 (CASH DIVIDEND)                     | \$92.25     | \$0.00 |
|     |      | 12/10/2018 | 2S | Sale                | (1,638,298) | OW MUNICIPAL BOND FUND                                  | 263 (SALE CASH SETTLEMENT)              | \$19,250.00 | \$0.00 |
|     |      |            |    |                     | @\$11.750   |   |   |             |        |
|     |      |            |    |                     | (1,020,078) | OW SMALL & MIDCAP STRAT FD                              | 263 (SALE CASH SETTLEMENT)              | \$15,750.00 | \$0.00 |
|     |      |            |    |                     | @\$15.440   |   |   |             |        |
|     |      |            |    |                     | 0,000       | W. KURT SCHRADER CUSTODY TRANSFER FROM CUSTODY TO IM XI | 9 (CASH TRANSFER (RECEIPT))             | \$5,000.00  | \$0.00 |
|     |      | 12/11/2018 | 2# | Money mkt fund sale | (25,000)    | FED TRUST UST OBLIG #59                                 | 227 (SWEEP REDEMPTION)                  | \$25.00     | \$0.00 |
|     |      |            |    |                     | @\$1,000    |   |   |             |        |
|     |      | 12/12/2018 | 1L | Dividend            | 45,604.914  | OW LARGE CAP STRATEGIES FD                              | 183 (CASH DIVIDEND)                     | \$6,299.41  | \$0.00 |
|     |      |            |    |                     | 45,604.914  | OW LARGE CAP STRATEGIES FD                              | 762 (CAPITAL GAINS DIST RECEIPT (LONG)) | \$20,516.28 | \$0.00 |

# Cash Transaction Detail

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V. KURT SCHRAEDER IM

All transactions entered between 01/01/2018 and 12/31/2018

| USD                        | Date                   | Units  | Description                             | Cost                | Price   | Volume |
|----------------------------|------------------------|--|---|---------------------|---------|--------|
| <b>Additions</b>           |                        |  |   |                     |         |        |
| USD                        | 12/13/2018             | 1L Dividend                                    | 170.000 MICROSOFT CORP                  | 183 (CASH DIVIDEND) | \$78.20 | \$0.00 |
|                            |                        |  | 35.000 HOME DEPOT                       | 183 (CASH DIVIDEND) | \$36.05 | \$0.00 |
|                            |                        |  | 25.000 UNITEDHEALTH GROUP INC           | 183 (CASH DIVIDEND) | \$22.50 | \$0.00 |
| 12/14/2018                 | 1L Dividend            | 520.000 KEYCORP NEW                            | 183 (CASH DIVIDEND)                     | \$88.40             | \$0.00  |        |
|                            | 2# Money mkt fund sale | (5,312.000) FED TRUST UST OBLIG #59            | 227 (SWEEP REDEMPTION)                  | \$5,312.00          | \$0.00  |        |
|                            |                        | @\$1.000                                       |   |                     |         |        |
| 12/21/2018                 | 1L Dividend            | 14,397.115 OW SMALL & MIDCAP STRAT FD          | 183 (CASH DIVIDEND)                     | \$1,234.55          | \$0.00  |        |
|                            | 1P Long term cap gain  | 14,397.115 OW SMALL & MIDCAP STRAT FD          | 762 (CAPITAL GAINS DIST RECEIPT (LONG)) | \$22,414.15         | \$0.00  |        |
| 12/24/2018                 | 1L Dividend            | 29,987.912 OW STRATEGIC OPPTY FUND             | 183 (CASH DIVIDEND)                     | \$7,773.17          | \$0.00  |        |
|                            | 1P Long term cap gain  | 29,987.912 OW STRATEGIC OPPTY FUND             | 762 (CAPITAL GAINS DIST RECEIPT (LONG)) | \$5,702.80          | \$0.00  |        |
|                            | 2S Sale                | (100.000) ALTRIA GROUP INC                     | 263 (SALE CASH SETTLEMENT)              | \$4,996.13          | \$0.00  |        |
|                            |                        | @\$50.002                                      |   |                     |         |        |
| 12/26/2018                 | 1L Dividend            | 9.000 BLACKROCK INC                            | 183 (CASH DIVIDEND)                     | \$28.17             | \$0.00  |        |
|                            | 2S Sale                | (40.000) ALTRIA GROUP INC                      | 263 (SALE CASH SETTLEMENT)              | \$1,966.16          | \$0.00  |        |
|                            |                        | @\$49.195                                      |   |                     |         |        |
| 12/27/2018                 | 1L Dividend            | 25.000 CME GROUP INC                           | 183 (CASH DIVIDEND)                     | \$17.50             | \$0.00  |        |
|                            |                        | REVERSAL OF TRANSACTION REPORTED ON 12/27/2018 |   |                     |         |        |
|                            |                        | 25.000 CME GROUP INC                           | 183 (CASH DIVIDEND)                     | \$17.50             | \$0.00  |        |
|                            |                        | 25.000 CME GROUP INC                           | 183 (CASH DIVIDEND)                     | \$17.50             | \$0.00  |        |
| 12/28/2018                 | 1L Dividend            | 100.000 FIDELITY NATL INFO SVCS                | 183 (CASH DIVIDEND)                     | \$32.00             | \$0.00  |        |
|                            |                        | 100.000 FORTIVE CO                             | 183 (CASH DIVIDEND)                     | \$7.00              | \$0.00  |        |
|                            |                        | 100.000 FORTIVE CO                             | 183 (CASH DIVIDEND)                     | \$7.00              | \$0.00  |        |
|                            |                        | 100.000 FORTIVE CO                             | 183 (CASH DIVIDEND)                     | \$7.00              | \$0.00  |        |
|                            |                        | REVERSAL OF TRANSACTION REPORTED ON 12/28/2018 |   |                     |         |        |
| 12/31/2018                 | 1L Dividend            | 85.000 AMEREN CORP                             | 183 (CASH DIVIDEND)                     | \$40.38             | \$0.00  |        |
| <b>Total additions USD</b> |                        |  |   |                     |         |        |



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## Subtractions

| EUR                           | 07/13/2018 5P Purchase             | 5.000     | SAFRAN SA<br>@\$120.866                               | 262 (PURCHASE CASH<br>SETTLEMENT) | (806.87)     | 0.00   |
|-------------------------------|------------------------------------|-----------|---|-----------------------------------|--------------|--------|
|                               | 07/16/2018 5P Purchase             | 10.000    | SAFRAN SA<br>@\$123.497                               | 262 (PURCHASE CASH<br>SETTLEMENT) | (1,240.16)   | 0.00   |
|                               | 07/17/2018 5P Purchase             | 10.000    | SAFRAN SA<br>@\$125.496                               | 262 (PURCHASE CASH<br>SETTLEMENT) | (1,259.97)   | 0.00   |
|                               | 07/18/2018 5P Purchase             | 45.000    | SAFRAN SA<br>@\$125.525                               | 262 (PURCHASE CASH<br>SETTLEMENT) | (5,672.34)   | 0.00   |
| <b>Total subtractions EUR</b> |                                    |           |   |                                   |              |        |
| USD                           | 01/02/2018 5# Money mkt fund purch | 2,117.000 | FED TRUST UST OBLIG #59<br>@\$1.000                   | 226 (SWEEP PURCHASE)              | (\$2,117.00) | \$0.00 |
|                               | 01/03/2018 5# Money mkt fund purch | 14.000    | FED TRUST UST OBLIG #59<br>@\$1.000                   | 226 (SWEEP PURCHASE)              | (\$14.00)    | \$0.00 |
|                               | 01/05/2018 6I Fee/Commission       | 0.000     | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 12/31/17 | 5001 (CORE SERVICES FEE)          | (\$312.53)   | \$0.00 |
|                               | 01/08/2018 5# Money mkt fund purch | 73.000    | FED TRUST UST OBLIG #59<br>@\$1.000                   | 226 (SWEEP PURCHASE)              | (\$73.00)    | \$0.00 |
|                               | 01/10/2018 5# Money mkt fund purch | 85.000    | FED TRUST UST OBLIG #59<br>@\$1.000                   | 226 (SWEEP PURCHASE)              | (\$85.00)    | \$0.00 |
|                               | 01/12/2018 6I Remittance & dep.    | 0.000     | KEY BANK<br>UN/O KURT SCHRADER MONTHLY WITHDRAWAL     | 219 (REMITTANCES AND<br>DEPOSITS) | (\$5,400.00) | \$0.00 |
|                               | 01/16/2018 5# Money mkt fund purch | 8.000     | FED TRUST UST OBLIG #59<br>@\$1.000                   | 226 (SWEEP PURCHASE)              | (\$8.00)     | \$0.00 |
|                               | 01/19/2018 5P Purchase             | 20.000    | CINTAS CORP<br>@\$162.177                             | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$3,244.13) | \$0.00 |
|                               | 01/24/2018 5# Money mkt fund purch | 37.000    | FED TRUST UST OBLIG #59<br>@\$1.000                   | 226 (SWEEP PURCHASE)              | (\$37.00)    | \$0.00 |
|                               | 01/26/2018 5P Purchase             | 50.000    | ZOETIS INC<br>@\$78.360                               | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$3,919.48) | \$0.00 |
|                               |                                    | 10.000    | CINTAS CORP<br>@\$164.940                             | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$1,649.70) | \$0.00 |

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## Subtractions

| USD | 01/29/2018 | 5# Money mkt fund purch | 4,235.000   | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$4,235.00)   | \$0.00 |
|-----|------------|-------------------------|-------------|---|--------------------------------|----------------|--------|
|     |            |                         | @\$1.000    |   |                                |                |        |
|     | 01/30/2018 | 5# Money mkt fund purch | 4,738.000   | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$4,738.00)   | \$0.00 |
|     |            |                         | @\$1.000    |   |                                |                |        |
|     | 02/01/2018 | 5# Money mkt fund purch | 73.000      | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$73.00)      | \$0.00 |
|     |            |                         | @\$1.000    |   |                                |                |        |
|     | 02/02/2018 | 5# Money mkt fund purch | 20.000      | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$20.00)      | \$0.00 |
|     |            |                         | @\$1.000    |   |                                |                |        |
|     | 02/06/2018 | 6I Fee/Commission       | 0.000       | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 01/31/18 | 5001 (CORE SERVICES FEE)       | (\$327.88)     | \$0.00 |
|     | 02/08/2018 | 5P Purchase             | 30.000      | PAYPAL HOLDINGS INC                                   | 262 (PURCHASE CASH SETTLEMENT) | (\$2,243.15)   | \$0.00 |
|     |            |                         | @\$74.732   |   |                                |                |        |
|     | 02/15/2018 | 6L Remittance & dep.    | 0.000       | KEY BANK /N/O KURT SCHRADER MONTHLY WITHDRAWAL        | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00)   | \$0.00 |
|     | 02/16/2018 | 5# Money mkt fund purch | 100,000.000 | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$100,000.00) | \$0.00 |
|     |            |                         | @\$1.000    |   |                                |                |        |
|     | 02/20/2018 | 5P Purchase             | 2,658.003   | OW SMALL & MIDCAP STRAT FD                            | 262 (PURCHASE CASH SETTLEMENT) | (\$45,000.00)  | \$0.00 |
|     |            |                         | @\$16.930   |   |                                |                |        |
|     |            |                         | 2,810.903   | OW MUNICIPAL BOND FUND                                | 262 (PURCHASE CASH SETTLEMENT) | (\$33,000.00)  | \$0.00 |
|     |            |                         | @\$11.740   |   |                                |                |        |
|     |            |                         | 1,030.534   | OW STRATEGIC OPPTY'S FUND                             | 262 (PURCHASE CASH SETTLEMENT) | (\$8,100.00)   | \$0.00 |
|     |            |                         | @\$7.860    |   |                                |                |        |
|     | 02/23/2018 | 5# Money mkt fund purch | 60.000      | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$60.00)      | \$0.00 |
|     |            |                         | @\$1.000    |   |                                |                |        |
|     | 03/01/2018 | 5# Money mkt fund purch | 658.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$658.00)     | \$0.00 |
|     |            |                         | @\$1.000    |   |                                |                |        |
|     |            | 5P Purchase             | 10.000      | CINTAS CORP   | 262 (PURCHASE CASH SETTLEMENT) | (\$1,711.56)   | \$0.00 |
|     |            |                         | @\$171.116  |   |                                |                |        |
|     |            |                         | 10.000      | AMERICAN WATER WORKS CO                               | 262 (PURCHASE CASH SETTLEMENT) | (\$801.04)     | \$0.00 |
|     |            |                         | @\$80.074   |   |                                |                |        |
|     |            |                         | 10.000      | ALTRIA GROUP INC                                      | 262 (PURCHASE CASH SETTLEMENT) | (\$642.28)     | \$0.00 |
|     |            |                         | @\$64.188   |   |                                |                |        |

# Cash Transaction Detail

## Subtractions

| USD | 03/02/2018 5# Money mkt fund purch | 36.000    | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$36.00)    | \$0.00 |
|-----|------------------------------------|-----------|---|--------------------------------|--------------|--------|
|     | 03/06/2018 6I Fee/Commission       | 0.000     | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 02/28/18 | 5001 (CORE SERVICES FEE)       | (\$325.98)   | \$0.00 |
|     | 03/08/2018 5P Purchase             | 35.000    | SALESFORCE COM @ \$124.307                            | 262 (PURCHASE CASH SETTLEMENT) | (\$4,352.14) | \$0.00 |
|     |                                    | 20.000    | SERVICENOW INC @ \$170.938                            | 262 (PURCHASE CASH SETTLEMENT) | (\$3,419.56) | \$0.00 |
|     | 03/09/2018 5# Money mkt fund purch | 3,934.000 | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$3,934.00) | \$0.00 |
|     | 03/15/2018 6L Remittance & dep.    | 0.000     | KEY BANK /N/O KURT SCHRAEDER MONTHLY WITHDRAWAL       | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00) | \$0.00 |
|     | 03/22/2018 5# Money mkt fund purch | 41.000    | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$41.00)    | \$0.00 |
|     | 03/26/2018 6Z Withholding Tax      | 515.000   | NORDEA BANK AB  | 183 (CASH DIVIDEND)            | (\$129.67)   | \$0.00 |
|     |                                    |           | INCLUDES WITHHOLDING TAX OF \$129.67                  |                                |              |        |
|     | 03/27/2018 5# Money mkt fund purch | 303.000   | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$303.00)   | \$0.00 |
|     | 03/29/2018 5# Money mkt fund purch | 12.000    | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$12.00)    | \$0.00 |
|     | 6Z Withholding Tax                 | 235.000   | ENCANA CORP   | 183 (CASH DIVIDEND)            | (\$0.89)     | \$0.00 |
|     |                                    |           | INCLUDES WITHHOLDING TAX OF \$89                      |                                |              |        |
|     | 04/02/2018 5# Money mkt fund purch | 209.000   | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$209.00)   | \$0.00 |
|     | 04/03/2018 5# Money mkt fund purch | 34.000    | FED TRUST UST OBLIG #59 @ \$1.000                     | 226 (SWEEP PURCHASE)           | (\$34.00)    | \$0.00 |
|     | 04/05/2018 6I Fee/Commission       | 0.000     | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 03/31/18 | 5001 (CORE SERVICES FEE)       | (\$315.53)   | \$0.00 |
|     | Z4 Security deliver                | (38.000)  | BROADCOM LTD MERGER                                   | 150 (MERGER)                   | \$0.00       | \$0.00 |

# Cash Transaction Detail

## Subtractions

| USD | 04/09/2018 | SP Purchase             | 30.000     | NIKE INC CL B<br>@ \$69.391   | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$2,082.92)  | \$0.00 |
|-----|------------|-------------------------|------------|---|-----------------------------------|---------------|--------|
|     |            |                         | 20.000     | AMERICAN WATER WORKS CO<br>@ \$81.556   | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$1,631.92)  | \$0.00 |
|     | 04/10/2018 | 42 Cash disbursement    | 0.000      | KURT SCHRADER<br>KEYBANK NATIONAL ASSOCIATION PER EMAIL AND VERBAL REQUEST DISBURSEMENT<br>FROM CLIENT XI | 7 (MISCELLANEOUS<br>DISBURSEMENT) | (\$50,000.00) | \$0.00 |
|     |            |                         | 0.000      | EXPENSE REIMBURSEMENT<br>WIRE TRANSFER XI   | 7 (MISCELLANEOUS<br>DISBURSEMENT) | (\$25.00)     | \$0.00 |
|     | 04/11/2018 | 5# Money mkt fund purch | 15,771.000 | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$15,771.00) | \$0.00 |
|     | 04/12/2018 | 5# Money mkt fund purch | 1,074.000  | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$1,074.00)  | \$0.00 |
|     | 04/13/2018 | 6L Remittance & dep.    | 0.000      | KEY BANK<br>N/A/N/O KURT SCHRADER MONTHLY WITHDRAWAL  | 219 (REMITTANCES AND<br>DEPOSITS) | (\$5,400.00)  | \$0.00 |
|     | 04/16/2018 | 5# Money mkt fund purch | 713.000    | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$713.00)    | \$0.00 |
|     | 04/17/2018 | 5# Money mkt fund purch | 354.000    | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$354.00)    | \$0.00 |
|     | 04/19/2018 | 5# Money mkt fund purch | 191.000    | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$191.00)    | \$0.00 |
|     |            | 5P Purchase             | 10.000     | SERVICE NOW INC<br>@ \$174.241  | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$1,742.71)  | \$0.00 |
|     |            |                         | 10.000     | SALESFORCE COM<br>@ \$122.630   | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$1,226.70)  | \$0.00 |
|     | 04/20/2018 | 5# Money mkt fund purch | 49.000     | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$49.00)     | \$0.00 |
|     | 04/25/2018 | 5# Money mkt fund purch | 25.000     | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$25.00)     | \$0.00 |
|     | 04/27/2018 | 5# Money mkt fund purch | 21.000     | FED TRUST UST OBLIG #59<br>@ \$1.000  | 226 (SWEEP PURCHASE)              | (\$21.00)     | \$0.00 |

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| USD | 05/01/2018 | 5# Money mkt fund purch | 26.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$26.00)    | \$0.00 |
|-----|------------|-------------------------|------------|---|--------------------------------|--------------|--------|
|     |            |                         | @\$1.000   |   |                                |              |        |
|     | 05/02/2018 | 5# Money mkt fund purch | 32.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$32.00)    | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     | 05/04/2018 | 6I Fee/Commission       | 0.000      | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 04/30/18 | 5001 (CORE SERVICES FEE)       | (\$300.14)   | \$0.00 |
|     | 05/07/2018 | 5# Money mkt fund purch | 4,558.000  | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$4,558.00) | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     |            | 5P Purchase             | 5.000      | CINTAS CORP   | 262 (PURCHASE CASH SETTLEMENT) | (\$859.76)   | \$0.00 |
|     |            |                         | @\$171.911 |   |                                |              |        |
|     | 05/09/2018 | 5P Purchase             | 10.000     | FIDELITY NATL INFO SVCS                               | 262 (PURCHASE CASH SETTLEMENT) | (\$1,017.37) | \$0.00 |
|     |            |                         | @\$101.697 |   |                                |              |        |
|     | 05/10/2018 | 5# Money mkt fund purch | 34.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$34.00)    | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     | 05/15/2018 | 6L Remittance & dep.    | 0.000      | KEY BANK  | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00) | \$0.00 |
|     |            |                         |            | W/O KURT SCHRADER MONTHLY WITHDRAWAL                  |                                |              |        |
|     | 05/17/2018 | 5# Money mkt fund purch | 51.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$51.00)    | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     | 05/18/2018 | 5# Money mkt fund purch | 1,083.000  | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$1,083.00) | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     | 05/21/2018 | 5P Purchase             | 70.000     | ENERGY SELECT SECTOR                                  | 262 (PURCHASE CASH SETTLEMENT) | (\$5,505.16) | \$0.00 |
|     |            |                         | @\$78.605  |   |                                |              |        |
|     | 05/22/2018 | 4Z Cash disbursement    | 35.000     | ALIBABA GROUP HOLDINGS LTD                            | 5139 (ADR EXPENSE)             | (\$0.70)     | \$0.00 |
|     |            |                         |            | ADR Depository Charge                                 |                                |              |        |
|     |            | 5# Money mkt fund purch | 1,570.000  | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$1,570.00) | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     |            | 5P Purchase             | 40.000     | ENERGY SELECT SECTOR                                  | 262 (PURCHASE CASH SETTLEMENT) | (\$3,130.37) | \$0.00 |
|     |            |                         | @\$78.219  |   |                                |              |        |
|     | 05/23/2018 | 5# Money mkt fund purch | 540.000    | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$540.00)   | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |
|     | 05/25/2018 | 5# Money mkt fund purch | 2,271.000  | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$2,271.00) | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |              |        |

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## Subtractions

| USD                                | 05/29/2018 5P Purchase | 6.000  | BLACKROCK INC<br>@ \$538.176      | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$3,229.29) | \$0.00 |
|------------------------------------|------------------------|--|-----------------------------------|-----------------------------------|--------------|--------|
| 06/01/2018 5# Money mkt fund purch | 149.000                | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$149.00)                        | \$0.00       |        |
| 06/04/2018 5# Money mkt fund purch | 35.000                 | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$35.00)                         | \$0.00       |        |
| 06/05/2018 4Z Cash disbursement    | 0.000                  | KURT SCHRAEDER<br>KEYBANK NATIONAL ASSOCIATION PER REQUEST 6/4/18 XI | 7 (MISCELLANEOUS<br>DISBURSEMENT) | (\$50,000.00)                     | \$0.00       |        |
|                                    | 0.000                  | EXPENSE REIMBURSEMENT<br>WIRE TRANSFER XI                            | 7 (MISCELLANEOUS<br>DISBURSEMENT) | (\$25.00)                         | \$0.00       |        |
| 06/06/2018 6I Fee/Commission       | 0.000                  | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 05/31/18                | 5001 (CORE SERVICES FEE)          | (\$302.60)                        | \$0.00       |        |
| 06/07/2018 5# Money mkt fund purch | 49.000                 | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$49.00)                         | \$0.00       |        |
| 06/12/2018 5# Money mkt fund purch | 1,514.000              | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$1,514.00)                      | \$0.00       |        |
| 06/14/2018 5# Money mkt fund purch | 116.000                | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$116.00)                        | \$0.00       |        |
| 06/15/2018 6L Remittance & dep.    | 0.000                  | KEY BANK<br>N/O KURT SCHRAEDER MONTHLY WITHDRAWAL                    | 219 (REMITTANCES AND<br>DEPOSITS) | (\$5,400.00)                      | \$0.00       |        |
| 06/20/2018 5# Money mkt fund purch | 56.000                 | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$56.00)                         | \$0.00       |        |
| 06/21/2018 5# Money mkt fund purch | 17.000                 | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$17.00)                         | \$0.00       |        |
| 06/26/2018 5P Purchase             | 25.000                 | PIONEER NATURAL RESOURCES<br>@ \$185.485                             | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$4,637.89)                      | \$0.00       |        |
|                                    | 15.000                 | ALEXION PHARMACEUTICAL<br>@ \$127.601                                | 262 (PURCHASE CASH<br>SETTLEMENT) | (\$1,914.62)                      | \$0.00       |        |
| 06/27/2018 5# Money mkt fund purch | 2,210.000              | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$2,210.00)                      | \$0.00       |        |
| 06/29/2018 5# Money mkt fund purch | 170.000                | FED TRUST UST OBLIG #59<br>@ \$1.000                                 | 226 (SWEEP PURCHASE)              | (\$170.00)                        | \$0.00       |        |

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## Subtractions

| USD | 07/02/2018 | 5# Money mkt fund purch | 25.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$25.00)     | \$0.00 |
|-----|------------|-------------------------|------------|---|--------------------------------|---------------|--------|
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 07/03/2018 | 5# Money mkt fund purch | 27.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$27.00)     | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 07/05/2018 | 5# Money mkt fund purch | 28.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$28.00)     | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 07/06/2018 | 6I Fee/Commission       | 0.000      | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 06/30/18 | 5001 (CORE SERVICES FEE)       | (\$297.95)    | \$0.00 |
|     | 07/10/2018 | 5# Money mkt fund purch | 98.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$98.00)     | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 07/13/2018 | 6I Remittance & dep.    | 0.000      | KEY BANK  | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00)  | \$0.00 |
|     |            |                         |            | W/O KURT SCHRADER MONTHLY WITHDRAWAL                  |                                |               |        |
|     | 07/17/2018 | 5# Money mkt fund purch | 2,709.000  | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$2,709.00)  | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     |            | 5P Purchase             | 40.000     | ACTIVISION BLIZZARD INC                               | 262 (PURCHASE CASH SETTLEMENT) | (\$3,260.46)  | \$0.00 |
|     |            |                         | @\$81.482  |   |                                |               |        |
|     |            |                         | 3.000      | BLACKROCK INC   | 262 (PURCHASE CASH SETTLEMENT) | (\$1,521.71)  | \$0.00 |
|     |            |                         | @\$507.197 |   |                                |               |        |
|     |            |                         | 5.000      | RAYTHEON CO NEW                                       | 262 (PURCHASE CASH SETTLEMENT) | (\$997.65)    | \$0.00 |
|     |            |                         | @\$199.490 |   |                                |               |        |
|     | 07/27/2018 | 5# Money mkt fund purch | 21.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$21.00)     | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 08/02/2018 | 5# Money mkt fund purch | 58.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$58.00)     | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 08/06/2018 | 6I Fee/Commission       | 0.000      | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 07/31/18 | 5001 (CORE SERVICES FEE)       | (\$302.55)    | \$0.00 |
|     | 08/15/2018 | 6I Remittance & dep.    | 0.000      | KEY BANK  | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00)  | \$0.00 |
|     |            |                         |            | W/O KURT SCHRADER MONTHLY WITHDRAWAL                  |                                |               |        |
|     | 08/16/2018 | 5# Money mkt fund purch | 51.000     | FED TRUST UST OBLIG #59                               | 226 (SWEEP PURCHASE)           | (\$51.00)     | \$0.00 |
|     |            |                         | @\$1.000   |   |                                |               |        |
|     | 08/23/2018 | 4Z Cash disbursement    | 0.000      | KURT SCHRADER   | 7 (MISCELLANEOUS DISBURSEMENT) | (\$20,000.00) | \$0.00 |
|     |            |                         |            | KEYBANK NATIONAL ASSOCIATION PER REQUEST 8/22/18 XI   |                                |               |        |

# Cash Transaction Detail

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| Subtractions                           | USD | 0-P | 08/23/2018 | 4Z | Cash disbursement | 0.000  | EXPENSE REIMBURSEMENT<br>WIRE TRANSFER XI | 7 (MISCELLANEOUS<br>DISBURSEMENT) | (\$25.00) | \$0.00 |
|--|-----|-----|------------|----|-------------------|--|---|-----------------------------------|-----------|--------|
| 5# Money mkt fund purch                |     |     |            |    | 10,000.000        | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$10,000.00)                     | \$0.00    |        |
| 08/24/2018 5# Money mkt fund purch     |     |     |            |    | 33.000            | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$33.00)                         | \$0.00    |        |
| 08/27/2018 5# Money mkt fund purch     |     |     |            |    | 1,957.000         | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$1,957.00)                      | \$0.00    |        |
| 5P Purchase                            |     |     |            |    | 60.000            | PEIZER INC<br>@\$42.101  | 262 (PURCHASE CASH<br>SETTLEMENT)         | (\$2,527.87)                      | \$0.00    |        |
| 08/28/2018 5P Purchase                 |     |     |            |    | 60.000            | PEIZER INC<br>@\$42.239  | 262 (PURCHASE CASH<br>SETTLEMENT)         | (\$2,536.71)                      | \$0.00    |        |
| 08/31/2018 6Y Other financial services |     |     |            |    | 0.000             | FEE ON SPECIAL REPORTS PRODUCED FOR TAX PREP PURPOSES FOR TAX YR 2017<br>REPORTS FEE | 5076 (SPECIAL TAX<br>REPORTS FEE)         | (\$250.00)                        | \$0.00    |        |
| 09/04/2018 5# Money mkt fund purch     |     |     |            |    | 169.000           | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$169.00)                        | \$0.00    |        |
| 09/05/2018 5# Money mkt fund purch     |     |     |            |    | 21.000            | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$21.00)                         | \$0.00    |        |
| 09/06/2018 5# Money mkt fund purch     |     |     |            |    | 56.000            | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$56.00)                         | \$0.00    |        |
| 09/07/2018 5P Purchase                 |     |     |            |    | 85.000            | AMEREN CORP<br>@\$64.828   | 262 (PURCHASE CASH<br>SETTLEMENT)         | (\$5,513.76)                      | \$0.00    |        |
|  |     |     |            |    | 90.000            | PEIZER INC<br>@\$41.848  | 262 (PURCHASE CASH<br>SETTLEMENT)         | (\$3,769.93)                      | \$0.00    |        |
| 6I Fee/Commission                      |     |     |            |    | 0.000             | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 08/31/18                                | 5001 (CORE SERVICES FEE)                  | (\$311.42)                        | \$0.00    |        |
| 09/10/2018 5# Money mkt fund purch     |     |     |            |    | 2,681.000         | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$2,681.00)                      | \$0.00    |        |
| 09/11/2018 5# Money mkt fund purch     |     |     |            |    | 1,662.000         | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$1,662.00)                      | \$0.00    |        |
| 09/13/2018 5# Money mkt fund purch     |     |     |            |    | 115.000           | FED TRUST UST OBLIG #59<br>@\$1.000  | 226 (SWEEP PURCHASE)                      | (\$115.00)                        | \$0.00    |        |

B  
BESSEMER  
TRUST



# Cash Transaction Detail

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## Subtractions

| USD | SD-P       | 09/14/2018 | 6L                   | Remittance & dep. | 0.000   | KEY BANK   | N/O KURT SCHRADER MONTHLY WITHDRAWAL | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00) | \$0.00 |
|-----|------------|------------|----------------------|-------------------|---|------------|--------------------------------------|--------------------------------|--------------|--------|
|     | 09/21/2018 | 5#         | Money mkt fund purch | 112.000           | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$112.00)   | \$0.00 |
|     | 09/24/2018 | 5#         | Money mkt fund purch | 28.000            | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$28.00)    | \$0.00 |
|     | 09/28/2018 | 5#         | Money mkt fund purch | 150.000           | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$150.00)   | \$0.00 |
|     | 10/01/2018 | 5#         | Money mkt fund purch | 48.000            | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$48.00)    | \$0.00 |
|     | 10/02/2018 | 5#         | Money mkt fund purch | 19.000            | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$19.00)    | \$0.00 |
|     | 10/04/2018 | 6I         | Fee/Commission       | 0.000             | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 09/30/18 |            |                                      | 5001 (CORE SERVICES FEE)       | (\$308.96)   | \$0.00 |
|     | 10/09/2018 | 5P         | Purchase             | 20.000            | CME GROUP INC   | @\$180.689 |                                      | 262 (PURCHASE CASH SETTLEMENT) | (\$3,614.58) | \$0.00 |
|     | 10/10/2018 | 5#         | Money mkt fund purch | 112.000           | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$112.00)   | \$0.00 |
|     | 10/12/2018 | 5#         | Money mkt fund purch | 51.000            | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$51.00)    | \$0.00 |
|     | 10/15/2018 | 6L         | Remittance & dep.    | 0.000             | KEY BANK  |            | N/O KURT SCHRADER MONTHLY WITHDRAWAL | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00) | \$0.00 |
|     | 10/18/2018 | 5P         | Purchase             | 45.000            | DISNEY (WALT) HOLDING CO                              | @\$115.928 |                                      | 262 (PURCHASE CASH SETTLEMENT) | (\$5,218.11) | \$0.00 |
|     | 10/26/2018 | 5P         | Purchase             | 25.000            | UNITEDHEALTH GROUP INC                                | @\$263.637 |                                      | 262 (PURCHASE CASH SETTLEMENT) | (\$6,591.93) | \$0.00 |
|     | 10/29/2018 | 5#         | Money mkt fund purch | 3,709.000         | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$3,709.00) | \$0.00 |
|     | 10/30/2018 | 5#         | Money mkt fund purch | 4,167.000         | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$4,167.00) | \$0.00 |
|     | 11/02/2018 | 5#         | Money mkt fund purch | 10.000            | FED TRUST UST OBLIG #59                               | @\$1.000   |                                      | 226 (SWEEP PURCHASE)           | (\$10.00)    | \$0.00 |

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# Cash Transaction Detail

## Subtractions

| USD | 11/06/2018 6I Fee/Commission       | 0.000     | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 10/31/18                | 5001 (CORE SERVICES FEE)       | (\$279.17)    | \$0.00 |
|-----|------------------------------------|-----------|--|--------------------------------|---------------|--------|
|     | 11/08/2018 5# Money mkt fund purch | 39.000    | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$39.00)     | \$0.00 |
|     | 11/14/2018 5# Money mkt fund purch | 570.000   | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$570.00)    | \$0.00 |
|     | 5P Purchase                        | 20.000    | American Tower Corp<br>@\$161.322                                    | 262 (PURCHASE CASH SETTLEMENT) | (\$3,227.14)  | \$0.00 |
|     |                                    | 35.000    | ACTIVISION BLIZZARD INC<br>@\$54.131                                 | 262 (PURCHASE CASH SETTLEMENT) | (\$1,895.82)  | \$0.00 |
|     | 11/15/2018 6L Remittance & dep.    | 0.000     | KEYBANK<br>N/O KURT SCHRADER MONTHLY WITHDRAWAL                      | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00)  | \$0.00 |
|     | 11/16/2018 5# Money mkt fund purch | 252.000   | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$252.00)    | \$0.00 |
|     | 5P Purchase                        | 10.000    | American Tower Corp<br>@\$162.342                                    | 262 (PURCHASE CASH SETTLEMENT) | (\$1,623.82)  | \$0.00 |
|     |                                    | 5.000     | CME GROUP INC<br>@\$188.060  | 262 (PURCHASE CASH SETTLEMENT) | (\$940.50)    | \$0.00 |
|     | 11/21/2018 5# Money mkt fund purch | 58.000    | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$58.00)     | \$0.00 |
|     | 12/03/2018 5# Money mkt fund purch | 169.000   | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$169.00)    | \$0.00 |
|     | 12/04/2018 5# Money mkt fund purch | 1,759.000 | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$1,759.00)  | \$0.00 |
|     | 12/06/2018 6I Fee/Commission       | 0.000     | INVESTMENT SERVICES FEE FOR THE PERIOD ENDED 11/30/18                | 5001 (CORE SERVICES FEE)       | (\$279.25)    | \$0.00 |
|     | 12/07/2018 5# Money mkt fund purch | 93.000    | FED TRUST UST OBLIG #59<br>@\$1.000                                  | 226 (SWEEP PURCHASE)           | (\$93.00)     | \$0.00 |
|     | 12/10/2018 4Z Cash disbursement    | 0.000     | KURT SCHRADER<br>KEYBANK NATIONAL ASSOCIATION PER REQUEST 12/7/18 XI | 7 (MISCELLANEOUS DISBURSEMENT) | (\$26,000.00) | \$0.00 |
|     |                                    | 0.000     | EXPENSE REIMBURSEMENT<br>WIETRANSFER XI                              | 7 (MISCELLANEOUS DISBURSEMENT) | (\$25.00)     | \$0.00 |

# Cash Transaction Detail

## Subtractions

| USD                    | SD-P        | 12/10/2018              | 5# Money mkt fund purch | 14,000.000                       | FED TRUST UST OBLIG #59        | 226 (SWEEP PURCHASE) | (\$14,000.00) | \$0.00 |
|------------------------|-------------|-------------------------|-------------------------|----------------------------------|--------------------------------|----------------------|---------------|--------|
|                        |             |                         |                         | @\$1.000                         |                                |                      |               |        |
|                        | 12/12/2018  | 5# Money mkt fund purch | 26,815.000              | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$26,815.00)        | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | 12/13/2018  | 5# Money mkt fund purch | 137.000                 | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$137.00)           | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | 12/14/2018  | 6L Remittance & dep.    | 0.000                   | KEY BANK                         | 219 (REMITTANCES AND DEPOSITS) | (\$5,400.00)         | \$0.00        |        |
|                        |             |                         |                         | KURT SCHRADER MONTHLY WITHDRAWAL |                                |                      |               |        |
|                        | 12/21/2018  | 5# Money mkt fund purch | 23,649.000              | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$23,649.00)        | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | 12/24/2018  | 5# Money mkt fund purch | 12,391.000              | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$12,391.00)        | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | SP Purchase |                         | 35.000                  | WASTE MANAGEMENT INC NEW         | 262 (PURCHASE CASH SETTLEMENT) | (\$3,062.93)         | \$0.00        |        |
|                        |             |                         | @\$87.472               |                                  |                                |                      |               |        |
|                        |             |                         | 10.000                  | American Tower Corp              | 262 (PURCHASE CASH SETTLEMENT) | (\$1,615.96)         | \$0.00        |        |
|                        |             |                         | @\$161.566              |                                  |                                |                      |               |        |
|                        |             |                         | 30.000                  | ACTIVISION BLIZZARD INC          | 262 (PURCHASE CASH SETTLEMENT) | (\$1,401.82)         | \$0.00        |        |
|                        |             |                         | @\$46.687               |                                  |                                |                      |               |        |
|                        | 12/26/2018  | 5# Money mkt fund purch | 1,995.000               | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$1,995.00)         | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | 12/27/2018  | 5# Money mkt fund purch | 17.000                  | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$17.00)            | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | 12/28/2018  | 5# Money mkt fund purch | 39.000                  | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$39.00)            | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
|                        | 12/31/2018  | 5# Money mkt fund purch | 41.000                  | FED TRUST UST OBLIG #59          | 226 (SWEEP PURCHASE)           | (\$41.00)            | \$0.00        |        |
|                        |             |                         | @\$1.000                |                                  |                                |                      |               |        |
| Total subtractions USD |             |                         |                         |                                  |                                |                      |               |        |

# Cash Transaction Detail

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KURT SCHRADER CUSTODY

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## Additions

|   |                 |            |  |                                   |  |                                   |        |        |
|---|-----------------|------------|--|-----------------------------------|--|-----------------------------------|--------|--------|
| USD   | USD-P           | 01/02/2018 | 11 Interest  | 0.000                             | INTEREST ON BESSEMER SWEEP FOR PERIOD 12/01/2017 TO 12/31/2017 | 5050 (INTEREST ON BESSEMER SWEEP) | \$1.22 | \$0.00 |
| 01/16/2018  | 11 Class action | 0.000      | CLASS ACTION PROCEEDS  | 5058 (CLASS ACTION SETTLEMENTS)   | \$0.11   | \$0.00                            |        |        |
| To receive pro-rata distribution from BNYM securities litigation net settlement fund for closed account. Taxability to be reflected as long term capital gains. |                 |            |  |                                   |  |                                   |        |        |
| 02/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 01/01/2018 TO 01/31/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$0.62   | \$0.00                            |        |        |
| 03/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 02/01/2018 TO 02/28/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$0.56   | \$0.00                            |        |        |
| 04/02/2018  | 11 Dividend     | 2,903.000  | PFIZER INC   | 183 (CASH DIVIDEND)               | \$987.02   | \$0.00                            |        |        |
| 04/02/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 03/01/2018 TO 03/31/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$1.28   | \$0.00                            |        |        |
| 05/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 04/01/2018 TO 04/30/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$1.50   | \$0.00                            |        |        |
| 06/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 05/01/2018 TO 05/31/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$1.55   | \$0.00                            |        |        |
| 07/02/2018  | 11 Dividend     | 2,903.000  | PFIZER INC   | 183 (CASH DIVIDEND)               | \$987.02   | \$0.00                            |        |        |
| 07/02/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 06/01/2018 TO 06/30/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$2.48   | \$0.00                            |        |        |
| 08/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 07/01/2018 TO 07/31/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$3.10   | \$0.00                            |        |        |
| 09/04/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 08/01/2018 TO 08/31/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$3.10   | \$0.00                            |        |        |
| 10/01/2018  | 11 Dividend     | 2,903.000  | PFIZER INC   | 183 (CASH DIVIDEND)               | \$987.02   | \$0.00                            |        |        |
| 10/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 09/01/2018 TO 09/30/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$3.85   | \$0.00                            |        |        |
| 11/01/2018  | 11 Interest     | 0.000      | INTEREST ON BESSEMER SWEEP FOR PERIOD 10/01/2018 TO 10/31/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$4.34   | \$0.00                            |        |        |

# Cash Transaction Detail

## Additions

| USD | SD-P | 12/03/2018 | 11 Interest | 0.000     | INTEREST ON BESSEMER SWEEP FOR PERIOD 11/01/2018 TO 11/30/2018 | 5050 (INTEREST ON BESSEMER SWEEP) | \$4.20   | \$0.00 |
|-----|------|------------|-------------|-----------|--|-----------------------------------|----------|--------|
|     |      |            | 1L Dividend | 2,903.000 | Pfizer Inc   | 183 (CASH DIVIDEND)               | \$987.02 | \$0.00 |

## Total additions USD

## Subtractions

| USD | SD-P | 01/05/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 12/31/17 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.25)     | \$0.00 |
|-----|------|------------|-------------------|-------|--|---|--------------|--------|
|     |      | 02/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 01/31/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.25)     | \$0.00 |
|     |      | 03/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 02/28/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.25)     | \$0.00 |
|     |      | 04/05/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 03/31/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.46)     | \$0.00 |
|     |      | 05/04/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 04/30/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.46)     | \$0.00 |
|     |      | 06/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 05/31/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.46)     | \$0.00 |
|     |      | 07/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 06/30/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.67)     | \$0.00 |
|     |      | 08/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 07/31/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.67)     | \$0.00 |
|     |      | 09/07/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 08/31/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.67)     | \$0.00 |
|     |      | 10/04/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 09/30/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.87)     | \$0.00 |
|     |      | 11/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 10/31/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.87)     | \$0.00 |
|     |      | 12/06/2018 | 6I Fee/Commission | 0.000 | CUSTODY SERVICES FEE FOR THE PERIOD ENDED 11/30/18 | 5006 (DIRECTED CUSTODY FEE)                     | (\$0.87)     | \$0.00 |
|     |      | 12/10/2018 | 6N Transfer       | 0.000 | W. KURT SCHRADER IM TRANSFER FROM CUSTODY TO IM XI | 5391 (TRANSFER WITHIN SAME ACCT (DISBURSEMENT)) | (\$5,000.00) | \$0.00 |

# Cash Transaction Detail

Report Date: 03/15/2019      Units: Descrpt      DS Code      Financial Name

| Subtractions           |   |           |   |  |
|------------------------|---|-----------|---|--|
| USD                    | SD-P 12/27/2018 J6 Security gift/donation | (60.000)  | PFIZER INC<br>GIFT TO Travis Farnsworth | 271 (GIFT (SECURITY))      \$0.00 \$0.00 |
|                        |   | (120.000) | PFIZER INC<br>GIFT TO Ryan Schrader     | 271 (GIFT (SECURITY))      \$0.00 \$0.00 |
|                        |   | (120.000) | PFIZER INC<br>GIFT TO Steven Schrader   | 271 (GIFT (SECURITY))      \$0.00 \$0.00 |
|                        |   | (120.000) | PFIZER INC<br>GIFT TO Clare Schrader    | 271 (GIFT (SECURITY))      \$0.00 \$0.00 |
|                        |   | (120.000) | PFIZER INC<br>GIFT TO Maren Schrader    | 271 (GIFT (SECURITY))      \$0.00 \$0.00 |
| Total subtractions USD |   |           |   | \$0.00                                   |

## All Activity

Single Activity Type: Security Transactions

98 Items - Custom - From 1/1/2018 to 12/31/2018 as of Close of Business 3/15/2019

Total Count: [REDACTED]  
 Total Amount: [REDACTED]  
 Pending Count: [REDACTED]  
 Pending Amount: [REDACTED]  
 Settled Count: [REDACTED]  
 Settled Amount: [REDACTED]



| Account / Nickname | Trade/Transaction Date | Settle Date | Type | Description  | Symbol | Security # | CUSIP     | Entry # | JE ID | SOL | Client Entered | Quantity   | Price    | Amount      |
|--------------------|------------------------|-------------|------|--|--------|------------|-----------|---------|-------|-----|----------------|------------|----------|-------------|
| [REDACTED]         | 12/29/2017             | 01/03/2018  | P    | Purchase<br>WSTN DIGITAL CORP DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT   | WDC    | 81665      | 958102105 | 1       | 000   | 0   |                | 50         | 79.7547  | (3,987.74)  |
| [REDACTED]         |                        |             | R    | Reinvestment Share(s)<br>PEPSICO INC PRINCIPAL REINVEST AMOUNT \$245.77 REINVEST PRICE \$118.23300 QUANTITY BOT 2.0787   | PEP    | 60739      | 713448108 | 1       | 671   |     |                | 2.0787     |          | 0.00        |
| [REDACTED]         | 01/10/2018             | 01/12/2018  | S    | Sale<br>ISHARES RUSSELL 3000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT  | IWV    | 310K2      | 464287689 | 1       |       | 0   |                | (130)      | 161.8636 | 21,041.78   |
| [REDACTED]         | 01/10/2018             | 01/12/2018  | P    | Purchase<br>WSTN DIGITAL CORP DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT   | WDC    | 81665      | 958102105 | 2       | 000   | 0   |                | 100        | 79.29    | (7,929.00)  |
| [REDACTED]         | 01/10/2018             | 01/11/2018  | P    | Purchase<br>WESTERN ASSET MANAGED MUNICIPALS FD 1 FRAC SHR QUANTITY .125 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.    | SHMYX  | 97561      | 524693509 | 4       | 000   | 0   |                | 307.1250   | 16.28    | (5,000.00)  |
| [REDACTED]         | 01/10/2018             | 01/11/2018  | P    | Purchase<br>OPPERHEIMER DEVELOPING MARKETS FD CL Y FRAC SHR QUANTITY .517 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.   | ODVYX  | 9LXH0      | 683974505 | 2       | 000   | 0   |                | 224.5170   | 44.54    | (9,999.99)  |
| [REDACTED]         | 01/10/2018             | 01/11/2018  | P    | Purchase<br>JP MORGAN STRATEGIC INCOME OPP FUND CL I FRAC SHR QUANTITY .923 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT. | JSOSX  | 9PP26      | 481244351 | 3       | 000   | 0   |                | 429.9230   | 11.63    | (5,000.00)  |
| [REDACTED]         | 01/11/2018             | 01/16/2018  | S    | Sale<br>DELTA AIR LINES INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT   | DAL    | 23CL0      | 247361702 | 3       |       | 0   |                | (150)      | 58.00    | 8,699.80    |
| [REDACTED]         | 01/26/2018             | 01/30/2018  | S    | Sale<br>COCA COLA COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT   | KO     | 18320      | 191216100 | 1       |       | 0   |                | (200)      | 48.3145  | 9,662.68    |
| [REDACTED]         | 01/26/2018             | 01/30/2018  | S    | Sale<br>VANGUARD GROWTH ETF EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT   | VUG    | 31PM4      | 922908736 | 2       |       | 0   |                | (153)      | 151.6001 | 23,194.28   |
| [REDACTED]         | 01/26/2018             | 01/29/2018  | P    | Purchase<br>ASG MANAGED FUTURES STR FD CL Y FRAC SHR QUANTITY .059 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.          | ASIFYX | 9K8V4      | 638727729 | 1       | 000   | 0   |                | 1,531.0590 | 11.43    | (17,500.00) |

Activity is shown in the currency of the account

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Investment Product(s):

Are Not FDIC Insured

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Accounts included in this report: [REDACTED] CMA\*

Report Created 12:19:12PM, March 18, 2019 for SCHRADER, WALTER, K

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances and Security Values

|            |            |                       |   |       |       |           |   |     |   |            |         |             |
|------------|------------|-----------------------|---|-------|-------|-----------|---|-----|---|------------|---------|-------------|
| 01/26/2018 | 01/29/2018 | Purchase              | OPPENHEIMER DEVELOPING<br>MARKETS FD CL Y FRAC SHR<br>QUANTITY .539 FUND SUBJECT TO<br>RED FEE. PROSPECTUS ENCLOSED<br>OR UNDER SEPARATE COVER PER<br>ADVISORY AGREEMENT. ON SELLING<br>YOUR SHARES/ UNITS, YOU MAY PAY<br>A SALES CHARGE AND/OR OTHER<br>FEES. FOR INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT. | ODVYX | 9LXH0 | 683974505 | 2 | 000 | 0 | 318.5390   | 47.09   | (15,000.00) |
| 01/31/2018 | 02/02/2018 | Sale                  | ISHARES RUSSELL 3000 EXECUTED<br>100% AGENCY ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT.   | IWV   | 31CK2 | 464287689 | 1 | 0   | 0 | (15)       | 166.411 | 2,496.11    |
| 01/31/2018 | 02/01/2018 | Purchase              | ASG MANAGED FUTURES STR FD CL<br>Y FRAC SHR QUANTITY .014 FUND<br>SUBJECT TO RED FEE. PROSPECTUS<br>ENCLOSED OR UNDER SEPARATE<br>COVER PER ADVISORY AGREEMENT.<br>ON SELLING YOUR SHARES/ UNITS,<br>YOU MAY PAY A SALES CHARGE<br>AND/OR OTHER FEES. FOR<br>INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT.        | ASFYX | 9K8V4 | 638721729 | 6 | 000 | 0 | 224.0140   | 11.16   | (2,500.00)  |
| 02/01/2018 | 02/05/2018 | Sale                  | VERIZON COMMUNICATIONS COM<br>EXECUTED 100% AGENCY ACTUAL<br>PRICES, REMUNERATION AND THE<br>CAPACITY IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT.   | VZ    | 79806 | 92343V104 | 1 | 0   | 0 | (100)      | 54.50   | 5,449.87    |
| 02/07/2018 | 02/08/2018 | Purchase              | ASG MANAGED FUTURES STR FD CL<br>Y FRAC SHR QUANTITY .493 FUND<br>SUBJECT TO RED FEE. PROSPECTUS<br>ENCLOSED OR UNDER SEPARATE<br>COVER PER ADVISORY AGREEMENT.<br>ON SELLING YOUR SHARES/ UNITS,<br>YOU MAY PAY A SALES CHARGE<br>AND/OR OTHER FEES. FOR<br>INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT.        | ASFYX | 9K8V4 | 638721729 | 1 | 000 | 0 | 147.4930   | 10.17   | (1,500.00)  |
| 03/09/2018 | 03/09/2018 | Reinvestment Share(s) | MICROSOFT CORP PRINCIPAL REINV<br>AMOUNT \$308.84 REINV PRICE<br>\$95.33300 QUANTITY BOT 3.2396   | MSFT  | 49522 | 594918104 | 3 | 671 | 0 | 3.2396     |         | 0.00        |
| 03/12/2018 | 03/14/2018 | Sale                  | WESTN DIGITAL CORP DEL EXECUTED<br>100% AGENCY ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT.   | WDC   | 81665 | 958102105 | 1 | 0   | 0 | (50)       | 100.00  | 4,999.88    |
| 03/12/2018 | 03/12/2018 | Reinvestment Share(s) | EXXON MOBIL CORP COM PRINCIPAL<br>REINV AMOUNT \$274.81 REINV<br>PRICE \$75.14400 QUANTITY BOT<br>3.6571  | XOM   | 257D4 | 30231G102 | 2 | 671 | 0 | 3.6571     |         | 0.00        |
| 03/13/2018 | 03/15/2018 | Sale                  | WESTN DIGITAL CORP DEL EXECUTED<br>100% AGENCY ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT.   | WDC   | 81665 | 958102105 | 1 | 0   | 0 | (50)       | 100.00  | 5,299.88    |
| 03/14/2018 | 03/14/2018 | Reinvestment Share(s) | JOHNSON AND JOHNSON COM<br>PRINCIPAL REINV AMOUNT \$232.30<br>REINV PRICE \$135.33100 QUANTITY<br>BOT 1.7165  | JNJ   | 40853 | 478160104 | 2 | 671 | 0 | 1.7165     |         | 0.00        |
| 03/23/2018 | 03/23/2018 | Reinvestment Share(s) | BLACKROCK INC PRINCIPAL REINV<br>AMOUNT \$350.31 REINV PRICE<br>\$542.19000 QUANTITY BOT .6461  | BLK   | 080L2 | 09247X101 | 2 | 671 | 0 | 0.6461     |         | 0.00        |
| 04/03/2018 | 04/03/2018 | Reinvestment Share(s) | PEPSICO INC PRINCIPAL REINV<br>AMOUNT \$247.44 REINV PRICE<br>\$107.39000 QUANTITY BOT 2.3041   | PEP   | 60739 | 713448108 | 5 | 671 | 0 | 2.3041     |         | 0.00        |
| 04/06/2018 | 04/09/2018 | Sale                  | THE OAKMARK INTL FUND INV CL<br>FRAC SHR QUANTITY .116 THIS<br>SALE CONSTITUTES A REDEMPTION.<br>PER ADVISORY AGREEMENT. ML<br>ACTED AS AGENT.  | OAKIX | 9EHP3 | 413838202 | 1 | 0   | 0 | (649.1160) | 27.73   | 17,999.99   |
| 04/12/2018 | 04/12/2018 | Reinvestment Share(s) | ASG MANAGED FUTURES STR FD CL<br>Y AGENT REINV AMOUNT \$8.56<br>REINV PRICE \$9.88000 QUANTITY<br>BOT .8660 AS OF 04/10   | ASPYX | 9K8V4 | 638721729 | 5 | 684 | 0 | 0.8660     |         | 0.00        |
| 04/12/2018 | 04/12/2018 | Reinvestment Share(s) | ASG MANAGED FUTURES STR FD CL<br>Y AGENT REINV AMOUNT \$215.37<br>REINV PRICE \$9.88000 QUANTITY<br>BOT 21.7990 AS OF 04/10   | ASFYX | 9K8V4 | 638721729 | 6 | 684 | 0 | 21.7990    |         | 0.00        |

Activity is shown in the currency of the account

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## Investment Products:

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Accounts included in this report: CMA

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

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|            |            |            |   |       |       |           |   |     |   |              |           |             |
|------------|------------|------------|---|-------|-------|-----------|---|-----|---|--------------|-----------|-------------|
| ██████████ | 04/26/2018 | 04/30/2018 | Purchase<br>FIRST TR NASDAQ CYBERSECURITY<br>ETF EXECUTED 100% AGENCY<br>PROSPECTUS ENCLOSED OR UNDER<br>SEPARATE COVER ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT                                   | CIBR  | 31CV7 | 33734X846 | 6 | 000 | 0 | 500          | 26.1369   | (13,068.45) |
| ██████████ | 04/26/2018 | 04/30/2018 | Sale<br>FACEBOOK INC CLASS A COMMON<br>STOCK VSP 11/10/16 100.0000<br>EXECUTED 100% AGENCY ACTUAL<br>PRICES, REMUNERATION AND THE<br>CAPACITY IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT  | FB    | 29157 | 30303M102 | 5 |     | 0 | (100)        | 173.52604 | 17,352.20   |
| ██████████ | 04/26/2018 | 04/30/2018 | Sale<br>COCA COLA COM EXECUTED 100%<br>AGENCY ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT   | KO    | 18320 | 191216100 | 4 |     | 0 | (500)        | 42.571    | 21,285.01   |
| ██████████ | 04/26/2018 | 04/27/2018 | Sale<br>ASX MANAGED FUTURES STR FD CL<br>Y FRAC SHR QUANTITY .231 THIS<br>SALE CONSTITUTES A REDEMPTION.<br>PER ADVISORY AGREEMENT. ML<br>ACTED AS AGENT  | ASFX  | 9K8V4 | 63872T729 | 1 |     | 0 | (1,925.2310) | 9.84      | 18,944.27   |
| ██████████ | 04/26/2018 | 04/27/2018 | Purchase<br>PIMCO INCOME FUND CL 12 FRAC<br>SHR QUANTITY .446 FUND SUBJECT<br>TO RED FEE. PROSPECTUS<br>ENCLOSED OR UNDER SEPARATE<br>COVER PER ADVISORY AGREEMENT.<br>ON SELLING YOUR SHARES/ UNITS,<br>YOU MAY PAY A SALES CHARGE<br>AND/OR OTHER FEES. FOR<br>INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT.                  | PONPX | 9PKY4 | 72201M719 | 2 | 000 | 0 | 826.4460     | 12.10     | (10,000.00) |
| ██████████ | 04/26/2018 | 04/27/2018 | Purchase<br>JP MORGAN STRATEGIC INCOME<br>OPF FUND CL 1 FRAC SHR<br>QUANTITY .281 FUND SUBJECT TO<br>RED FEE. PROSPECTUS ENCLOSED<br>OR UNDER SEPARATE COVER PER<br>ADVISORY AGREEMENT. ON SELLING<br>YOUR SHARES/ UNITS, YOU MAY PAY<br>A SALES CHARGE AND/OR OTHER<br>FEES. FOR INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT. | JSOSX | 9PP26 | 4812A4351 | 3 | 000 | 0 | 86.2810      | 11.59     | (1,000.00)  |
| ██████████ | 05/11/2018 | 05/15/2018 | Purchase<br>VANECK VECTORS GOLD MINERS<br>ETF PROSPECTUS ENCLOSED OR<br>UNDER SEPARATE COVER WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT   | GDV   | 39QP6 | 92189F106 | 1 | 000 | 0 | 100          | 22.9999   | (2,299.99)  |
| ██████████ | 05/11/2018 | 05/15/2018 | Sale<br>SEALED AIR CORP (NEW) EXECUTED<br>100% AGENCY ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT   | SEE   | 672C8 | 81211K100 | 2 |     | 0 | (200)        | 44.51     | 8,901.79    |
| ██████████ | 05/11/2018 | 05/15/2018 | Purchase<br>VERIZON COMMUNICATNS COM WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT  | VZ    | 79806 | 92343V104 | 3 | 000 | 0 | 100          | 48.7432   | (4,874.32)  |
| ██████████ | 05/11/2018 | 05/15/2018 | Purchase<br>WSTN DIGITAL CORP DEL WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT  | WDC   | 81665 | 958102105 | 4 | 000 | 0 | 50           | 78.9899   | (3,949.50)  |
| ██████████ | 06/04/2018 | 06/06/2018 | Sale<br>BRISTOL-MYERS SQUIBB CO WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT   | BMJ   | 11011 | 110122108 | 1 |     | 0 | (200)        | 52.30     | 10,459.86   |
| ██████████ | 06/04/2018 | 06/06/2018 | Sale<br>DISNEY (WALT) CO COM STK WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT  | DIS   | 23892 | 254687106 | 2 |     | 0 | (100)        | 99.80     | 9,979.87    |
| ██████████ | 06/04/2018 | 06/06/2018 | Sale<br>SEALED AIR CORP (NEW) EXECUTED<br>100% AGENCY ACTUAL PRICES,<br>REMUNERATION AND THE CAPACITY<br>IN WHICH ML ACTED ARE<br>AVAILABLE UPON REQUEST. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT   | SEE   | 672C8 | 81211K100 | 3 |     | 0 | (200)        | 44.12     | 8,823.89    |
| ██████████ | 06/12/2018 | 06/12/2018 | Reinvestment Share(s)<br>EXXON MOBIL CORP COM PRINCIPAL<br>REINV AMOUNT \$295.65 REINV<br>PRICE \$83.10000 QUANTITY BOT<br>3.5578   | XOM   | 25704 | 30231G102 | 4 | 671 |   | 3.5578       |           | 0.00        |
| ██████████ | 06/13/2018 | 06/13/2018 | Reinvestment Share(s)<br>JOHNSON AND JOHNSON COM<br>PRINCIPAL REINV AMOUNT \$250.43<br>REINV PRICE \$123.18100 QUANTITY<br>BOT 2.0330   | JNJ   | 40853 | 478160104 | 1 | 671 |   | 2.0330       |           | 0.00        |
| ██████████ | 06/15/2018 | 06/15/2018 | Reinvestment Share(s)<br>MICROSOFT CORP PRINCIPAL REINV<br>AMOUNT \$310.20 REINV PRICE<br>\$101.31500 QUANTITY BOT 3.0617   | MSFT  | 49522 | 594918104 | 6 | 671 |   | 3.0617       |           | 0.00        |

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## Investment Products:

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Accounts included in this

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

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|            |            |   |      |       |           |   |     |        |                     |
|------------|------------|---|------|-------|-----------|---|-----|--------|---------------------|
| 06/22/2018 | 06/22/2018 | Reinvestment Share(s)<br>BLACKROCK INC PRINCIPAL REINV<br>AMOUNT \$352.17 REINV PRICE<br>\$529.90000 QUANTITY BOT .6646   | BLK  | 080L2 | 09247X101 | 2 | 671 | 0.6646 | 0.00                |
| 07/02/2018 | 07/02/2018 | Reinvestment Share(s)<br>PEPSICO INC PRINCIPAL REINV<br>AMOUNT \$287.20 REINV PRICE<br>\$109.48800 QUANTITY BOT 2.6234  | PEP  | 60739 | 713448108 | 7 | 671 | 2.6234 | 0.00                |
| 07/19/2018 | 07/23/2018 | Sale<br>ISHARES RUSSELL 3000 WE MAKE A<br>MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT   | IWV  | 31CK2 | 464287689 | 1 | 0   | (35)   | 166.8075 5,838.18   |
| 07/19/2018 | 07/23/2018 | Sale<br>QUALCOMM INC WE MAKE A MKT IN<br>ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT   | QCOM | 63487 | 747525103 | 2 | 0   | (50)   | 59.2901 2,964.47    |
| 07/19/2018 | 07/23/2018 | Sale<br>VERIZON COMMUNICATNS COM WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT  | VZ   | 79806 | 92343V104 | 3 | 0   | (50)   | 50.8101 2,540.48    |
| 07/27/2018 | 07/31/2018 | Sale<br>FACEBOOK INC CLASS A COMMON<br>STOCK WE MAKE A MKT IN ISSUE<br>PER ADVISORY AGREEMENT. ML<br>ACTED AS AGENT   | FB   | 29157 | 30303M102 | 4 | 0   | (70)   | 173.6325 12,154.12  |
| 07/27/2018 | 07/31/2018 | Purchase<br>WSTN DIGITAL CORP DEL WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT  | WDC  | 81665 | 958102105 | 7 | 000 | 100    | 71.24 (7,124.00)    |
| 07/27/2018 | 07/31/2018 | Sale<br>PEPSICO INC WE MAKE A MKT IN<br>ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT  | PEP  | 60739 | 713448108 | 5 | 0   | (10)   | 114.2285 1,142.28   |
| 07/27/2018 | 07/31/2018 | Sale<br>VERIZON COMMUNICATNS COM WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT  | VZ   | 79806 | 92343V104 | 6 | 0   | (50)   | 52.04 2,601.97      |
| 08/22/2018 | 08/24/2018 | Sale<br>VERIZON COMMUNICATNS COM WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT  | VZ   | 79806 | 92343V104 | 5 | 0   | (100)  | 54.19 5,418.93      |
| 08/22/2018 | 08/24/2018 | Purchase<br>ABEV INC SHS WE MAKE A MKT IN<br>ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT   | ABEV | 02PC0 | 00287Y109 | 1 | 000 | 200    | 97.6753 (19,535.06) |
| 08/22/2018 | 08/24/2018 | Sale<br>INTL BUSINESS MACHINES CORP<br>IBM EXECUTED 100% AGENCY<br>ACTUAL PRICES, REMUNERATION<br>AND THE CAPACITY IN WHICH ML<br>ACTED ARE AVAILABLE UPON<br>REQUEST. WE MAKE A MKT IN ISSUE<br>PER ADVISORY AGREEMENT. ML<br>ACTED AS AGENT | IBM  | 39050 | 459200101 | 3 | 0   | (150)  | 145.30 21,794.72    |
| 08/22/2018 | 08/24/2018 | Sale<br>INTEL CORP WE MAKE A MKT IN<br>ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT   | INTC | 38886 | 458140100 | 2 | 0   | (100)  | 47.05 4,704.94      |
| 08/22/2018 | 08/24/2018 | Sale<br>MICROSOFT CORP WE MAKE A MKT<br>IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT   | MSFT | 49521 | 594918104 | 4 | 0   | (91)   | 107.0642 9,744.53   |
| 09/11/2018 | 09/11/2018 | Reinvestment Share(s)<br>EXXON MOBIL CORP COM PRINCIPAL<br>REINV AMOUNT \$208.57 REINV<br>PRICE \$81.96000 QUANTITY BOT<br>3.6429   | XOM  | 257D4 | 30231G102 | 4 | 671 | 3.6429 | 0.00                |
| 09/12/2018 | 09/12/2018 | Reinvestment Share(s)<br>JOHNSON AND JOHNSON COM<br>PRINCIPAL REINV AMOUNT \$252.26<br>REINV PRICE \$138.91000 QUANTITY<br>BOT 1.8160   | JNJ  | 40853 | 478160104 | 1 | 671 | 1.8160 | 0.00                |
| 09/13/2018 | 09/17/2018 | Sale<br>INTEL CORP WE MAKE A MKT IN<br>ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT   | INTC | 38886 | 458140100 | 2 | 0   | (100)  | 45.495 4,549.44     |
| 09/13/2018 | 09/17/2018 | Purchase<br>WSTN DIGITAL CORP DEL WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT  | WDC  | 81665 | 958102105 | 5 | 000 | 100    | 57.1269 (5,712.69)  |
| 09/13/2018 | 09/17/2018 | Purchase<br>NXP SEMICONDUCTORS N.V. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT   | NXPI | 53E27 | N6596X109 | 3 | 000 | 100    | 91.43 (9,143.00)    |
| 09/13/2018 | 09/17/2018 | Sale<br>PHILLIPS 66 SHS WE MAKE A MKT IN<br>ISSUE PER ADVISORY AGREEMENT.<br>ML ACTED AS AGENT  | PSX  | 605W8 | 718546104 | 4 | 0   | (46)   | 113.1544 5,205.03   |
| 09/13/2018 | 09/17/2018 | Sale<br>EXXON MOBIL CORP COM WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT   | XOM  | 257D4 | 30231G102 | 1 | 0   | (67)   | 82.1944 5,506.95    |
| 09/14/2018 | 09/14/2018 | Reinvestment Share(s)<br>MICROSOFT CORP PRINCIPAL REINV<br>AMOUNT \$311.49 REINV PRICE<br>\$113.29800 QUANTITY BOT 2.7493   | MSFT | 49522 | 594918104 | 5 | 671 | 2.7493 | 0.00                |
| 09/25/2018 | 09/25/2018 | Reinvestment Share(s)<br>BLACKROCK INC PRINCIPAL REINV<br>AMOUNT \$384.86 REINV PRICE<br>\$484.16000 QUANTITY BOT .7949   | BLK  | 080L2 | 09247X101 | 2 | 671 | 0.7949 | 0.00                |
| 09/27/2018 | 10/01/2018 | Purchase<br>NXP SEMICONDUCTORS N.V. WE<br>MAKE A MKT IN ISSUE PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT   | NXPI | 53E27 | N6596X109 | 5 | 000 | 50     | 85.66 (4,283.00)    |
| 09/27/2018 | 10/01/2018 | Purchase<br>WSTN DIGITAL CORP DEL WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT  | WDC  | 81665 | 958102105 | 6 | 000 | 50     | 58.4075 (2,920.38)  |

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## Investment Products:

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Accounts included in this report: CMA

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

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|      |            |            |   |   |       |       |           |    |     |              |            |           |             |
|------|------------|------------|---|---|-------|-------|-----------|----|-----|--------------|------------|-----------|-------------|
| CPMA | 09/27/2018 | 10/01/2018 | 🔒 | Sale<br>EXXON MOBIL CORP COM WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT   | XOM   | 25704 | 30231G102 | 4  | 0   | (50)         | 86.0001    | 4,299.95  |             |
| CPMA | 10/01/2018 | 10/01/2018 | 🔒 | Reinvestment Share(s)<br>PEPSICO INC PRINCIPAL REINV<br>AMOUNT \$280.39 REINV PRICE<br>\$112.13700 QUANTITY BOT 2.5004  | PEP   | 60739 | 713448108 | 10 | 671 | 2.5004       |            | 0.00      |             |
|      | 10/26/2018 | 10/30/2018 | 🔒 | Sale<br>WSTN DIGITAL CORP DEL WE MAKE<br>A MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT  | WDC   | 81665 | 958102105 | 1  | 0   | (450)        | 43.5209    | 19,584.16 |             |
|      | 11/30/2018 | 12/04/2018 | 🔒 | Purchase<br>CENTURYLINK INC SHS WE MAKE A<br>MKT IN ISSUE PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT  | CTL   | 13119 | 156700106 | 5  | 000 | 0            | 700        | 18.6899   | (13,082.93) |
|      | 11/30/2018 | 12/04/2018 | 🔒 | Purchase<br>AT&T INC WE MAKE A MKT IN ISSUE<br>PER ADVISORY AGREEMENT. ML<br>ACTED AS AGENT   | T     | 02337 | 00206R102 | 4  | 000 | 0            | 400        | 30.95     | (12,380.00) |
| CPMA | 11/30/2018 | 12/03/2018 | 🔒 | Sale<br>LOOMIS SAYLES SR FLOAT RTE &<br>FIXD INC FD CL Y VSP 02-06-2017<br>THIS SALE CONSTITUTES A<br>REDEMPTION. PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT.   | LSFYX | 9MBQ5 | 63872T554 | 8  | 0   | (1,503)      | 9.63       | 14,473.89 |             |
| CPMA | 11/30/2018 | 12/03/2018 | 🔒 | Sale<br>LOOMIS SAYLES SR FLOAT RTE &<br>FIXD INC FD CL Y VSP 12-30-2016<br>THIS SALE CONSTITUTES A<br>REDEMPTION. PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT.   | LSFYX | 9MBQ5 | 63872T554 | 7  | 0   | (1,004)      | 9.63       | 9,668.52  |             |
| CPMA | 11/30/2018 | 12/03/2018 | 🔒 | Sale<br>LOOMIS SAYLES SR FLOAT RTE &<br>FIXD INC FD CL Y VSP 12-05-2016<br>THIS SALE CONSTITUTES A<br>REDEMPTION. PER ADVISORY<br>AGREEMENT. ML ACTED AS AGENT.   | LSFYX | 9MBQ5 | 63872T554 | 6  | 0   | (5,045)      | 9.63       | 48,583.35 |             |
|      | 11/30/2018 | 12/03/2018 | 🔒 | Purchase<br>WESTERN ASSET MANAGED<br>MUNICIPALS FD I FRAC SHR<br>QUANTITY .141 FUND SUBJECT TO<br>RED FEE. PROSPECTUS ENCLOSED<br>OR UNDER SEPARATE COVER PER<br>ADVISORY AGREEMENT. ON SELLING<br>YOUR SHARES/ UNITS, YOU MAY PAY<br>A SALES CHARGE AND/OR OTHER<br>FEES. FOR INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT.    | SMVYX | 97561 | 52469J509 | 10 | 000 | 0            | 1,901.1410 | 15.78     | (30,000.00) |
|      | 11/30/2018 | 12/03/2018 | 🔒 | Purchase<br>JP MORGAN STRATEGIC INCOME<br>OPP FUND CL I FRAC SHR<br>QUANTITY .240 FUND SUBJECT TO<br>RED FEE. PROSPECTUS ENCLOSED<br>OR UNDER SEPARATE COVER PER<br>ADVISORY AGREEMENT. ON SELLING<br>YOUR SHARES/ UNITS, YOU MAY PAY<br>A SALES CHARGE AND/OR OTHER<br>FEES. FOR INFORMATION, SEE THE<br>PROSPECTUS ML ACTED AS AGENT. | JSOSX | 9PP26 | 481244351 | 9  | 000 | 0            | 2,613.2400 | 11.48     | (30,000.00) |
| CPMA | 12/04/2018 | 12/04/2018 | 🔒 | Reinvestment Share(s)<br>OPPENHEIMER DEVELOPING<br>MARKETS FD CL Y AGENT REINV<br>AMOUNT \$126.17 REINV PRICE<br>\$39.58000 QUANTITY BOT 3.1880<br>AS OF 12/03  | ODVYX | 9LXH0 | 683974505 | 3  | 684 | 3.1880       |            | 0.00      |             |
| CPMA | 12/07/2018 | 12/10/2018 | 🔒 | Sale<br>OPPENHEIMER DEVELOPING<br>MARKETS FD CL Y FRAC SHR<br>QUANTITY .244 THIS SALE<br>CONSTITUTES A REDEMPTION. PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT.   | ODVYX | 9LXH0 | 683974505 | 5  | 0   | (546.2440)   | 37.84      | 20,669.87 |             |
| CPMA | 12/10/2018 | 12/10/2018 | 🔒 | Exchange<br>OAKMARK INTL FD CL INVESTOR<br>FRAC QUANTITY IS .658 SHARE<br>CLASS CONVERSION SHARES-AS OF<br>12/07/2018   | OAKIX | 9EHP3 | 413838202 | 3  | TUF | (3,495.6580) |            | 0.00      |             |
|      | 12/10/2018 | 12/10/2018 | 🔒 | Exchange<br>OAKMARK INTL FD CL ADV FRAC<br>QUANTITY IS .959 SHARE CLASS<br>CONVERSION SHARES-AS OF<br>12/07/2018  | OAYIX | 9UOY3 | 413838731 | 4  | TUF | 3,490.9590   |            | 0.00      |             |
| CPMA | 12/11/2018 | 12/11/2018 | 🔒 | Reinvestment Share(s)<br>EXXON MOBIL CORP COM PRINCIPAL<br>REINV AMOUNT \$205.61 REINV<br>PRICE \$77.31300 QUANTITY BOT<br>2.6594   | XOM   | 25704 | 30231G102 | 7  | 671 | 2.6594       |            | 0.00      |             |
| CPMA | 12/12/2018 | 12/12/2018 | 🔒 | Reinvestment Share(s)<br>JOHNSON AND JOHNSON COM<br>PRINCIPAL REINV AMOUNT \$253.89<br>REINV PRICE \$147.51800 QUANTITY<br>BOT 1.7211   | JNJ   | 40853 | 478160104 | 1  | 671 | 1.7211       |            | 0.00      |             |
| CPMA | 12/14/2018 | 12/14/2018 | 🔒 | Reinvestment Share(s)<br>MICROSOFT CORP PRINCIPAL REINV<br>AMOUNT \$300.56 REINV PRICE<br>\$108.25900 QUANTITY BOT 2.7783   | MSFT  | 49522 | 594918104 | 12 | 671 | 2.7763       |            | 0.00      |             |
| CPMA | 12/14/2018 | 12/14/2018 | 🔒 | Reinvestment Share(s)<br>OAKMARK INTL FD CL ADV AGENT<br>REINV AMOUNT \$3581.72 REINV<br>PRICE \$21.10000 QUANTITY BOT<br>169.7500 AS OF 12/13  | OAYIX | 9UOY3 | 413838731 | 7  | 684 | 169.7500     |            | 0.00      |             |
| CPMA | 12/14/2018 | 12/14/2018 | 🔒 | Reinvestment Share(s)<br>OAKMARK INTL FD CL ADV AGENT<br>REINV AMOUNT \$1738.50 REINV<br>PRICE \$21.10000 QUANTITY BOT<br>82.3930 AS OF 12/13   | OAYIX | 9UOY3 | 413838731 | 6  | 684 | 82.3930      |            | 0.00      |             |
|      | 12/18/2018 | 12/18/2018 | 🔒 | Reinvestment Share(s)<br>JOHN HANCOCK INTL GROWTH<br>FUND CL I AGENT REINV AMOUNT<br>\$270.73 REINV PRICE \$24.19000<br>QUANTITY BOT 11.1920 AS OF<br>12/14   | GOGIX | 9L8M3 | 47803T627 | 8  | 684 | 11.1920      |            | 0.00      |             |

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## Investment Products:

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Report Created 12:19:12PM, March 18, 2019 for SCHRAEDER WALTER, K

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|  |                        |            |  |       |       |           |   |     |          |          |            |
|--|------------------------|------------|--|-------|-------|-----------|---|-----|----------|----------|------------|
|  | 12/18/2018             | 12/18/2018 | Reinvestment Share(s)<br>JOHN HANCOCK INTL GROWTH<br>FUND CL 1 AGENT REINV AMOUNT<br>\$7.20 REINV PRICE \$24.19000<br>QUANTITY BOT 2.990 AS OF 12/14   | GOGIX | 9LBM3 | 47803T627 | 9 | 684 | 0.2980   | 0.00     |            |
|  | 12/18/2018             | 12/18/2018 | Reinvestment Share(s)<br>JOHN HANCOCK INTL GROWTH<br>FUND CL 1 AGENT REINV AMOUNT<br>\$140.60 REINV PRICE \$24.19000<br>QUANTITY BOT 5.8120 AS OF 12/14  | GOGIX | 9LBM3 | 47803T627 | 7 | 684 | 5.8120   | 0.00     |            |
|  | 12/20/2018             | 12/21/2018 | Sale<br>LOOMIS SAYLES SR FLOAT RTE &<br>FIXD INC FD CL Y FRAC SHR<br>QUANTITY .431 THIS SALE<br>CONSTITUTES A REDEMPTION.<br>UNSOLICITED ORDER PER<br>ADVISORY AGREEMENT. ML ACTED<br>AS AGENT | LSFYX | 9MBQS | 63872T554 | 4 | U   | (0.4310) | 9.40     | 4.05       |
|  | 12/20/2018             | 12/20/2018 | Reinvestment Share(s)<br>BLACKSTONE ALT MULTI STRATEGY<br>FUND CLASS 1 AGENT REINV<br>AMOUNT \$308.74 REINV PRICE<br>\$10.39000 QUANTITY BOT 29.7150<br>AS OF 12/18                            | BXMX  | 9T3L3 | 09257V201 | 7 | 684 | 29.7150  | 0.00     |            |
|  | 12/20/2018             | 12/20/2018 | Reinvestment Share(s)<br>BLACKSTONE ALT MULTI STRATEGY<br>FUND CLASS 1 AGENT REINV<br>AMOUNT \$160.93 REINV PRICE<br>\$10.39000 QUANTITY BOT 15.4890<br>AS OF 12/18                            | BXMX  | 9T3L3 | 09257V201 | 8 | 684 | 15.4890  | 0.00     |            |
|  | 12/27/2018             | 12/27/2018 | Reinvestment Share(s)<br>BLACKROCK INC PRINCIPAL REINV<br>AMOUNT \$387.33 REINV PRICE<br>\$374.68000 QUANTITY BOT 1.0332   | BLK   | 080L2 | 09247X101 | 7 | 671 | 1.0332   | 0.00     |            |
|  | 03/18/2019<br>Intraday | 03/20/2019 | Buy<br>CENTURYLINK INC SHS   | CTL   | 13119 | 156700106 | 0 |     | 600      | 12.0368  | (7,222.08) |
|  | 03/18/2019<br>Intraday | 03/20/2019 | Buy<br>ABBVIE INC SHS  | ABBV  | 02FC0 | 00287Y109 | 0 |     | 50       | 80.477   | (4,023.85) |
|  | 03/18/2019<br>Intraday | 03/20/2019 | Sell<br>PEPSICO INC  | PEP   | 60739 | 713448108 | 0 |     | (57)     | 117.1001 | 6,674.62   |
|  | 03/18/2019<br>Intraday | 03/20/2019 | Sell<br>ISHARES S&P 500 GROWTH   | IVW   | 388E7 | 464287309 | 0 |     | (25)     | 170.7995 | 4,269.93   |
|  | 03/18/2019<br>Intraday | 03/20/2019 | Sell<br>DELTA AIR LINES INC  | DAL   | 23CLO | 247361702 | 0 |     | (150)    | 50.6936  | 7,603.94   |

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## Investment Products:

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|----------------------|-------------------------|----------------|
|----------------------|-------------------------|----------------|

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Accounts included in this report

Report Created 12:19:12PM, March 18, 2019 for SCHRADER WALTER, K

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**All Activity**Single Activity Type: Dividends/Interest

201 Items - Custom - From 1/1/2018 to 12/31/2018 as of Close of Business 4/1/2019

**Total Count:**  
**Total Amount:**  
**Pending Count:**  
**Pending Amount:**  
**Settled Count:**  
**Settled Amount:**

**Merrill Lynch**  
**Bank of America Corporation**

| Activity | Activity Type | Activity Date | Activity Description  | Activity Amount | Activity Balance | Activity Type | Activity Date | Activity Description | Activity Amount | Activity Balance |
|----------|---------------|---------------|---|-----------------|------------------|---------------|---------------|----------------------|-----------------|------------------|
| CMA      | Dividend      | 12/31/2018    | WESTERN ASSET MANAGED MUNICIPAL PD 1 PAY DATE 12/31/2018              | 260.95          |                  | SMVXX         | 97561         | 524691509            | 12              | 000              |
| CMA      | Dividend      | 12/31/2018    | PIMCO INCOME FUND CL I2 PAY DATE 12/31/2018                           | 134.12          |                  | PONIX         | 9PKY4         | 72201M719            | 11              | 000              |
| CMA      | Dividend      | 12/31/2018    | EATON VANCE FLOATING RATE FUND CL 1 PAY DATE 12/31/2018               | 301.26          |                  | EIBLX         | 9LME1         | 277911491            | 10              | 000              |
| CMA      | Dividend      | 12/31/2018    | JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 12/28/2018          | 239.47          |                  | JSOSX         | 9PP26         | 4812A4351            | 2               | 000              |
| CMA      | Dividend      | 12/31/2018    | FIRST TR NASDAQ CYBERSECURITY ETF HOLDING 500,000 PAY DATE 12/31/2018 | 19.70           |                  | CIBR          | 31CV7         | 33734XB46            | 1               | 000              |
| CMA      | Dividend      | 12/31/2018    | Bank Interest   | 9.00            |                  | 94SK9         | 990286916     |                      | 9               | AMF              |
| CMA      | Dividend      | 12/31/2018    | Bank Interest   | 0.35            |                  | 94SK9         | 990286916     |                      | 5               | AMF              |
| CMA      | Dividend      | 12/28/2018    | LOCKHEED MARTIN CORP HOLDING 100,000 PAY DATE 12/28/2018              | 220.00          |                  | LMT           | 432C4         | 539630109            | 2               | 000              |
| CMA      | Dividend      | 12/28/2018    | VANGUARD GROWTH ETF HOLDING 300,000 PAY DATE 12/28/2018               | 158.22          |                  | VUG           | 31FM4         | 922908736            | 1               | 000              |
| CMA      | Dividend      | 12/27/2018    | SELECT SECTOR SPDR TR HOLDING 800,000 PAY DATE 12/27/2018             | 212.08          |                  | XLK           | 673G5         | 813697803            | 6               | 000              |
| CMA      | Dividend      | 12/27/2018    | SECTOR SPDR INDUSTRIAL HOLDING 200,000 PAY DATE 12/27/2018            | 78.48           |                  | XLJ           | 673G4         | 813697704            | 5               | 000              |
| CMA      | Dividend      | 12/27/2018    | SPDR US FINANCIAL SECTOR ETF HOLDING 2600,000 PAY DATE 12/27/2018     | 376.86          |                  | XLFI          | 673G3         | 813697605            | 4               | 000              |
| CMA      | Dividend      | 12/27/2018    | HEALTH CARE SELECT SPDR HOLDING 367,000 PAY DATE 12/27/2018           | 139.29          |                  | XLV           | 673F6         | 813697209            | 3               | 000              |
| CMA      | Dividend      | 12/27/2018    | VANECK VECTORS GOLD MINERS ETF HOLDING 400,000 PAY DATE 12/27/2018    | 42.00           |                  | GDV           | 39QP6         | 92189F106            | 2               | 000              |
| CMA      | Dividend      | 12/27/2018    | CME GROUP INC HOLDING 100,000   | 70.00           |                  | CME           | 153G0         | 12572Q105            | 1               | 000              |

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**Investment Products:**

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Accounts included in this report: (MAM) "CMA"

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Report Created 12:51:54PM, April 12, 2019 for SCHRAEDER WALTER, K

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|---|--------------------------------|-----------------------|
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| <b>Investment Products:</b>   |                                |                       |
| <b>Are Not FDIC Insured</b>   | <b>Are Not Bank Guaranteed</b> | <b>May Lose Value</b> |

Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

|     |            |            |  |       |           |           |   |     |        |
|-----|------------|------------|--|-------|-----------|-----------|---|-----|--------|
| CMA | 12/03/2018 | 12/03/2018 | Dividend<br>PIMCO INCOME FUND CL I2 PAY DATE<br>11/30/2018                         | PONPX | 9PKY4     | 72201M719 | 4 | 000 | 134.12 |
| CMA | 12/03/2018 | 12/03/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>I PAY DATE 11/30/2018             | EIBLX | 9LME1     | 277911491 | 3 | 000 | 282.43 |
| CMA | 12/03/2018 | 12/03/2018 | Dividend<br>PHILLIPS 66 SHS HOLDING 100.2291<br>PAY DATE 12/03/2018                | PSX   | 605W8     | 718546104 | 2 | 000 | 80.18  |
| CMA | 12/03/2018 | 12/03/2018 | Dividend<br>INTEL CORP HOLDING 500.0000 PAY<br>DATE 12/01/2018                     | INTC  | 38886     | 458140100 | 1 | 000 | 150.00 |
| CMA | 11/30/2018 | 11/30/2018 | Dividend<br>JP MORGAN STRATEGIC INCOME OPP<br>FUND CL I PAY DATE 11/29/2018        | JSOSX | 9PP26     | 4812A4351 | 2 | 000 | 115.93 |
| CMA | 11/30/2018 | 11/30/2018 | Dividend<br>LOOMIS SAYLES SR FLOAT RITE & FXD<br>INC PD CL Y PAY DATE 11/29/2018   | LSFYX | 9NBD5     | 638727554 | 1 | 000 | 376.87 |
| CMA | 11/30/2018 | 11/30/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>10/31 THRU 11/29                  | 94SK9 | 990286916 |           | 4 | AMF | 1.58   |
| CMA | 11/30/2018 | 11/30/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>10/31 THRU 11/29                  | 94SK9 | 990286916 |           | 7 | AMF | 18.00  |
| CMA | 11/28/2018 | 11/28/2018 | Dividend<br>DELTA AIR LINES INC HOLDING<br>900.0000 PAY DATE 11/28/2018            | DAL   | 23CL0     | 247361702 | 1 | 000 | 315.00 |
| CMA | 11/15/2018 | 11/15/2018 | Dividend<br>APPLE INC HOLDING 350.0000 PAY<br>DATE 11/15/2018                      | AAPL  | 05001     | 037833100 | 2 | 000 | 255.50 |
| CMA | 11/15/2018 | 11/15/2018 | Dividend<br>ABBVIE INC SHS HOLDING 200.0000<br>PAY DATE 11/15/2018                 | ABBV  | 02FC0     | 00287109  | 1 | 000 | 192.00 |
| CMA | 11/01/2018 | 11/01/2018 | Dividend<br>WESTERN ASSET MANAGED<br>MUNICIPALS PD I PAY DATE 10/31/2018           | SMNTX | 97S61     | 524691509 | 4 | 000 | 159.00 |
| CMA | 11/01/2018 | 11/01/2018 | Dividend<br>PIMCO INCOME FUND CL I2 PAY DATE<br>10/31/2018                         | PONPX | 9PKY4     | 72201M719 | 3 | 000 | 134.12 |
| CMA | 11/01/2018 | 11/01/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>I PAY DATE 10/31/2018             | EIBLX | 9LME1     | 277911491 | 2 | 000 | 293.78 |
| CMA | 11/01/2018 | 11/01/2018 | Dividend<br>VERIZON COMMUNICATIONS COM<br>HOLDING 1200.0000 PAY DATE<br>11/01/2018 | VZ    | 79B06     | 92343V104 | 1 | 000 | 723.00 |
| CMA | 10/31/2018 | 10/31/2018 | Dividend<br>JP MORGAN STRATEGIC INCOME OPP<br>FUND CL I PAY DATE 10/30/2018        | JSOSX | 9PP26     | 4812A4351 | 3 | 000 | 114.94 |
| CMA | 10/31/2018 | 10/31/2018 | Dividend<br>LOOMIS SAYLES SR FLOAT RITE & FXD<br>INC PD CL Y PAY DATE 10/30/2018   | LSFYX | 9NBD5     | 638727554 | 2 | 000 | 399.52 |
| CMA | 10/31/2018 | 10/31/2018 | Dividend<br>JP MORGAN CHASE & CO HOLDING<br>900.0000 PAY DATE 10/31/2018           | JPM   | 40SS5     | 4662SH100 | 1 | 000 | 720.00 |
| CMA | 10/31/2018 | 10/31/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>09/28 THRU 10/30                  | 94SK9 | 990286916 |           | 6 | AMF | 1.13   |

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Report Created 12:51:54PM, April 12, 2019 for SCHRAEDER WALTER, K

|     |            |            |   |       |           |           |     |       |        |
|-----|------------|------------|---|-------|-----------|-----------|-----|-------|--------|
| CMA | 10/31/2018 | 10/31/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>09/28 THRU 10/30                       | 94SK9 | 990286916 | 9         | AMF | 9.00  |        |
| CMA | 10/15/2018 | 10/15/2018 | Dividend<br>WSTN DIGITAL CORP DEL HOLDING<br>400,0000 PAY DATE 10/15/2018               | WDC   | 81665     | 958102105 | 1   | 000   | 200.00 |
| CMA | 10/05/2018 | 10/05/2018 | Foreign Dividend<br>NXP SEMICONDUCTORS N.V. HOLDING<br>100,0000 PAY DATE 10/05/2018     | NXPI  | 53E27     | N6596X109 | 1   | 000   | 25.00  |
| CMA | 10/03/2018 | 10/03/2018 | Dividend<br>VANGUARD GROWTH ETF HOLDING<br>300,0000 PAY DATE 10/03/2018                 | VUG   | 31FM4     | 922908736 | 1   | 000   | 140.22 |
| CMA | 10/02/2018 | 10/02/2018 | Dividend<br>ISHARES U.S. BASIC MATERIALS ETF<br>HOLDING 200,0000 PAY DATE<br>10/02/2018 | IVM   | 388G6     | 464287838 | 3   | 000   | 69.27  |
| CMA | 10/02/2018 | 10/02/2018 | Dividend<br>ISHARES S&P 500 GROWTH HOLDING<br>625,0000 PAY DATE 10/02/2018              | IVW   | 388E7     | 464287309 | 2   | 000   | 294.66 |
| CMA | 10/02/2018 | 10/02/2018 | Dividend<br>ISHARES RUSSELL 3000 HOLDING<br>200,0000 PAY DATE 10/02/2018                | IWV   | 31CK2     | 464287689 | 1   | 000   | 153.07 |
| CMA | 10/01/2018 | 10/01/2018 | Dividend<br>WESTERN ASSET MANAGED<br>MUNICIPALS FD 1 PAY DATE 09/28/2018                | SMNYX | 97561     | 52469J509 | 3   | 000   | 127.57 |
| CMA | 10/01/2018 | 10/01/2018 | Dividend<br>FINCO INCOME FUND CL 12 PAY DATE<br>09/28/2018                              | PONPX | 9PKY4     | 7220JN719 | 2   | 000   | 120.68 |
| CMA | 10/01/2018 | 10/01/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>1 PAY DATE 09/28/2018                  | EBLX  | 9LME1     | 277911491 | 1   | 000   | 267.49 |
| CMA | 09/28/2018 | 09/28/2018 | Dividend<br>JP MORGAN STRATEGIC INCOME OPP<br>FUND CL 1 PAY DATE 09/27/2018             | JSOSX | 9PP26     | 4812A4351 | 5   | 000   | 107.95 |
| CMA | 09/28/2018 | 09/28/2018 | Dividend<br>LOOMIS SAYLES SR FLOAT RTE & FXD<br>INC FD CL 1 PAY DATE 09/27/2018         | LSFTX | 9MBQ5     | 63872T554 | 4   | 000   | 348.92 |
| CMA | 09/28/2018 | 09/28/2018 | Dividend<br>PEPSICO INC HOLDING 302,3099 PAY<br>DATE 09/28/2018                         | PEP   | 60739     | 713448108 | 2   | 000   | 280.39 |
| CMA | 09/28/2018 | 09/28/2018 | Dividend<br>LOCKHEED MARTIN CORP HOLDING<br>100,0000 PAY DATE 09/28/2018                | LMT   | 43ZG4     | 539830109 | 1   | 000   | 200.00 |
| CMA | 09/28/2018 | 09/28/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>08/31 THRU 09/27                       | 94SK9 | 990286916 | 10        | AMF | 10.00 |        |
| CMA | 09/28/2018 | 09/28/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>08/31 THRU 09/27                       | 94SK9 | 990286916 | 7         | AMF | 1.33  |        |
| CMA | 09/26/2018 | 09/26/2018 | Dividend<br>SELECT SECTOR SPDR TR HOLDING<br>800,0000 PAY DATE 09/26/2018               | XLK   | 673G5     | 81369Y803 | 5   | 000   | 203.50 |
| CMA | 09/26/2018 | 09/26/2018 | Dividend<br>SECTOR SPDR INDUSTRIAL HOLDING<br>200,0000 PAY DATE 09/26/2018              | XLI   | 673G4     | 81369Y704 | 4   | 000   | 76.77  |
| CMA | 09/26/2018 | 09/26/2018 | Dividend<br>SPDR US FINANCIAL SECTOR ETF<br>HOLDING 2600,0000 PAY DATE                  | XLF   | 673G3     | 81369Y605 | 3   | 000   | 331.50 |

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|  |            |               |  |       |           |           |     |       |        |
|--|------------|---------------|--|-------|-----------|-----------|-----|-------|--------|
|  | 09/26/2018 | Dividend      | HEALTH CARE SELECT SPDR HOLDING<br>367.0000 PAY DATE 09/26/2018            | XLV   | 673F6     | 81369Y209 | 2   | 000   | 134.72 |
|  | 09/26/2018 | Dividend      | QUALCOMM INC HOLDING 350.0000 PAY<br>DATE 09/26/2018                       | QCOM  | 634B7     | 747525103 | 1   | 000   | 217.00 |
|  | 09/25/2018 | Dividend      | CME GROUP INC HOLDING 100.0000<br>PAY DATE 09/25/2018                      | CME   | 153G0     | 125720105 | 1   | 000   | 70.00  |
|  | 09/24/2018 | Dividend      | BLACKROCK INC HOLDING 122.9509<br>PAY DATE 09/24/2018                      | BLK   | 080L2     | 09247X101 | 1   | 000   | 384.84 |
|  | 09/13/2018 | Dividend      | MICROSOFT CORP HOLDING 741.6371<br>PAY DATE 09/13/2018                     | MSFT  | 49522     | 594918104 | 1   | 000   | 311.49 |
|  | 09/11/2018 | Dividend      | JOHNSON AND JOHNSON COM HOLDING<br>280.2895 PAY DATE 09/11/2018            | JNJ   | 40853     | 478160104 | 1   | 000   | 252.26 |
|  | 09/10/2018 | Dividend      | INTL BUSINESS MACHINES CORP IBM<br>HOLDING 150.0000 PAY DATE<br>09/10/2018 | IBM   | 39050     | 459200101 | 3   | 000   | 235.50 |
|  | 09/10/2018 | Dividend      | EXXON MOBIL CORP COM HOLDING<br>364.1062 PAY DATE 09/10/2018               | XOM   | 257D4     | 30231G102 | 1   | 000   | 298.57 |
|  | 09/04/2018 | Dividend      | WESTERN ASSET MANAGED<br>MUNICIPALS FD 1 PAY DATE 08/31/2018               | SMNXX | 97S61     | 524691509 | 5   | 000   | 147.50 |
|  | 09/04/2018 | Dividend      | PIMCO INCOME FUND CL 12 PAY DATE<br>08/31/2018                             | PONPX | 9PKY4     | 72201M719 | 4   | 000   | 147.50 |
|  | 09/04/2018 | Dividend      | EATON VANCE FLOATING RATE FUND CL<br>1 PAY DATE 08/31/2018                 | EELBX | 9LME1     | 277911491 | 3   | 000   | 277.29 |
|  | 09/04/2018 | Dividend      | PHILLIPS 66 SHS HOLDING 146.2291<br>PAY DATE 09/04/2018                    | PSX   | 605W8     | 718546104 | 2   | 000   | 116.98 |
|  | 09/04/2018 | Dividend      | INTEL CORP HOLDING 700.0000 PAY<br>DATE 09/01/2018                         | INTC  | 38886     | 458140100 | 1   | 000   | 210.00 |
|  | 08/31/2018 | Dividend      | JP MORGAN STRATEGIC INCOME OPP<br>FUND CL 1 PAY DATE 08/30/2018            | JSOSX | 9P9Z6     | 4812A4351 | 1   | 000   | 115.81 |
|  | 08/31/2018 | Bank Interest | ML BANK DEPOSIT PROGRAM FROM<br>07/31 THRU 08/30                           | 94SK9 | 990286916 | 3         | AMF | 1.21  |        |
|  | 08/31/2018 | Bank Interest | ML BANK DEPOSIT PROGRAM FROM<br>07/31 THRU 08/30                           | 94SK9 | 990286916 | 6         | AMF | 12.00 |        |
|  | 08/29/2018 | Dividend      | LOOMIS SAYLES SR FLOAT RTE & FIXD<br>INC FD CL Y PAY DATE 08/28/2018       | LSTFX | 9WBQ5     | 638727554 | 1   | 000   | 351.94 |
|  | 08/16/2018 | Dividend      | DELTA AIR LINES INC HOLDING<br>900.0000 PAY DATE 08/16/2018                | DAL   | 23CLO     | 247361702 | 2   | 000   | 315.00 |

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Report Created 12:51:54PM, April 12, 2019 for SCHRADER, WALTER, K

|            |            |   |       |           |           |     |      |        |
|------------|------------|---|-------|-----------|-----------|-----|------|--------|
| 08/16/2018 | 08/16/2018 | Dividend<br>APPLE INC HOLDING 350,0000 PAY<br>DATE 08/16/2018                           | AAPL  | 05001     | 037833100 | 1   | 000  | 255.50 |
| 08/01/2018 | 08/01/2018 | Dividend<br>WESTERN ASSET MANAGED<br>MUNICIPALS FD 1 PAY DATE 07/31/2018                | SMNTX | 97561     | 524691509 | 4   | 000  | 131.95 |
| 08/01/2018 | 08/01/2018 | Dividend<br>PIMCO INCOME FUND CL 12 PAY DATE<br>07/31/2018                              | PONPX | 9PKV4     | 72201M719 | 3   | 000  | 134.09 |
| 08/01/2018 | 08/01/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>1 PAY DATE 07/31/2018                  | EBLX  | 9LME1     | 277911491 | 2   | 000  | 277.78 |
| 08/01/2018 | 08/01/2018 | Dividend<br>VERIZON COMMUNICATIONS COM<br>HOLDING 1400,0000 PAY DATE<br>08/01/2018      | VZ    | 79806     | 92343V104 | 1   | 000  | 826.00 |
| 07/31/2018 | 07/31/2018 | Dividend<br>JP MORGAN STRATEGIC INCOME OPP<br>FUND CL 1 PAY DATE 07/30/2018             | JSOSX | 9PP26     | 4812A4351 | 3   | 000  | 109.33 |
| 07/31/2018 | 07/31/2018 | Dividend<br>LOOMIS SAYLES SR FLOAT RTE & FIXD<br>INC FD CL Y PAY DATE 07/30/2018        | LSFX  | 9WBQ5     | 638721554 | 2   | 000  | 380.64 |
| 07/31/2018 | 07/31/2018 | Dividend<br>JP MORGAN CHASE & CO HOLDING<br>500,0000 PAY DATE 07/31/2018                | JPM   | 40555     | 46625H100 | 1   | 000  | 504.00 |
| 07/31/2018 | 07/31/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>06/29 THRU 07/30                       | 94SK9 | 990286916 | 12        | AMF | 2.00 | 1.53   |
| 07/26/2018 | 07/26/2018 | Dividend<br>DISNEY (WALT) CO COM STK HOLDING<br>400,0000 PAY DATE 07/26/2018            | DIS   | 23892     | 254687106 | 1   | 000  | 336.00 |
| 07/16/2018 | 07/16/2018 | Dividend<br>WESTN DIGITAL CORP DEL HOLDING<br>200,0000 PAY DATE 07/16/2018              | WDC   | 81665     | 958102105 | 1   | 000  | 100.00 |
| 07/10/2018 | 07/10/2018 | Dividend<br>ISHARES RUSSELL 3000 HOLDING<br>235,0000 PAY DATE 07/10/2018                | IWV   | 31CK2     | 464287689 | 1   | 000  | 149.52 |
| 07/02/2018 | 07/02/2018 | Dividend<br>WESTERN ASSET MANAGED<br>MUNICIPALS FD 1 PAY DATE 06/29/2018                | SMNTX | 97561     | 524691509 | 5   | 000  | 139.36 |
| 07/02/2018 | 07/02/2018 | Dividend<br>PIMCO INCOME FUND CL 12 PAY DATE<br>06/29/2018                              | PONPX | 9PKV4     | 72201M719 | 4   | 000  | 134.09 |
| 07/02/2018 | 07/02/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>1 PAY DATE 06/29/2018                  | EBLX  | 9LME1     | 277911491 | 3   | 000  | 266.82 |
| 07/02/2018 | 07/02/2018 | Dividend<br>ISHARES U.S. BASIC MATERIALS ETF<br>HOLDING 200,0000 PAY DATE<br>07/02/2018 | IYM   | 388G6     | 464287838 | 2   | 000  | 88.29  |
| 07/02/2018 | 07/02/2018 | Dividend<br>ISHARES S&P 500 GROWTH HOLDING<br>625,0000 PAY DATE 07/02/2018              | IWV   | 388E7     | 464287309 | 1   | 000  | 300.19 |
| 06/29/2018 | 06/29/2018 | Dividend<br>JP MORGAN STRATEGIC INCOME OPP  | JSOSX | 9PP26     | 4812A4351 | 4   | 000  | 112.86 |

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|                               |            |            |  |      |       |           |    |     |        |
|-------------------------------|------------|------------|--|------|-------|-----------|----|-----|--------|
| FUND CL 1 PAY DATE 06/29/2018 |            |            |  |      |       |           |    |     |        |
| CMA                           | 06/29/2018 | 06/29/2018 | Dividend   | PEP  | 60739 | 713448108 | 2  | 000 | 287.23 |
|                               |            |            | PERSCO INC HOLDING 309,6865 PAY DATE 06/29/2018                        |      |       |           |    |     |        |
| CMA                           | 06/29/2018 | 06/29/2018 | Dividend   | CIER | 31CV7 | 33734X846 | 1  | 000 | 4.05   |
|                               |            |            | FIRST TR NASDAQ CYBERSECURITY ETF HOLDING 500,0000 PAY DATE 06/29/2018 |      |       |           |    |     |        |
| CMA                           | 06/29/2018 | 06/29/2018 | Bank Interest  |      | 94SK9 | 990286916 | 6  | AMF | 0.73   |
|                               |            |            | ML BANK DEPOSIT PROGRAM FROM 05/31 THRU 06/28                          |      |       |           |    |     |        |
| CMA                           | 06/29/2018 | 06/29/2018 | Bank Interest  |      | 94SK9 | 990286916 | 10 | AMF | 5.00   |
|                               |            |            | ML BANK DEPOSIT PROGRAM FROM 05/31 THRU 06/28                          |      |       |           |    |     |        |
| CMA                           | 06/27/2018 | 06/27/2018 | Dividend   | LSRX | 9MB05 | 63872T554 | 2  | 000 | 357.99 |
|                               |            |            | LOOMIS SAYLES SR FLOAT RTE & FXD INC FL CL Y PAY DATE 06/26/2018       |      |       |           |    |     |        |
| CMA                           | 06/27/2018 | 06/27/2018 | Dividend   | VUG  | 31FM4 | 922908736 | 1  | 000 | 125.82 |
|                               |            |            | VANGUARD GROWTH ETF HOLDING 300,0000 PAY DATE 06/27/2018               |      |       |           |    |     |        |
| CMA                           | 06/25/2018 | 06/25/2018 | Dividend   | CME  | 153G0 | 12572Q105 | 1  | 000 | 70.00  |
|                               |            |            | CME GROUP INC HOLDING 100,0000 PAY DATE 06/25/2018                     |      |       |           |    |     |        |
| CMA                           | 06/22/2018 | 06/22/2018 | Dividend   | LMT  | 43ZG4 | 539830109 | 1  | 000 | 200.00 |
|                               |            |            | LOCKHEED MARTIN CORP HOLDING 100,0000 PAY DATE 06/22/2018              |      |       |           |    |     |        |
| CMA                           | 06/21/2018 | 06/21/2018 | Dividend   | BLK  | 080L2 | 09247X101 | 1  | 000 | 352.18 |
|                               |            |            | BLACKROCK INC HOLDING 122,2863 PAY DATE 06/21/2018                     |      |       |           |    |     |        |
| CMA                           | 06/20/2018 | 06/20/2018 | Dividend   | XLK  | 673G5 | 81369Y803 | 5  | 000 | 204.22 |
|                               |            |            | SELECT SECTOR SPDR TR HOLDING 800,0000 PAY DATE 06/20/2018             |      |       |           |    |     |        |
| CMA                           | 06/20/2018 | 06/20/2018 | Dividend   | XLI  | 673G4 | 81369Y704 | 4  | 000 | 60.33  |
|                               |            |            | SECTOR SPDR INDUSTRIAL HOLDING 200,0000 PAY DATE 06/20/2018            |      |       |           |    |     |        |
| CMA                           | 06/20/2018 | 06/20/2018 | Dividend   | XLF  | 673G3 | 81369Y605 | 3  | 000 | 309.31 |
|                               |            |            | SPDR US FINANCIAL SECTOR ETF HOLDING 2600,0000 PAY DATE 06/20/2018     |      |       |           |    |     |        |
| CMA                           | 06/20/2018 | 06/20/2018 | Dividend   | XLV  | 673F6 | 81369Y209 | 2  | 000 | 115.98 |
|                               |            |            | HEALTH CARE SELECT SPDR HOLDING 367,0000 PAY DATE 06/20/2018           |      |       |           |    |     |        |
| CMA                           | 06/20/2018 | 06/20/2018 | Dividend   | QQQM | 634B7 | 747525103 | 1  | 000 | 248.00 |
|                               |            |            | QUALCOMM INC HOLDING 400,0000 PAY DATE 06/20/2018                      |      |       |           |    |     |        |
| CMA                           | 06/19/2018 | 06/19/2018 | Dividend   | SEE  | 672C8 | 81211K100 | 1  | 000 | 32.00  |
|                               |            |            | SEALED AIR CORP (NEW) HOLDING 200,0000 PAY DATE 06/15/2018             |      |       |           |    |     |        |
| CMA                           | 06/14/2018 | 06/14/2018 | Dividend   | MSFT | 49522 | 594918104 | 1  | 000 | 310.20 |
|                               |            |            | MICROSOFT CORP HOLDING 738,5754 PAY DATE 06/14/2018                    |      |       |           |    |     |        |
| CMA                           | 06/12/2018 | 06/12/2018 | Dividend   | JNJ  | 40853 | 478160104 | 1  | 000 | 250.43 |
|                               |            |            | JOHNSON AND JOHNSON COM HOLDING 278,2565 PAY DATE 06/12/2018           |      |       |           |    |     |        |
| CMA                           | 06/11/2018 | 06/11/2018 | Dividend   | IBM  | 39050 | 459200101 | 3  | 000 | 235.50 |
|                               |            |            | INTL BUSINESS MACHINES CORP IBM HOLDING 150,0000 PAY DATE              |      |       |           |    |     |        |

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|                                     |            |            |          |       |           |           |   |     |        |
|-------------------------------------|------------|------------|----------|-------|-----------|-----------|---|-----|--------|
| 06/09/2018                          |            |            |          |       |           |           |   |     |        |
| EXXON MOBIL CORP COM HOLDING        | 06/11/2018 | 06/11/2018 | Dividend | XOM   | 257D4     | 30231G102 | 1 | 000 | 295.65 |
| 360.5484 PAY DATE 06/11/2018        |            |            |          |       |           |           |   |     |        |
| WESTERN ASSET MANAGED               | 06/01/2018 | 06/01/2018 | Dividend | SNMTX | 97S61     | 52469J509 | 6 | 000 | 141.36 |
| MUNICIPALS FD 1 PAY DATE 05/31/2018 |            |            |          |       |           |           |   |     |        |
| PIMCO INCOME FUND CL 12 PAY DATE    | 06/01/2018 | 06/01/2018 | Dividend | PONPX | 9PK14     | 72201W119 | 5 | 000 | 124.09 |
| 05/31/2018                          |            |            |          |       |           |           |   |     |        |
| EATON VANCE FLOATING RATE FUND CL   | 06/01/2018 | 06/01/2018 | Dividend | EBLX  | 9LME1     | 277911491 | 4 | 000 | 276.39 |
| 1 PAY DATE 05/31/2018               |            |            |          |       |           |           |   |     |        |
| PHILLIPS 66 SHS HOLDING 146.2291    | 06/01/2018 | 06/01/2018 | Dividend | PSX   | 60SW8     | 718546104 | 3 | 000 | 116.98 |
| PAY DATE 06/01/2018                 |            |            |          |       |           |           |   |     |        |
| INTEL CORP HOLDING 700.0000 PAY     | 06/01/2018 | 06/01/2018 | Dividend | INTC  | 38886     | 458140100 | 2 | 000 | 210.00 |
| DATE 06/01/2018                     |            |            |          |       |           |           |   |     |        |
| DELTA AIR LINES INC HOLDING         | 06/01/2018 | 06/01/2018 | Dividend | DAL   | 23CLO     | 247361702 | 1 | 000 | 274.50 |
| 900.0000 PAY DATE 06/01/2018        |            |            |          |       |           |           |   |     |        |
| JP MORGAN STRATEGIC INCOME OPP      | 05/31/2018 | 05/31/2018 | Dividend | JSOXS | 9PP26     | 4812A4351 | 1 | 000 | 117.39 |
| FUND CL 1 PAY DATE 05/30/2018       |            |            |          |       |           |           |   |     |        |
| Bank Interest                       | 05/31/2018 | 05/31/2018 | Dividend | 94SK9 | 990286916 |           | 4 | AMF | 1.42   |
| ML BANK DEPOSIT PROGRAM FROM        |            |            |          |       |           |           |   |     |        |
| 04/30 THRU 05/30                    |            |            |          |       |           |           |   |     |        |
| ML BANK DEPOSIT PROGRAM FROM        | 05/31/2018 | 05/31/2018 | Dividend | 94SK9 | 990286916 |           | 7 | AMF | 15.00  |
| 04/30 THRU 05/30                    |            |            |          |       |           |           |   |     |        |
| LOOMIS SAYLES SR FLOAT RTE & FXD    | 05/30/2018 | 05/30/2018 | Dividend | LSFYX | 9WB05     | 638727554 | 1 | 000 | 330.80 |
| INC FD CL Y PAY DATE 05/29/2018     |            |            |          |       |           |           |   |     |        |
| APPLE INC HOLDING 350.0000 PAY      | 05/17/2018 | 05/17/2018 | Dividend | APPL  | 05001     | 037833100 | 1 | 000 | 255.50 |
| DATE 05/17/2018                     |            |            |          |       |           |           |   |     |        |
| WESTERN ASSET MANAGED               | 05/01/2018 | 05/01/2018 | Dividend | SNMTX | 97S61     | 52469J509 | 5 | 000 | 135.43 |
| MUNICIPALS FD 1 PAY DATE 04/30/2018 |            |            |          |       |           |           |   |     |        |
| PIMCO INCOME FUND CL 12 PAY DATE    | 05/01/2018 | 05/01/2018 | Dividend | PONPX | 9PKY4     | 72201W119 | 4 | 000 | 95.26  |
| 04/30/2018                          |            |            |          |       |           |           |   |     |        |
| EATON VANCE FLOATING RATE FUND CL   | 05/01/2018 | 05/01/2018 | Dividend | EBLX  | 9LME1     | 277911491 | 3 | 000 | 255.96 |
| 1 PAY DATE 04/30/2018               |            |            |          |       |           |           |   |     |        |
| VERIZON COMMUNICATIONS COM          | 05/01/2018 | 05/01/2018 | Dividend | VZ    | 79B06     | 92343V104 | 2 | 000 | 767.00 |
| HOLDING 1300.0000 PAY DATE          |            |            |          |       |           |           |   |     |        |
| 05/01/2018                          |            |            |          |       |           |           |   |     |        |
| BRISTOL-MYERS SQUIBB CO HOLDING     | 05/01/2018 | 05/01/2018 | Dividend | BMY   | 11011     | 110122108 | 1 | 000 | 80.00  |
| 200.0000 PAY DATE 05/01/2018        |            |            |          |       |           |           |   |     |        |
| JP MORGAN STRATEGIC INCOME OPP      | 04/30/2018 | 04/30/2018 | Dividend | JSOXS | 9PP26     | 4812A4351 | 3 | 000 | 116.33 |
| FUND CL 1 PAY DATE 04/27/2018       |            |            |          |       |           |           |   |     |        |

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|----------------------|----------------------|-------------------------|----------------|
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Accounts included in this report: CMA

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|     |            |            |   |       |       |           |    |     |        |
|-----|------------|------------|---|-------|-------|-----------|----|-----|--------|
| CNA | 04/30/2018 | 04/30/2018 | Dividend<br>LOOMIS SAYLES SR FLOAT RTE & FXD<br>INC FD CL Y PAY DATE 04/27/2018         | LSFTX | 9MBQ5 | 638727554 | 2  | 000 | 379.13 |
| CNA | 04/30/2018 | 04/30/2018 | Dividend<br>JPMORGAN CHASE & CO HOLDING<br>900.0000 PAY DATE 04/30/2018                 | JPM   | 40SS5 | 46625H100 | 1  | 000 | 504.00 |
| CNA | 04/30/2018 | 04/30/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>03/29 THRU 04/29                       |       | 94SK9 | 990286916 | 13 | AMF | 6.00   |
| CNA | 04/30/2018 | 04/30/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>03/29 THRU 04/29                       |       | 94SK9 | 990286916 | 9  | AMF | 0.64   |
| CNA | 04/16/2018 | 04/16/2018 | Dividend<br>WSTN DIGITAL CORP DEL HOLDING<br>150.0000 PAY DATE 04/16/2018               | WDC   | 81665 | 958102105 | 1  | 000 | 75.00  |
| CNA | 04/12/2018 | 04/12/2018 | Short Term Capital Gain<br>ASG MANAGED FUTURES STR FD CL Y<br>PAY DATE 04/11/2018       | ASFTX | 9K8V4 | 638727729 | 3  | 000 | 215.37 |
| CNA | 04/12/2018 | 04/12/2018 | Long Term Capital Gain<br>ASG MANAGED FUTURES STR FD CL Y<br>PAY DATE 04/11/2018        | ASFTX | 9K8V4 | 638727729 | 1  | 000 | 8.56   |
| CNA | 04/02/2018 | 04/02/2018 | Dividend<br>WESTERN ASSET MANAGED<br>MULTIPHAS FD I PAY DATE 03/29/2018                 | SMWYX | 97561 | 524691509 | 6  | 000 | 151.28 |
| CNA | 04/02/2018 | 04/02/2018 | Dividend<br>PIMCO INCOME FUND CL P PAY DATE<br>03/29/2018                               | PONPX | 9PKY4 | 72201M719 | 5  | 000 | 89.05  |
| CNA | 04/02/2018 | 04/02/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>I PAY DATE 03/29/2018                  | EIBLX | 9LME1 | 277911491 | 4  | 000 | 260.97 |
| CNA | 04/02/2018 | 04/02/2018 | Dividend<br>PEPSICO INC HOLDING 307.3824 PAY<br>DATE 03/30/2018                         | PEP   | 60739 | 713448108 | 2  | 000 | 247.44 |
| CNA | 04/02/2018 | 04/02/2018 | Dividend<br>COCA COLA COM HOLDING 500.0000<br>PAY DATE 04/02/2018                       | KO    | 18320 | 191216100 | 1  | 000 | 195.00 |
| CNA | 03/29/2018 | 03/29/2018 | Dividend<br>JP MORGAN STRATEGIC INCOME OPP<br>FUND CL I PAY DATE 03/28/2018             | JSOSX | 9PP26 | 4812A4351 | 2  | 000 | 97.94  |
| CNA | 03/29/2018 | 03/29/2018 | Dividend<br>LOOMIS SAYLES SR FLOAT RTE & FXD<br>INC FD CL Y PAY DATE 03/28/2018         | LSFTX | 9MBQ5 | 638727554 | 1  | 000 | 322.49 |
| CNA | 03/29/2018 | 03/29/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>02/28 THRU 03/28                       |       | 94SK9 | 990286916 | 8  | AMF | 10.00  |
| CNA | 03/29/2018 | 03/29/2018 | Bank Interest<br>ML BANK DEPOSIT PROGRAM FROM<br>02/28 THRU 03/28                       |       | 94SK9 | 990286916 | 5  | AMF | 0.53   |
| CNA | 03/28/2018 | 03/28/2018 | Dividend<br>ISHARES U.S. BASIC MATERIALS ETF<br>HOLDING 200.0000 PAY DATE<br>03/28/2018 | ITM   | 38856 | 464287838 | 3  | 000 | 65.83  |
| CNA | 03/28/2018 | 03/28/2018 | Dividend<br>ISHARES S&P 500 GROWTH HOLDING<br>625.0000 PAY DATE 03/28/2018              | IWM   | 38857 | 464287309 | 2  | 000 | 292.05 |
| CNA | 03/28/2018 | 03/28/2018 | Dividend<br>ISHARES RUSSELL 3000 HOLDING<br>235.0000 PAY DATE 03/28/2018                | IWM   | 31CK2 | 464287689 | 1  | 000 | 151.65 |

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Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

|            |            |  |       |       |           |   |     |        |
|------------|------------|--|-------|-------|-----------|---|-----|--------|
| 03/27/2018 | 03/27/2018 | Dividend<br>VANGUARD GROWTH ETF HOLDING<br>300.0000 PAY DATE 03/27/2018                | VUG   | 31FM4 | 922908736 | 1 | 000 | 107.82 |
| 03/26/2018 | 03/26/2018 | Dividend<br>CME GROUP INC HOLDING 100.0000<br>PAY DATE 03/26/2018                      | CME   | 15360 | 125720105 | 1 | 000 | 70.00  |
| 03/23/2018 | 03/23/2018 | Dividend<br>LOCKHEED MARTIN CORP HOLDING<br>100.0000 PAY DATE 03/23/2018               | LMT   | 43264 | 539830109 | 1 | 000 | 200.00 |
| 03/22/2018 | 03/22/2018 | Dividend<br>BLACKROCK INC HOLDING 121.6402<br>PAY DATE 03/22/2018                      | BLK   | 080L2 | 09247X101 | 1 | 000 | 350.32 |
| 03/21/2018 | 03/21/2018 | Dividend<br>SELECT SECTOR SPDR TR HOLDING<br>800.0000 PAY DATE 03/21/2018              | XLK   | 67365 | 813697803 | 5 | 000 | 174.20 |
| 03/21/2018 | 03/21/2018 | Dividend<br>SECTOR SPDR INDUSTRIAL HOLDING<br>200.0000 PAY DATE 03/21/2018             | XLI   | 67364 | 813697704 | 4 | 000 | 61.46  |
| 03/21/2018 | 03/21/2018 | Dividend<br>SPDR US FINANCIAL SECTOR ETF<br>HOLDING 2600.0000 PAY DATE<br>03/21/2018   | XLF   | 67363 | 813697605 | 3 | 000 | 271.86 |
| 03/21/2018 | 03/21/2018 | Dividend<br>HEALTH CARE SELECT SPDR HOLDING<br>367.0000 PAY DATE 03/21/2018            | XLV   | 67366 | 813697209 | 2 | 000 | 109.98 |
| 03/21/2018 | 03/21/2018 | Dividend<br>QUALCOMM INC HOLDING 400.0000 PAY<br>DATE 03/21/2018                       | QCOM  | 63487 | 747525103 | 1 | 000 | 228.00 |
| 03/16/2018 | 03/16/2018 | Dividend<br>SEALED AIR CORP (NEW) HOLDING<br>400.0000 PAY DATE 03/16/2018              | SEE   | 672C8 | 81211K100 | 2 | 000 | 64.00  |
| 03/16/2018 | 03/16/2018 | Dividend<br>DELTA AIR LINES INC HOLDING<br>900.0000 PAY DATE 03/16/2018                | DAL   | 23C10 | 247261702 | 1 | 000 | 274.50 |
| 03/13/2018 | 03/13/2018 | Dividend<br>JOHNSON AND JOHNSON COM HOLDING<br>275.5400 PAY DATE 03/13/2018            | JNJ   | 40853 | 478160104 | 1 | 000 | 232.29 |
| 03/12/2018 | 03/12/2018 | Dividend<br>INTL BUSINESS MACHINES CORP IBM<br>HOLDING 150.0000 PAY DATE<br>03/10/2018 | IBM   | 39050 | 459200101 | 1 | 000 | 225.00 |
| 03/09/2018 | 03/09/2018 | Dividend<br>EXXON MOBIL CORP COM HOLDING<br>356.6913 PAY DATE 03/09/2018               | XOM   | 257D4 | 30221G102 | 1 | 000 | 274.81 |
| 03/08/2018 | 03/08/2018 | Dividend<br>MICROSOFT CORP HOLDING 735.3358<br>PAY DATE 03/08/2018                     | MSFT  | 49522 | 594918104 | 1 | 000 | 308.84 |
| 03/01/2018 | 03/01/2018 | Dividend<br>WESTERN ASSET MANAGED<br>MUNICIPALS FD I PAY DATE 02/28/2018               | SNMTX | 97561 | 524691509 | 5 | 000 | 133.68 |
| 03/01/2018 | 03/01/2018 | Dividend<br>PIMCO INCOME FUND CL P PAY DATE<br>02/28/2018                              | PONPX | 9PKY4 | 72201W719 | 4 | 000 | 89.05  |
| 03/01/2018 | 03/01/2018 | Dividend<br>EATON VANCE FLOATING RATE FUND CL<br>I PAY DATE 02/28/2018                 | ELBLX | 9LWE1 | 27911491  | 3 | 000 | 238.19 |
| 03/01/2018 | 03/01/2018 | Dividend<br>PHILLIPS 66 SHS HOLDING 146.2291   | PSX   | 605W8 | 718546104 | 2 | 000 | 102.36 |

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Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K

| PAY DATE 03/01/2018 |  |           |   |     |  |  |  |  |        |
|---------------------|--|-----------|---|-----|--|--|--|--|--------|
| INTC                | 38886  | 458140100 | 1 | 000 |  |  |  |  | 210.00 |
| Dividend            | INTEL CORP HOLDING 700,0000 PAY DATE 03/01/2018                  |           |   |     |  |  |  |  |        |
| JSOSX               | 9P26   | 4812M4351 | 2 | 000 |  |  |  |  | 102.84 |
| Dividend            | JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 02/27/2018     |           |   |     |  |  |  |  |        |
| LSFYX               | 9MBQ5  | 63872T554 | 1 | 000 |  |  |  |  | 299.08 |
| Dividend            | LOOMIS SAYLES SR FLOAT RTE & FXD INC FD CL Y PAY DATE 02/27/2018 |           |   |     |  |  |  |  |        |
| 94SK9               |  | 990286916 | 7 | AMF |  |  |  |  | 8.00   |
| Dividend            | Bank Interest ML BANK DEPOSIT PROGRAM FROM 01/31 THRU 02/27      |           |   |     |  |  |  |  |        |
| 94SK9               |  | 990286916 | 4 | AMF |  |  |  |  | 1.39   |
| Dividend            | Bank Interest ML BANK DEPOSIT PROGRAM FROM 01/31 THRU 02/27      |           |   |     |  |  |  |  |        |
| AAPL                | 05001  | 037833100 | 1 | 000 |  |  |  |  | 220.50 |
| Dividend            | APPLE INC HOLDING 350,0000 PAY DATE 02/15/2018                   |           |   |     |  |  |  |  |        |
| SMNYX               | 97561  | 52469509  | 5 | 000 |  |  |  |  | 135.73 |
| Dividend            | WESTERN ASSET MANAGED MUNICIPAL FD I PAY DATE 01/31/2018         |           |   |     |  |  |  |  |        |
| PONPX               | 9PKY4  | 72201M719 | 4 | 000 |  |  |  |  | 89.05  |
| Dividend            | PIMCO INCOME FUND CL P PAY DATE 01/31/2018                       |           |   |     |  |  |  |  |        |
| EIBLX               | 9LME1  | 27791491  | 3 | 000 |  |  |  |  | 259.83 |
| Dividend            | EATON VANCE FLOATING RATE FUND CL I PAY DATE 01/31/2018          |           |   |     |  |  |  |  |        |
| VZ                  | 79B06  | 92343V104 | 2 | 000 |  |  |  |  | 826.00 |
| Dividend            | VERIZON COMMUNICATIONS COM HOLDING 1400,0000 PAY DATE 02/01/2018 |           |   |     |  |  |  |  |        |
| BMV                 | 11011  | 110122108 | 1 | 000 |  |  |  |  | 80.00  |
| Dividend            | BRISTOL-MYERS SQUIBB CO HOLDING 200,0000 PAY DATE 02/01/2018     |           |   |     |  |  |  |  |        |
| JSOSX               | 9P26   | 4812M4351 | 3 | 000 |  |  |  |  | 77.80  |
| Dividend            | JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 01/30/2018     |           |   |     |  |  |  |  |        |
| LSFYX               | 9MBQ5  | 63872T554 | 2 | 000 |  |  |  |  | 347.41 |
| Dividend            | LOOMIS SAYLES SR FLOAT RTE & FXD INC FD CL Y PAY DATE 01/30/2018 |           |   |     |  |  |  |  |        |
| JPM                 | 40555  | 46625H100 | 1 | 000 |  |  |  |  | 504.00 |
| Dividend            | JP MORGAN CHASE & CO HOLDING 900,0000 PAY DATE 01/31/2018        |           |   |     |  |  |  |  |        |
| 94SK9               |  | 990286916 | 4 | AMF |  |  |  |  | 7.75   |
| Dividend            | Bank Interest ML BANK DEPOSIT PROGRAM                            |           |   |     |  |  |  |  |        |
| WDC                 | 81665  | 958102105 | 2 | 000 |  |  |  |  | 50.00  |
| Dividend            | WESTN DIGITAL CORP DEL HOLDING 100,0000 PAY DATE 01/16/2018      |           |   |     |  |  |  |  |        |
| CME                 | 15360  | 12572Q105 | 1 | 000 |  |  |  |  | 350.00 |
| Dividend            | CME GROUP INC HOLDING 100,0000 PAY DATE 01/16/2018               |           |   |     |  |  |  |  |        |
| DIS                 | 23892  | 254687106 | 1 | 000 |  |  |  |  | 420.00 |
| Dividend            | DISNEY (WALT) CO COM STK HOLDING 500,0000 PAY DATE 01/11/2018    |           |   |     |  |  |  |  |        |
| PEP                 | 60739  | 71348108  | 1 | 000 |  |  |  |  | 245.77 |
| Dividend            |  |           |   |     |  |  |  |  |        |

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CMA

PEPSICO INC HOLDING 305.3037 PAY  
DATE 01/09/2018

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Investment Products:

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Report Created 12:51:54PM, April 12, 2019 for SCHRADER WALTER, K





AMERICAN  
FUNDS®

From Capital Group

Year-End Statement  
December 31, 2018

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Primary account number: [REDACTED]

## About your accounts

### Information about your investments

**Your responsibilities.** Please review this statement carefully to confirm that we have accurately acted on your instructions. If you identify any discrepancies, please immediately notify your financial advisor or call us at (800) 421-4225. If you delay in reporting an error, we may be unable to adjust your account.

**Rollovers.** If you roll over funds into CollegeAmerica or ABLEAmerica, you'll need to give us appropriate documentation from the prior institution showing the earnings portion of the rollover. We must treat the entire rollover as earnings if this information is not provided.

**Personal rate of return.** American Funds uses the Modified Dietz method to calculate the rates of return for your fund holdings, accounts and portfolio. This time-weighted calculation does not treat withdrawals as losses or investment amounts as gains. The formula factors in the amounts and daily prices of your account activity; the returns, fees and expenses of your specific funds; and applicable sales charges. Calculations may include closed and previously owned funds. Portfolio rate of return is the combined return for all of the accounts on this statement. Returns for investments made before 2003 are calculated with an initial investment date of January 1, 2003, and the investment value as of that date. Returns for periods less than a year are cumulative total returns and not annualized. Your personal returns may differ from fund results shown on this statement and online. You can view your fund and account personal rates of return, updated after each business day, by logging in to your account at [americanfunds.com](http://americanfunds.com). For more information, please visit [americanfunds.com/statement](http://americanfunds.com/statement) or call Shareholder Services at (800) 421-4225.

**Cost basis.** Effective January 1, 2012, the IRS requires American Funds to report cost basis information to both investors and the IRS on the sale or exchange of mutual fund shares acquired on or after January 1, 2012, called "covered" shares. The IRS requirements apply only to taxable accounts. Tax-favored accounts, including 529 and retirement accounts, are not included in the requirements.

American Funds uses Average Cost as the default cost basis method for covered shares unless you have selected another method for your account. For more information on cost basis, including other methods supported by American Funds and ways to select a cost basis method other than Average Cost, please go to our Tax Center at [americanfunds.com](http://americanfunds.com) or consult your financial advisor or tax professional.

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# AMERICAN FUNDS

From Capital Group

Year-End Statement  
December 31, 2018

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Primary account number [REDACTED]

## Activity detail for account

(continued)

Account value [REDACTED]

### Account registration:

VCSP/COLLEGEAMERICA  
SUZANNA E MORA OWNER  
FBO MICHAEL J QUARANTA

### Global Balanced Fund-529A Fund number: 1037 Symbol: CBFAX Objective: Balanced

0.00% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:

MICHAEL J QUARANTA

Year-to-date: Dividends

\$45.24

Short-term capital gains

\$0.00

Long-term capital gains

\$0.00

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$2,053.50    | \$32.49 / \$32.49 |                   | 63.204        |
| 03/23/18   | Income Dividend 0.1533 | \$9.69        | \$31.99 / \$31.99 | 0.303             | 63.507        |
| 03/23/18   | Foreign Tax Paid       | \$0.67        | \$31.99 / \$31.99 |                   | 63.507        |
| 06/22/18   | Income Dividend 0.2263 | \$14.37       | \$32.13 / \$32.13 | 0.447             | 63.954        |
| 06/22/18   | Foreign Tax Paid       | \$0.64        | \$32.13 / \$32.13 |                   | 63.954        |
| 09/21/18   | Income Dividend 0.1413 | \$9.04        | \$32.09 / \$32.09 | 0.282             | 64.236        |
| 09/21/18   | Foreign Tax Paid       | \$0.62        | \$32.09 / \$32.09 |                   | 64.236        |
| 12/20/18   | Income Dividend 0.1494 | \$9.60        | \$29.54 / \$29.54 | 0.325             | 64.561        |
| 12/20/18   | Foreign Tax Paid       | \$0.61        | \$29.54 / \$29.54 |                   | 64.561        |
| 12/27/18   | Dist To Owner Basis    | -\$1,770.78   | \$29.55 / \$29.55 | -59.925           | 4.636         |
| 12/27/18   | Dist To Owner Earnings | -\$137.00     | \$29.55 / \$29.55 | -4.636            | 0.000         |
| 12/31/18   | Ending balance         |               |                   |                   | 0.000         |

### The Growth Fund of America-529A Fund number: 1005 Symbol: CGFAX Objective: Growth

0.42% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:

MICHAEL J QUARANTA

Year-to-date: Dividends

\$11.90

Short-term capital gains

\$0.00

Long-term capital gains

\$216.42

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$2,201.50    | \$49.03 / \$49.03 |                   | 44.901        |
| 12/21/18   | Capital Gain 4.82      | \$216.42      | \$40.48 / \$40.48 | 5.346             | 50.247        |
| 12/21/18   | Income Dividend 0.2651 | \$11.90       | \$40.48 / \$40.48 | 0.294             | 50.541        |
| 12/27/18   | Dist To Owner Earnings | -\$147.96     | \$41.95 / \$41.95 | -3.527            | 47.014        |
| 12/27/18   | Dist To Owner Basis    | -\$1,912.39   | \$41.95 / \$41.95 | -45.587           | 1.427         |
| 12/31/18   | Ending balance         |               |                   |                   | 1.427         |

### The Investment Company of America-529A Fund number: 1004 Symbol: CICAX Objective: Growth-and-income

13.89% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:

MICHAEL J QUARANTA

Year-to-date: Dividends

\$37.49

Short-term capital gains

\$0.00

Long-term capital gains

\$172.96

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$2,130.16    | \$40.29 / \$40.29 |                   | 52.871        |
| 03/16/18   | Income Dividend 0.1415 | \$7.48        | \$41.23 / \$41.23 | 0.181             | 53.052        |
| 06/15/18   | Capital Gain 0.561     | \$29.76       | \$40.77 / \$40.77 | 0.730             | 53.782        |
| 06/15/18   | Income Dividend 0.1459 | \$7.74        | \$40.77 / \$40.77 | 0.190             | 53.972        |
| 09/14/18   | Income Dividend 0.1454 | \$7.85        | \$41.53 / \$41.53 | 0.189             | 54.161        |
| 12/19/18   | Income Dividend 0.1463 | \$7.92        | \$33.71 / \$33.71 | 0.235             | 54.396        |
| 12/19/18   | Special Dividend 0.12  | \$6.50        | \$33.71 / \$33.71 | 0.193             | 54.589        |
| 12/19/18   | Capital Gain 2.644     | \$143.20      | \$33.71 / \$33.71 | 4.248             | 58.837        |
| 12/31/18   | Ending balance         |               |                   |                   | 58.837        |

Primary account number [REDACTED]

**Activity detail for account [REDACTED] (continued) Account value [REDACTED]**

**Account registration:**  
VCSP/COLLEGEAMERICA  
SUZANNA E MORA OWNER  
FBO MICHAEL F QUARANTA

**American Balanced Fund-529A Fund number: 1011 Symbol: CLBAX Objective: Balanced**

14.15% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:  
MICHAEL J QUARANTA

Year-to-date: Dividends \$38.75 Short-term capital gains \$0.00 Long-term capital gains \$78.98

**Year-to-date transaction history**

| Trade date | Description            | Dollar amount | Share price / NAV       | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$2,085.00    | \$27.11 / \$27.11       |                   | 76.909        |
| 03/14/18   | Income Dividend 0.0948 | \$7.29        | \$27.16 / \$27.16       | 0.268             | 77.177        |
| 06/13/18   | Income Dividend 0.0946 | \$7.30        | \$27.29 / \$27.29       | 0.267             | 77.444        |
| 06/13/18   | Capital Gain 0.047     | \$3.62        | \$27.29 / \$27.29       | 0.133             | 77.577        |
| 09/12/18   | Income Dividend 0.0946 | \$7.34        | \$27.70 / \$27.70       | 0.265             | 77.842        |
| 12/18/18   | Income Dividend 0.094  | \$7.32        | \$24.98 / \$24.98       | 0.293             | 78.135        |
| 12/18/18   | Capital Gain 0.968     | \$75.35       | \$24.98 / \$24.98       | 3.016             | 81.151        |
| 12/18/18   | Special Dividend 0.122 | \$9.50        | \$24.98 / \$24.98       | 0.380             | 81.531        |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED] |                   | 81.531        |

**American High-Income Trust-529A Fund number: 1021 Symbol: CITAX Objective: Bond**

13.40% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:  
MICHAEL J QUARANTA

Year-to-date: Dividends \$115.77 Short-term capital gains \$0.00 Long-term capital gains \$0.00

**Year-to-date transaction history**

| Trade date | Description       | Dollar amount | Share price / NAV       | Shares transacted | Share balance |
|------------|-------------------|---------------|-------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance | \$1,956.78    | \$10.37 / \$10.37       |                   | 188.696       |
| 01/31/18   | Income Dividend   | \$9.10        | \$10.42 / \$10.42       | 0.873             | 189.569       |
| 02/28/18   | Income Dividend   | \$8.23        | \$10.29 / \$10.29       | 0.800             | 190.369       |
| 03/29/18   | Income Dividend   | \$9.58        | \$10.19 / \$10.19       | 0.940             | 191.309       |
| 04/30/18   | Income Dividend   | \$9.29        | \$10.19 / \$10.19       | 0.912             | 192.221       |
| 05/31/18   | Income Dividend   | \$10.78       | \$10.12 / \$10.12       | 1.065             | 193.286       |
| 06/29/18   | Income Dividend   | \$9.17        | \$10.14 / \$10.14       | 0.904             | 194.190       |
| 07/31/18   | Income Dividend   | \$9.56        | \$10.23 / \$10.23       | 0.935             | 195.125       |
| 08/31/18   | Income Dividend   | \$9.91        | \$10.23 / \$10.23       | 0.969             | 196.094       |
| 09/28/18   | Income Dividend   | \$9.01        | \$10.25 / \$10.25       | 0.879             | 196.973       |
| 10/31/18   | Income Dividend   | \$9.63        | \$10.06 / \$10.06       | 0.957             | 197.930       |
| 11/30/18   | Income Dividend   | \$10.21       | \$9.90 / \$9.90         | 1.031             | 198.961       |
| 12/31/18   | Income Dividend   | \$11.30       | \$9.59 / \$9.59         | 1.178             | 200.139       |
| 12/31/18   | Ending balance    | [REDACTED]    | [REDACTED] / [REDACTED] |                   | 200.139       |

**Daily dividend.** Since the fund declares dividends daily, the amount of your income dividend depends on the number of days between the day you paid for your shares and the day the dividend was paid.

**American Mutual Fund-529A Fund number: 1003 Symbol: CMLAX Objective: Growth-and-income**

14.44% of the account's assets are in this fund

Beneficiary age: 20

Successor owner:  
MICHAEL J QUARANTA

Year-to-date: Dividends \$42.38 Short-term capital gains \$0.00 Long-term capital gains \$86.10

**Year-to-date transaction history**

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$2,114.23    | \$40.71 / \$40.71 |                   | 51.934        |
| 03/16/18   | Income Dividend 0.1822 | \$9.46        | \$40.77 / \$40.77 | 0.232             | 52.166        |
| 06/15/18   | Income Dividend 0.1861 | \$9.71        | \$40.91 / \$40.91 | 0.237             | 52.403        |



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Primary account number [REDACTED]

## Activity detail for account [REDACTED]

Account value. [REDACTED]

Account registration:  
VCSP/COLLEGEAMERICA  
SUZANNAE MORA OWNER  
FBO MARIE V QUARANTA

✓ **AMCAP Fund-529A** Fund number: 1002 Symbol: CAFAX Objective: Growth

20.57% of the account's assets are in this fund

Beneficiary age: 17

Successor owner:  
WALTER KURT SCHRADER

Year-to-date: Dividends \$14.32 Short-term capital gains \$0.00 Long-term capital gains \$259.45

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV              | Shares transacted | Share balance |
|------------|------------------------|---------------|--------------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$0.00        | \$31.15 / \$31.15              |                   | 0.000         |
| 05/07/18   | Contribution           | \$3,000.00    | \$33.66 <sup>1</sup> / \$32.82 | 89.127            | 89.127        |
| 06/15/18   | Capital Gain 0.853     | \$76.03       | \$33.58 / \$33.58              | 2.264             | 91.391        |
| 12/20/18   | Income Dividend 0.1567 | \$14.32       | \$26.99 / \$26.99              | 0.531             | 91.922        |
| 12/20/18   | Capital Gain 2.007     | \$183.42      | \$26.99 / \$26.99              | 6.796             | 98.718        |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED]        |                   | 98.718        |

<sup>1</sup>This purchase was made at the \$250,000 discount level.

✓ **The Growth Fund of America-529A** Fund number: 1005 Symbol: CGFAX Objective: Growth

20.27% of the account's assets are in this fund

Beneficiary age: 17

Successor owner:  
WALTER KURT SCHRADER

Year-to-date: Dividends \$14.95 Short-term capital gains \$0.00 Long-term capital gains \$271.91

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV              | Shares transacted | Share balance |
|------------|------------------------|---------------|--------------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$0.00        | \$49.03 / \$49.03              |                   | 0.000         |
| 05/07/18   | Contribution           | \$3,000.00    | \$53.18 <sup>1</sup> / \$51.85 | 56.412            | 56.412        |
| 12/21/18   | Income Dividend 0.2651 | \$14.95       | \$40.48 / \$40.48              | 0.369             | 56.781        |
| 12/21/18   | Capital Gain 4.82      | \$271.91      | \$40.48 / \$40.48              | 6.717             | 63.498        |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED]        |                   | 63.498        |

<sup>1</sup>This purchase was made at the \$250,000 discount level.

✓ **The New Economy Fund-529A** Fund number: 1014 Symbol: CNGAX Objective: Growth

19.70% of the account's assets are in this fund

Beneficiary age: 17

Successor owner:  
WALTER KURT SCHRADER

Year-to-date: Dividends \$12.82 Short-term capital gains \$0.00 Long-term capital gains \$224.87

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV              | Shares transacted | Share balance |
|------------|------------------------|---------------|--------------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$0.00        | \$44.18 / \$44.18              |                   | 0.000         |
| 05/07/18   | Contribution           | \$3,000.00    | \$48.66 <sup>1</sup> / \$47.44 | 61.652            | 61.652        |
| 12/19/18   | Income Dividend 0.2079 | \$12.82       | \$38.08 / \$38.08              | 0.337             | 61.989        |
| 12/19/18   | Capital Gain 3.6474    | \$224.87      | \$38.08 / \$38.08              | 5.905             | 67.894        |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED]        |                   | 67.894        |

<sup>1</sup>This purchase was made at the \$250,000 discount level.



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Primary account number [REDACTED]

## Activity detail for account [REDACTED] (continued) Account value [REDACTED]

Account registration:  
SUZANNA E MORA

**Washington Mutual Investors Fund-C** Fund number: 301 Symbol: WSHCX Objective: Growth-and-income

11.79% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$349.12 Short-term capital gains \$0.00 Long-term capital gains \$1,708.42

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV       | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$30,634.34   | \$45.08 / \$45.08       |                   | 679.555       |
| 03/16/18   | Income Dividend 0.1092 | \$74.21       | \$45.47 / \$45.47       | 1.632             | 681.187       |
| 06/15/18   | Capital Gain 1.4815    | \$1,009.18    | \$44.72 / \$44.72       | 22.567            | 703.754       |
| 06/15/18   | Income Dividend 0.1103 | \$75.13       | \$44.72 / \$44.72       | 1.680             | 705.434       |
| 09/14/18   | Income Dividend 0.1126 | \$79.43       | \$46.09 / \$46.09       | 1.723             | 707.157       |
| 12/21/18   | Special Dividend 0.055 | \$38.89       | \$39.29 / \$39.29       | 0.990             | 708.147       |
| 12/21/18   | Income Dividend 0.1152 | \$81.46       | \$39.29 / \$39.29       | 2.073             | 710.220       |
| 12/21/18   | Capital Gain 0.9888    | \$699.24      | \$39.29 / \$39.29       | 17.797            | 728.017       |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED] |                   | 728.017       |

**Dividend change.** Beginning with the December payment, the fund increased its quarterly dividend by approximately \$0.0025 per share.

## Activity detail for account [REDACTED] Account value [REDACTED]

Account registration:  
VCSP/COLLEGEAMERICA  
SUZANNA E MORA OWNER  
FBO RENEE G QUARANTA

✓ **AMCAP Fund-529A** Fund number: 1002 Symbol: CAFAX Objective: Growth

20.57% of the account's assets are in this fund

Beneficiary age: 18

Successor owner:  
WALTER K SCHRADER

Year-to-date: Dividends \$14.32 Short-term capital gains \$0.00 Long-term capital gains \$259.45

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV              | Shares transacted | Share balance |
|------------|------------------------|---------------|--------------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$0.00        | \$31.15 / \$31.15              |                   | 0.000         |
| 05/07/18   | Contribution           | \$3,000.00    | \$33.66 <sup>1</sup> / \$32.82 | 89.127            | 89.127        |
| 06/15/18   | Capital Gain 0.853     | \$76.03       | \$33.58 / \$33.58              | 2.264             | 91.391        |
| 12/20/18   | Capital Gain 2.007     | \$183.42      | \$26.99 / \$26.99              | 6.796             | 98.187        |
| 12/20/18   | Income Dividend 0.1567 | \$14.32       | \$26.99 / \$26.99              | 0.531             | 98.718        |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED]        |                   | 98.718        |

<sup>1</sup>This purchase was made at the \$250,000 discount level.

✓ **The Growth Fund of America-529A** Fund number: 1005 Symbol: CGFAX Objective: Growth

20.27% of the account's assets are in this fund

Beneficiary age: 18

Successor owner:  
WALTER K SCHRADER

Year-to-date: Dividends \$14.95 Short-term capital gains \$0.00 Long-term capital gains \$271.91

### Year-to-date transaction history

| Trade date | Description       | Dollar amount | Share price / NAV              | Shares transacted | Share balance |
|------------|-------------------|---------------|--------------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance | \$0.00        | \$49.03 / \$49.03              |                   | 0.000         |
| 05/07/18   | Contribution      | \$3,000.00    | \$53.18 <sup>1</sup> / \$51.85 | 56.412            | 56.412        |
| 12/21/18   | Capital Gain 4.82 | \$271.91      | \$40.48 / \$40.48              | 6.717             | 63.129        |



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Primary account number [REDACTED]

## Activity detail for account

(continued)

Account value: [REDACTED]

Account registration:  
SUZANNAE MORA

International Growth and Income-C Fund number: 334 Symbol: IGICX Objective: Growth-and-income

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 03/23/18   | Income Dividend 0.0641 | \$2.72        | \$34.42 / \$34.42 | 0.079             | 42.575        |
| 03/23/18   | Foreign Tax Paid       | \$0.91        | / \$34.42         |                   | 42.575        |
| 04/16/18   | Automatic Investment   | \$500.00      | \$35.29 / \$35.29 | 14.168            | 56.743        |
| 05/15/18   | Automatic Investment   | \$500.00      | \$35.08 / \$35.08 | 14.253            | 70.996        |
| 06/15/18   | Automatic Investment   | \$500.00      | \$34.19 / \$34.19 | 14.624            | 85.620        |
| 06/22/18   | Income Dividend 0.326  | \$27.91       | \$33.57 / \$33.57 | 0.831             | 86.451        |
| 06/22/18   | Foreign Tax Paid       | \$1.78        | / \$33.57         |                   | 86.451        |
| 07/16/18   | Automatic Investment   | \$500.00      | \$33.53 / \$33.53 | 14.912            | 101.363       |
| 08/15/18   | Automatic Investment   | \$500.00      | \$32.26 / \$32.26 | 15.499            | 116.862       |
| 09/17/18   | Automatic Investment   | \$500.00      | \$32.55 / \$32.55 | 15.361            | 132.223       |
| 09/21/18   | Income Dividend 0.1627 | \$21.51       | \$33.23 / \$33.23 | 0.647             | 132.870       |
| 09/21/18   | Foreign Tax Paid       | \$2.64        | / \$33.23         |                   | 132.870       |
| 10/15/18   | Automatic Investment   | \$500.00      | \$30.96 / \$30.96 | 16.150            | 149.020       |
| 12/24/18   | Foreign Tax Paid       | \$2.95        | / \$28.32         |                   | 149.020       |
| 12/31/18   | Ending balance         |               |                   |                   | 149.020       |

The Investment Company of America-C Fund number: 304 Symbol: AICCX Objective: Growth-and-income

11.19% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$314.84 Short-term capital gains \$0.00 Long-term capital gains \$2,458.96

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$30,187.46   | \$39.98 / \$39.98 |                   | 755.064       |
| 03/16/18   | Income Dividend 0.0677 | \$51.12       | \$40.92 / \$40.92 | 1.249             | 756.313       |
| 06/15/18   | Capital Gain 0.561     | \$424.29      | \$40.46 / \$40.46 | 10.487            | 766.800       |
| 06/15/18   | Income Dividend 0.0736 | \$55.66       | \$40.46 / \$40.46 | 1.376             | 768.176       |
| 09/14/18   | Income Dividend 0.0734 | \$56.38       | \$41.21 / \$41.21 | 1.368             | 769.544       |
| 12/19/18   | Capital Gain 2.644     | \$2,034.67    | \$33.43 / \$33.43 | 60.864            | 830.408       |
| 12/19/18   | Special Dividend 0.12  | \$92.35       | \$33.43 / \$33.43 | 2.762             | 833.170       |
| 12/19/18   | Income Dividend 0.0771 | \$59.33       | \$33.43 / \$33.43 | 1.775             | 834.945       |
| 12/31/18   | Ending balance         |               |                   |                   | 834.945       |

The New Economy Fund-C Fund number: 314 Symbol: ANFCX Objective: Growth

9.52% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$2,274.64

### Year-to-date transaction history

| Trade date | Description         | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|---------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance   | \$25,063.77   | \$40.19 / \$40.19 |                   | 623.632       |
| 12/19/18   | Capital Gain 3.6474 | \$2,274.64    | \$34.23 / \$34.23 | 66.452            | 690.084       |
| 12/31/18   | Ending balance      |               |                   |                   | 690.084       |



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Primary account number [REDACTED]

## Activity detail for account

(continued)

Account value:

Account registration:  
SUZANNAE MORA

**Capital Income Builder-A** Fund number: 12 Symbol: CAIBX Objective: Equity-income

### Year-to-date transaction history

| Trade date | Description           | Dollar amount | Share price / NAV       | Shares transacted | Share balance |
|------------|-----------------------|---------------|-------------------------|-------------------|---------------|
| 12/21/18   | Special Dividend 0.14 | \$8.27        | \$55.34 / \$55.34       | 0.149             | 59.216        |
| 12/21/18   | Income Dividend 0.50  | \$29.53       | \$55.34 / \$55.34       | 0.534             | 59.750        |
| 12/31/18   | Ending balance        | [REDACTED]    | [REDACTED] / [REDACTED] |                   | 59.750        |

**EuroPacific Growth Fund-C** Fund number: 316 Symbol: AEP CX Objective: Growth

1.71% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis [REDACTED]

Cost per share [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$33.92 Short-term capital gains [REDACTED] \$0.00 Long-term capital gains \$131.69

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV       | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$508.42      | \$55.00 / \$55.00       |                   | 9.244         |
| 02/15/18   | Automatic Investment   | \$500.00      | \$56.79 / \$56.79       | 8.804             | 18.048        |
| 03/15/18   | Automatic Investment   | \$500.00      | \$56.86 / \$56.86       | 8.794             | 26.842        |
| 04/16/18   | Automatic Investment   | \$500.00      | \$55.72 / \$55.72       | 8.973             | 35.815        |
| 05/15/18   | Automatic Investment   | \$500.00      | \$56.14 / \$56.14       | 8.906             | 44.721        |
| 06/13/18   | Capital Gain 0.189     | \$72.40       | \$54.21 / \$54.21       | 1.336             | 46.057        |
| 06/13/18   | Foreign Tax Paid       | \$2.23        | / \$54.21               |                   | 46.057        |
| 06/15/18   | Automatic Investment   | \$500.00      | \$53.46 / \$53.46       | 9.353             | 55.410        |
| 07/16/18   | Automatic Investment   | \$500.00      | \$52.78 / \$52.78       | 9.473             | 64.883        |
| 08/15/18   | Automatic Investment   | \$500.00      | \$50.61 / \$50.61       | 9.879             | 74.762        |
| 09/17/18   | Automatic Investment   | \$500.00      | \$50.66 / \$50.66       | 9.870             | 84.632        |
| 10/15/18   | Automatic Investment   | \$500.00      | \$47.80 / \$47.80       | 10.460            | 95.092        |
| 12/20/18   | Income Dividend 0.2839 | \$27.00       | \$43.57 / \$43.57       | 0.620             | 95.712        |
| 12/20/18   | Foreign Tax Paid       | \$4.69        | / \$43.57               |                   | 95.712        |
| 12/20/18   | Capital Gain 0.6235    | \$59.29       | \$43.57 / \$43.57       | 1.361             | 97.073        |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED] |                   | 97.073        |

**Fundamental Investors-C** Fund number: 310 Symbol: AFICX Objective: Growth-and-income

11.61% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)

Total cost basis [REDACTED]

Cost per share [REDACTED]

Calculation method: Average Cost

Year-to-date: Dividends \$245.56 Short-term capital gains \$0.00 Long-term capital gains \$2,428.06

### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV       | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$31,359.11   | \$61.88 / \$61.88       |                   | 506.773       |
| 03/16/18   | Income Dividend 0.0311 | \$15.76       | \$63.57 / \$63.57       | 0.248             | 507.021       |
| 06/15/18   | Capital Gain 0.532     | \$269.74      | \$63.36 / \$63.36       | 4.257             | 511.278       |
| 06/15/18   | Income Dividend 0.0315 | \$15.97       | \$63.36 / \$63.36       | 0.252             | 511.530       |
| 09/14/18   | Income Dividend 0.0359 | \$18.36       | \$64.35 / \$64.35       | 0.285             | 511.815       |
| 12/21/18   | Capital Gain 4.217     | \$2,158.32    | \$50.15 / \$50.15       | 43.037            | 554.852       |
| 12/21/18   | Income Dividend 0.0419 | \$21.45       | \$50.15 / \$50.15       | 0.428             | 555.280       |
| 12/21/18   | Special Dividend 0.34  | \$174.02      | \$50.15 / \$50.15       | 3.470             | 558.750       |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED] / [REDACTED] |                   | 558.750       |



**AMERICAN  
FUND**

From Capital Group

Year-End Statement  
December 31, 2018

Page 4 of 18

Primary account number [REDACTED]

### Activity detail for account [REDACTED]

Account value: [REDACTED]

Account registration:  
SUZANNA E MORA

**AMCAP Fund-C** Fund number: 302 Symbol: AMPCX Objective: Growth

1.82% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$0.00 Short-term capital gains \$0.00 Long-term capital gains \$419.67

#### Year-to-date transaction history

| Trade date | Description          | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|----------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance    | \$502.66      | \$27.87 / \$27.87 |                   | 18.036        |
| 02/15/18   | Automatic Investment | \$500.00      | \$29.28 / \$29.28 | 17.077            | 35.113        |
| 03/15/18   | Automatic Investment | \$500.00      | \$29.92 / \$29.92 | 16.711            | 51.824        |
| 04/16/18   | Automatic Investment | \$500.00      | \$29.11 / \$29.11 | 17.176            | 69.000        |
| 05/15/18   | Automatic Investment | \$500.00      | \$29.63 / \$29.63 | 16.875            | 85.875        |
| 06/15/18   | Automatic Investment | \$500.00      | \$29.85 / \$29.85 | 16.750            | 102.625       |
| 06/15/18   | Capital Gain 0.853   | \$73.25       | \$29.85 / \$29.85 | 2.454             | 105.079       |
| 07/16/18   | Automatic Investment | \$500.00      | \$29.87 / \$29.87 | 16.739            | 121.818       |
| 08/15/18   | Automatic Investment | \$500.00      | \$29.49 / \$29.49 | 16.955            | 138.773       |
| 09/17/18   | Automatic Investment | \$500.00      | \$30.24 / \$30.24 | 16.534            | 155.307       |
| 10/15/18   | Automatic Investment | \$500.00      | \$28.90 / \$28.90 | 17.301            | 172.608       |
| 12/20/18   | Capital Gain 2.007   | \$346.42      | \$23.81 / \$23.81 | 14.549            | 187.157       |
| 12/31/18   | Ending balance       | [REDACTED]    | [REDACTED]        |                   | 187.157       |

**American Balanced Fund-C** Fund number: 311 Symbol: BALCX Objective: Balanced

11.04% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$329.25 Short-term capital gains \$0.00 Long-term capital gains \$1,083.13

#### Year-to-date transaction history

| Trade date | Description            | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|------------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance      | \$28,600.85   | \$26.98 / \$26.98 |                   | 1,060.076     |
| 03/14/18   | Income Dividend 0.0466 | \$49.40       | \$27.04 / \$27.04 | 1.827             | 1,061.903     |
| 06/13/18   | Capital Gain 0.047     | \$49.91       | \$27.18 / \$27.18 | 1.836             | 1,063.739     |
| 06/13/18   | Income Dividend 0.0471 | \$50.02       | \$27.18 / \$27.18 | 1.840             | 1,065.579     |
| 09/12/18   | Income Dividend 0.0465 | \$49.55       | \$27.58 / \$27.58 | 1.797             | 1,067.376     |
| 12/18/18   | Income Dividend 0.0469 | \$50.06       | \$24.86 / \$24.86 | 2.014             | 1,069.390     |
| 12/18/18   | Special Dividend 0.122 | \$130.22      | \$24.86 / \$24.86 | 5.238             | 1,074.628     |
| 12/18/18   | Capital Gain 0.968     | \$1,033.22    | \$24.86 / \$24.86 | 41.562            | 1,116.190     |
| 12/31/18   | Ending balance         | [REDACTED]    | [REDACTED]        |                   | 1,116.190     |

**American High-Income Trust-C** Fund number: 321 Symbol: AHTCX Objective: Bond

2.03% of the account's assets are in this fund

Cost basis: Noncovered shares (prior to 2012)  
Not available

Cost basis: Covered shares (2012 and later)  
Total cost basis: [REDACTED]  
Cost per share: [REDACTED]  
Calculation method: Average Cost

Year-to-date: Dividends \$270.54 Short-term capital gains \$0.00 Long-term capital gains \$0.00

#### Year-to-date transaction history

| Trade date | Description       | Dollar amount | Share price / NAV | Shares transacted | Share balance |
|------------|-------------------|---------------|-------------------|-------------------|---------------|
| 01/01/18   | Beginning balance | \$5,221.67    | \$10.37 / \$10.37 |                   | 503.536       |
| 01/31/18   | Income Dividend   | \$21.05       | \$10.42 / \$10.42 | 2.020             | 505.556       |
| 02/28/18   | Income Dividend   | \$19.00       | \$10.29 / \$10.29 | 1.846             | 507.402       |



Name of IP: MEYERS, C /MEIGHAN, C /MEYERS,  
Account Summary (5/2/19)

Long Market Value

Today Fed Call

0.00

Short Market Value

0.00

Net Balance

0.00

Activity All Dates  
IRA FBO SUZANNA MORA (IRA)  
Account Type: Retirement

Base CCY: USD  
History Details

Reg/Plan Type: IRA

Transaction Type: All Transactions | Duration: Prior Year  
History as of 2019-05-02

| 1  | DATE     | ACTIVITY                                       | SYMBOL | SEC. DESCRIPTION                  | ACTIVITY DESCRIPTION   | STMT DATE | NET AMT. (TRANS. CCY) |
|----|----------|--|--------|-----------------------------------|--|-----------|-----------------------|
| 1  | 12/31/18 | CASH DIVIDEND RECEIVED                         | PLD    | PROLOGIS INC COM                  | 34 SHRS PROLOGIS INC COM RD 12/18                              | 12/31/18  | 16.32                 |
| 2  | 12/17/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI                  | 12/17/18  | 0.35                  |
| 3  | 12/17/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI                | 12/17/18  | -0.35                 |
| 4  | 12/11/18 | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI                                    | 12/11/18  | -17.60                |
| 5  | 12/10/18 | CASH DIVIDEND RECEIVED                         | SMG    | THE SCOTTS MIRACLE GRO CO HLDG CO | 32 SHRS THE SCOTTS MIRACLE GRO CO HLDG CO RD 11/26 PD 12/10/18 | 12/10/18  | 17.60                 |
| 6  | 12/4/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI                                    | 12/4/18   | -16.15                |
| 7  | 12/3/18  | CASH DIVIDEND RECEIVED                         | SJM    | SMUCKER J M CO COM NEW            | 19 SHRS SMUCKER J M CO COM NEW RD 11/16 PD 12/03/18            | 12/3/18   | 16.15                 |
| 8  | 11/16/18 | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI                                    | 11/16/18  | -22.95                |
| 9  | 11/16/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI                  | 11/16/18  | 0.28                  |
| 10 | 11/16/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI                | 11/16/18  | -0.28                 |
| 11 | 11/15/18 | CASH DIVIDEND RECEIVED                         | PG     | PROCTER & GAMBLE CO COM           | 32 SHRS PROCTER & GAMBLE CO COM RD 10/19 PD 11/15/18           | 11/15/18  | 22.95                 |
| 12 | 11/2/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI                                    | 11/2/18   | -18.63                |

Total Available Funds  
0.00  
Total Account Worth

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Prepared By (P47GCMEL) at 5/3/19, 11:08:52 AM (EST)

Base CCY: USD  
History Details

| DATE        | ACTIVITY                                       | SYMBOL | SEC. DESCRIPTION                  | ACTIVITY DESCRIPTION   | STMT DATE | NET AMT. (TRANS. CCY) |
|-------------|--|--------|-----------------------------------|--|-----------|-----------------------|
| 13 11/1/18  | CASH DIVIDEND RECEIVED                         | DE     | DEERE & CO                        | FDIC RFI<br>27 SHRS DEERE & CO RD 09/28 PD<br>11/01/18         | 11/1/18   | 18.63                 |
| 14 10/16/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI<br>FDIC RFI      | 10/16/18  | 0.23                  |
| 15 10/16/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI<br>FDIC RFI    | 10/16/18  | -0.23                 |
| 16 10/1/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                        | 10/1/18   | -16.32                |
| 17 9/28/18  | CASH DIVIDEND RECEIVED                         | PLD    | PROLOGIS INC COM                  | 34 SHRS PROLOGIS INC COM RD 09/17 PD 09/28/18                  | 9/28/18   | 16.32                 |
| 18 9/17/18  | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI<br>FDIC RFI      | 9/17/18   | 0.20                  |
| 19 9/17/18  | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI<br>FDIC RFI    | 9/17/18   | -0.20                 |
| 20 9/11/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                        | 9/11/18   | -17.60                |
| 21 9/10/18  | CASH DIVIDEND RECEIVED                         | SMG    | THE SCOTTS MIRACLE GRO CO HLDG CO | 32 SHRS THE SCOTTS MIRACLE GRO CO HLDG CO RD 08/27 PD 09/10/18 | 9/10/18   | 17.60                 |
| 22 9/5/18   | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                        | 9/5/18    | -16.15                |
| 23 9/4/18   | CASH DIVIDEND RECEIVED                         | SJM    | SMUCKER J M CO COM NEW            | 19 SHRS SMUCKER J M CO COM NEW RD 08/17 PD 09/04/18            | 9/4/18    | 16.15                 |
| 24 8/16/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY             | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                        | 8/16/18   | -22.95                |
| 25 8/16/18  | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI<br>FDIC RFI      | 8/16/18   | 0.15                  |
| 26 8/16/18  | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS           | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI<br>FDIC RFI    | 8/16/18   | -0.15                 |

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Base CCY: USD  
History Details

| DATE       | ACTIVITY                                       | SYMBOL | SEC. DESCRIPTION                   | ACTIVITY DESCRIPTION  | STMT DATE | NET AMT. (TRANS. CCY) |
|------------|--|--------|------------------------------------|---|-----------|-----------------------|
| 27 8/15/18 | CASH DIVIDEND RECEIVED                         | PG     | PROCTER & GAMBLE CO COM            | RFI FDIC RFI<br>32 SHRS PROCTER & GAMBLE CO COM RD<br>07/20 PD 08/15/18     | 8/15/18   | 22.95                 |
| 28 8/2/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY              | LIQUID INS<br>DEPOSITS MMF RFI  | 8/2/18    | -18.63                |
| 29 8/1/18  | CASH DIVIDEND RECEIVED                         | DE     | DEERE & CO                         | FDIC RFI<br>27 SHRS DEERE & CO RD 06/29 PD 08/01/18                         | 8/1/18    | 18.63                 |
| 30 7/16/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS            | LIQUID INS<br>DEPOSITS INTEREST CREDITED MMF RFI                            | 7/16/18   | 0.11                  |
| 31 7/16/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS            | LIQUID INS<br>DEPOSITS INTEREST REINVESTED MMF RFI                          | 7/16/18   | -0.11                 |
| 32 7/2/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY              | LIQUID INS<br>DEPOSITS MMF RFI  | 7/2/18    | -16.32                |
| 33 6/29/18 | CASH DIVIDEND RECEIVED                         | PLD    | PROLOGIS INC COM                   | FDIC RFI<br>34 SHRS PROLOGIS INC COM RD 06/14 PD 06/29/18                   | 6/29/18   | 16.32                 |
| 34 6/18/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS            | LIQUID INS<br>DEPOSITS INTEREST CREDITED MMF RFI                            | 6/18/18   | 0.09                  |
| 35 6/18/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS            | LIQUID INS<br>DEPOSITS INTEREST REINVESTED MMF RFI                          | 6/18/18   | -0.09                 |
| 36 6/11/18 | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY              | LIQUID INS<br>DEPOSITS MMF RFI  | 6/11/18   | -16.96                |
| 37 6/8/18  | CASH DIVIDEND RECEIVED                         | SMG    | THE SCOTT'S MIRACLE GRO CO HLDG CO | FDIC RFI<br>32 SHRS THE SCOTT'S MIRACLE GRO CO HLDG CO RD 05/25 PD 06/08/18 | 6/8/18    | 16.96                 |
| 38 6/4/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY              | LIQUID INS<br>DEPOSITS MMF RFI  | 6/4/18    | -14.82                |
| 39 6/1/18  | CASH DIVIDEND RECEIVED                         | SJM    | SMUCKER J M CO COM NEW             | FDIC RFI<br>19 SHRS SMUCKER J M CO COM NEW RD 05/11 PD 06/01/18             | 6/1/18    | 14.82                 |
| 40 5/16/18 | FDIC INSURED BANK DEPOSIT                      |        | U.S. DOLLARS CURRENCY              | LIQUID INS<br>DEPOSITS MMF RFI  | 5/16/18   | -22.95                |
| 41 5/16/18 | FDIC INSURED BANK                              |        | LIQUID INSURED DEPOSITS            | LIQUID INS<br>FDIC RFI  | 5/16/18   | 0.05                  |

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Base CCY: USD  
History Details

| DATE       | ACTIVITY                                       | SYMBOL | SEC. DESCRIPTION        | ACTIVITY DESCRIPTION  | STMT DATE | NET AMT.<br>(TRANS. CCY) |
|------------|--|--------|-------------------------|---|-----------|--------------------------|
|            | DEPOSITS INTEREST RECEIVED                     |        |                         | DEPOSITS INTEREST CREDITED MMF RFI                                  |           |                          |
| 42 5/16/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI                     | 5/16/18   | -0.05                    |
| 43 5/15/18 | CASH DIVIDEND RECEIVED                         | PG     | PROCTER & GAMBLE CO COM | 32 SHRS PROCTER & GAMBLE CO COM RD 04/20 PD 05/15/18                | 5/15/18   | 22.95                    |
| 44 5/2/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S.DOLLARS CURRENCY    | LIQUID INS DEPOSITS MMF RFI   | 5/2/18    | -16.20                   |
| 45 5/1/18  | CASH DIVIDEND RECEIVED                         | DE     | DEERE & CO              | FDIC RFI 27 SHRS DEERE & CO RD 03/29 PD 05/01/18                    | 5/1/18    | 16.20                    |
| 46 4/23/18 | FDIC INSURED BANK WITHDRAWAL                   |        | U.S.DOLLARS CURRENCY    | LIQUID INS DEPOSITS REFERENCE NO=06320, CREATED BY AMA/BATCHMMF RFI | 4/23/18   | 35.00                    |
| 47 4/23/18 | MAINTENANCE FEE                                |        | U.S. DOLLARS CURRENCY   | Annual Maintenance Retirement Fee                                   | 4/23/18   | -35.00                   |
| 48 4/16/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI                       | 4/16/18   | 0.06                     |
| 49 4/16/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI                     | 4/16/18   | -0.06                    |
| 50 4/2/18  | FDIC INSURED BANK DEPOSIT                      |        | U.S.DOLLARS CURRENCY    | LIQUID INS DEPOSITS MMF RFI   | 4/2/18    | -16.32                   |
| 51 3/29/18 | CASH DIVIDEND RECEIVED                         | PLD    | PROLOGIS INC COM        | 34 SHRS PROLOGIS INC COM RD 03/15 PD 03/29/18                       | 3/29/18   | 16.32                    |
| 52 3/16/18 | FDIC INSURED BANK DEPOSITS INTEREST RECEIVED   |        | LIQUID INSURED DEPOSITS | LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI                       | 3/16/18   | 0.02                     |
| 53 3/16/18 | FDIC INSURED BANK DEPOSITS INTEREST REINVESTED |        | LIQUID INSURED DEPOSITS | LIQUID INS DEPOSITS INTEREST REINVESTED MMF RFI                     | 3/16/18   | -0.02                    |
| 54 3/12/18 | FDIC INSURED BANK DEPOSIT                      |        | U.S.DOLLARS CURRENCY    | LIQUID INS DEPOSITS MMF RFI   | 3/12/18   | -16.96                   |

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Prepared By (P4/GCMEI) at 5/3/19, 11:08:52 AM (EST)

Base CCY: USD  
History Details

| DATE       | ACTIVITY                  | SYMBOL | SEC. DESCRIPTION                  | ACTIVITY DESCRIPTION  | STMT DATE | NET AMT. (TRANS. CCY) |
|------------|---------------------------|--------|-----------------------------------|---|-----------|-----------------------|
| 55 3/9/18  | CASH DIVIDEND RECEIVED    | SMG    | THE SCOTTS MIRACLE GRO CO HLDG CO | 32 SHRS THE SCOTTS MIRACLE GRO CO HLDG CO RD<br>02/23 PD 03/09/18 | 3/9/18    | 16.96                 |
| 56 3/2/18  | FDIC INSURED BANK DEPOSIT |        | U.S.DOLLARS CURRENCY              | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                           | 3/2/18    | -14.82                |
| 57 3/1/18  | CASH DIVIDEND RECEIVED    | SJM    | SMUCKER J M CO COM NEW            | 19 SHRS SMUCKER J M CO COM NEW RD<br>02/09 PD 03/01/18            | 3/1/18    | 14.82                 |
| 58 2/16/18 | FDIC INSURED BANK DEPOSIT |        | U.S.DOLLARS CURRENCY              | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                           | 2/16/18   | -22.07                |
| 59 2/15/18 | CASH DIVIDEND RECEIVED    | PG     | PROCTER & GAMBLE CO COM           | 32 SHRS PROCTER & GAMBLE CO COM RD<br>01/19 PD 02/15/18           | 2/15/18   | 22.07                 |
| 60 2/2/18  | FDIC INSURED BANK DEPOSIT |        | U.S.DOLLARS CURRENCY              | LIQUID INS DEPOSITS MMF RFI<br>FDIC RFI                           | 2/2/18    | -11.94                |
| 61 2/1/18  | CASH DIVIDEND RECEIVED    | DE     | DEERE & CO                        | 27 SHRS DEERE & CO RD<br>02/01/18                                 | 2/1/18    | 16.20                 |

Transaction

Description :

LIQUID INS DEPOSITS INTEREST CREDITED MMF RFI FDIC RFI

Activity :

FDIC INSURED BANK DEPOSITS INTEREST RECEIVED

CUSIP: [REDACTED]

Market: BKPG

Market Code: US

Asset Class: COMMON STOCK

Security Payment: N/A

Executing IP: N/A

Record IP: A19

Quantity: 0.0000

Net Amount: 0.35CR

Account Type: CASH

Process Date: 12/17/18

Settlement Date: 12/17/18

Trade Confirm

Key Code: 170

Batch# : \*ACAM

Prevailing Market Price: N/P

PMP Mark-Up/Down Amount (USD): N/A

PMP Mark-Up/Down %: N/A

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